

GBG

GERMAN
BREAST
GROUP



Heilung durch Innovation, Kompetenz und Partnerschaft

Annual
Scientific
Report

2021



Heilung durch Innovation, Kompetenz und Partnerschaft

Annual
Scientific
Report

2021

Index

| | | |
|-------------------------|---|----|
| | Introduction | 7 |
| | New Study Concepts and Methodologies | 42 |
| | GBG 107: ETERNITY ^B : Interview with Sibylle Loibl | 44 |
| | GBG 105: GeparPiPPa: Interview with Mattea Reinisch | 46 |
| | Molecular Screening: Interview with Carsten Denkert | 48 |
| | Recruiting Studies | 50 |
| Post-neoadjuvant | GBG 103: TruDy | 52 |
| | GBG 102: SASCIA | 54 |
| Adjuvant | GBG 100: APPALACHES | 57 |
| | GBG 98: ALEXANDRA/IMpassion030 | 60 |
| Metastatic | GBG 93: PADMA | 62 |
| Surgical | GBG 104: EUBREAST-01 | 64 |
| Register | GBG 79: Brain Metastases in Breast Cancer (BMBC) | 66 |
| | GBG 71: Patient Self-Reporting Outcome Registry (PSRO) | 68 |
| | GBG 29: Breast Cancer in Pregnancy (BCP) | 70 |
| | Follow-up Activities | 72 |
| Neoadjuvant | GBG 96: GeparDouze | 75 |
| | GBG 90: GeparOLA | 75 |
| | GBG 88: GeparX | 75 |
| | GBG 81: BRIGHTNESS | 76 |
| | GBG 77: KATHERINE | 76 |
| Post-neoadjuvant | GBG 78: Penelope ^B | 77 |
| Adjuvant | GBG 91: TAMENDOX | 78 |
| | GBG 87: PALLAS | 78 |
| | GBG 82: OLYMPIA | 78 |
| | GBG 67: APHINITY | 79 |
| Metastatic | GBG 97: AMICA | 79 |
| | GBG 94: PATINA | 80 |
| | GBG 85: AURORA | 80 |
| Surgical | GBG 101: TAXIS | 81 |
| | GBG 75: INSEMA | 81 |
| | Completed Studies | 82 |
| | GBG 89: GeparNuevo | 84 |
| | GBG 86: DESIREE | 86 |
| | Translational Research & Biobanking | 88 |
| | GBG Study Finder 2022 | 92 |



Introduction

| | |
|--|----|
| 1. About the German Breast Group | 8 |
| 2. Infrastructure of the German Breast Group | 8 |
| 3. Cooperations with other study groups | 10 |
| 4. Publications in 2021 | 12 |
| 4.1. Peer-reviewed articles in 2021 | 12 |
| 4.2. Peer-reviewed reviews in 2021 | 14 |
| 4.3. Congress contributions in 2021 | 14 |
| 4.4. GBG-Publications Grading System | 17 |
| 4.5. Guideline for Authorship | 18 |
| 4.6. Oral and poster presentations | 19 |



Introduction

Headquarters:

GBG Forschungs GmbH
 Martin-Behaim-Strasse 12
 63263 Neu-Isenburg
 GERMANY
 Phone: +49 6102 7480-0
 Fax: +49 6102 7480-440
 www.GBG.de

1. About the German Breast Group

The German Breast Group (GBG), a leading co-operative study group in the field of breast cancer in Germany, provides the comprehensive management of clinical trials in all major therapeutic categories: prevention, neoadjuvant, adjuvant, and palliative. The vision of the GBG is best described as healing by innovation, competence and partnership, from the protocol design and feasibility assessments to the final study report. Through excellence in project management, data management, translational research and statistics, GBG delivers consistent high-quality results in order to improve treatment of cancer patients and their quality of life.

The main focus of the GBG is on the investigator initiated trials (IIT). These are independent, academically driven studies focused on important clinical and research questions to optimize treatment strategies. This is in contrast to industry sponsored studies which are often focused on regulatory authorities to obtain

approval or a label extension for a drug. All services provided by GBG are to the highest standard of the International Conference on Harmonisation of Good Clinical Practice (ICH-GCP1998) and if necessary regulatory requirements. We offer a comprehensive range of services, including:

- Idea and Conception of Study Design
- Clinical Project Management
- Clinical Monitoring
- Data Management
- Biometric and Statistics
- External Documentation
- Translational Research
- Biobanking
- Pathological Central Laboratory
- Continuous Medical Education
- Medical Writing
- Sponsorship
- Quality Control

2. Infrastructure of the German Breast Group

Participating sites

Participating sites are actively recruiting sites. An official membership is not required, however any physician who takes part in our trials automatically becomes a member of the study group. Usually, most of our investigators work in gynecological institutions such as university clinics, general hospitals, specialist practices and general practices. For several years an increasing

number of gynecologic and medical oncologists have been taking part in our trials, thus enriching the trial conception with their knowledge.

Recruitment of patients

Patients are recruited through the participating sites which provide detailed information on the GBG studies to the patient. Patients are treated according to the latest scientific findings and are carefully controlled and monitored. Thanks to the clinical trials, breast cancer treatment strategies and clinical guidelines have significantly improved over time and the mortality has decreased over time. The annual patient recruitment is shown in figure 2.

Subboards

Five subboards were active during the last year in the fields of neoadjuvant, adjuvant, palliative, and surgical therapy as well as in the field of translational research. Members of the subboards are all well-known professionals, experienced in treating breast cancer patients and active in the field of breast cancer research and clinical studies. When a subboard decides to launch a new study, the GBG Forschungs GmbH plans, organizes and manages the study, in line with the GBG's belief that a clinical study must be directly related to the potential improvement of a therapeutic strategy and its benefits for the patient. Thus, a strict quality monitoring is essential and is ensured by following the GBG in-house standard operating procedures (SOP). The members of the subboards meet once a year face-to-face and 3 times virtually.

Our subboards have been active discussing current studies, research results and further innovative study designs.

The members of our subboards in 2021 are shown below:

Neoadjuvant

- Prof. Dr. J. U. Blohmer, Berlin
- Prof. Dr. C. Denkert, Marburg
- Prof. Dr. P. Fasching, Erlangen
- Dr. C. Hanusch, München
- Prof. Dr. J. Huober, St. Gallen
- Prof. Dr. Ch. Jackisch, Offenbach
- Dr. T. Link, Dresden
- Prof. Dr. S. Loibl, Neu-Isenburg
- Dr. M. Reinisch, Essen
- PD Dr. K. Rhiem, Köln
- Prof. Dr. A. Schneeweiss, Heidelberg
- Prof. C. Solbach, Frankfurt am Main
- Prof. Dr. M. Untch, Berlin

Adjuvant

- Prof. Dr. C. Denkert, Marburg
- Prof. Dr. W. Janni, Ulm
- Prof. Dr. S. Loibl, Neu-Isenburg
- Prof. Dr. F. Marmé, Mannheim
- Dr. L. Michel, Heidelberg
- Prof. Dr. V. Möbus, Frankfurt am Main
- Prof. Dr. T. Reimer, Rostock
- Dr. M. Reinisch, Essen
- Dr. S. Schmatloch, Kassel
- Prof. Dr. M. Schmidt, Mainz
- PD Dr. B. Sinn, Berlin
- Prof. Dr. E. Stickeler, Aachen
- Prof. Dr. M. Untch, Berlin

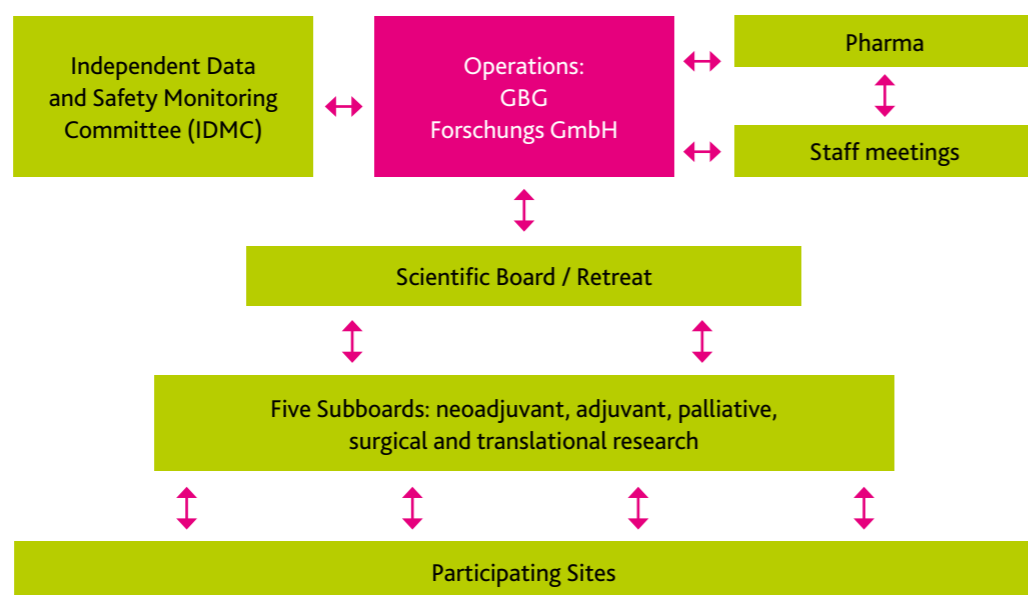


Figure 1: Structure of the German Breast Group

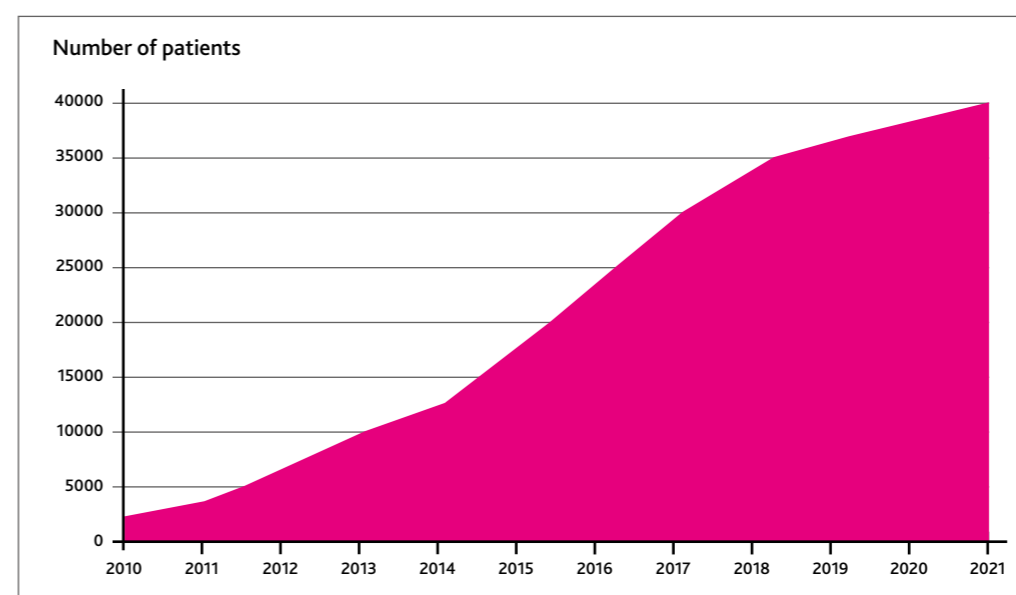


Figure 2: Annual recruitment of patients 2021

Palliative

Prof. Dr. T. Decker, Ravensburg
 Prof. Dr. C. Denkert, Marburg
 Prof. Dr. S. Loibl, Neu-Isenburg
 Dr. K. Lübke, Hannover
 Prof. Dr. C. Mundhenke, Bayreuth
 Prof. Dr. V. Müller, Hamburg
 Prof. Dr. M. Schmidt, Mainz
 Prof. Dr. M. Thill, Frankfurt am Main

Surgical

PD Dr. B. Ataseven, Essen
 Prof. Dr. C. Denkert, Marburg
 Prof. Dr. B. Gerber, Rostock
 Prof. Dr. M. Golatta, Heidelberg
 Prof. Dr. M. Hahn, Tübingen
 Prof. Dr. J. Heil, Heidelberg
 Dr. D. Krug, Kiel
 Prof. Dr. T. Kühn, Esslingen
 Prof. Dr. S. Loibl, Neu-Isenburg

Translational Research

Prof. Dr. C. Denkert, Marburg
 Prof. Dr. P. Fasching, Erlangen
 Prof. Dr. T. Karn, Frankfurt am Main
 Prof. Dr. S. Loibl, Neu-Isenburg
 PD Dr. M. van Mackelenbergh, Kiel
 Prof. Dr. F. Marmé, Mannheim
 Prof. Dr. V. Müller, Hamburg
 Prof. Dr. C. Schem, Hamburg
 PD Dr. B. Sinn, Berlin
 Prof. Dr. E. Stickeler, Aachen

The Independent Data and Safety Monitoring Committee (IDMC)

As early as in 2006, the GBG established the Independent Data and Safety Monitoring Committee (IDMC) to ensure continual improvement of working processes in clinical trials, in-house observation, monitoring and consultation.

The IDMC reviews all GBG sponsored trials regarding:

1. Objectives, the scientific impact of the findings and adverse events (AE, SAE, non-breast cancer deaths) of ongoing trials,
2. All major modifications to the trial protocol (including accrual goals),
3. The interim and final efficacy analysis of trials, when the protocol-specified number of recruited patients or events has been reached.

Staff Meetings

Staff meetings are conducted on a regular basis, either at the GBG headquarters or via telephone conferences, to ensure sufficient information transfer between the responsible study project managers, study chairs and representatives of the supporting pharmaceutical companies.

3. Cooperations with other study groups

The GBG maintains outstanding cooperative relations with peer national and international study groups, including:

| | |
|--|---|
| ABCSG: Austrian Breast & Colorectal Cancer Study Group |  |
| AFT: Alliance Foundation Trials |  |
| AGO: Arbeitsgemeinschaft Gynäkologische Onkologie |  |
| AGO-B: Breast Study Group |  |
| BREAST CANCER TRIALS GROUP |  |
| BIG: Breast International Group |  |
| BOOG: Borstkanker Onderzoeksgroep Nederland |  |
| CCTG: Canadian Cancer Trials Group |  |
| CECOG: Central European Cooperative Oncology Group |  |
| CIRG: Cancer International Research Group |  |
| CRUK: Cancer Research UK |  |

| | |
|---|---|
| CTI: Cancer Trials Ireland |  |
| CTRU: Clinical Trials Research Unit |  |
| DKG: Deutsche Krebsgesellschaft |  |
| EBCTCG: Early Breast Cancer Trialists' Collaborative Group |  |
| EORTC: European Organisation for Research and Treatment of Cancer |  |
| Fondazione Michelangelo: Scientific organization based in Italy |  |
| GEICAM: Grupo Español de Investigación del Cáncer de Mama |  |
| IBCSG: International Breast Cancer Study Group |  |
| ICCG: International Collaborative Cancer Group |  |
| ICR CTSU: The Institute of Cancer Research |  |
| IDDI: International Drug Development Institute, Inc. |  |
| IKP Stuttgart: Dr. Margarete Fischer-Bosch-Institut für Klinische Pharmakologie |  |
| JBCRG: Japan Breast Cancer Research Group |  |
| KCSG: Korean Cancer Study Group |  |

| | |
|---|---|
| LACOG: Latin American Cooperative Oncology Group |  |
| NOGGO: Nord-Ostdeutsche Gesellschaft für Gynäkologische Onkologie |  |
| NRG: Oncology |  |
| NSABP: National Surgical Adjuvant Breast and Bowel Project |  |
| PrECOG, LLC: Cancer Clinical Trials Research Company, US |  |
| SAKK: Swiss Group for Clinical Cancer Research |  |
| SBG: Scandinavian Breast Cancer Group |  |
| SOLTI: Grupo Español de Estudio Tratamiento y otras Estrategias Experimentales en Tumores Solidos |  |
| UCBG: French breast cancer intergroup UNICANCER |  |
| UNICANCER: UNICANCER Group, France |  |
| Uniklinik Köln |  |
| Universität Rostock |  |
| Universitätsklinikum Hamburg-Eppendorf |  |
| Universitätsspital Basel, Brustzentrum |  |
| UZL: University Hospital of Leuven |  |
| WSG: Westdeutsche Studiengruppe |  |

4. Publications in 2021

Timely publication of study results is a prerequisite for all clinical trials. GBG is responsible for an unbiased and independent release of all study results and the subsequent, related translational research projects.

Our research reports were published in leading scientific journals like the New England Journal of Medicine, The Lancet, Journal of Clinical Oncology, The Lancet Oncology, Journal of the National Cancer Institute, Annals of Oncology, European Journal of Cancer, Breast Cancer Research and Treatment and others.

Our studies are constantly presented as oral presentations, poster discussions or posters at international congresses such as AACR, ASCO, ESMO Breast Cancer, ESMO and SABCS.

Peer-review articles, reviews and congress contributions in 2021 are listed in 4.1., 4.2. and 4.3.

4.1. Peer-reviewed articles in 2021

- Curigliano G, Mueller V, Borges V, et al. Tucatinib vs Placebo Added to Trastuzumab and Capecitabine for Patients with Pre-treated HER2+ Metastatic Breast Cancer with and without Brain Metastases (HER2CLIMB): Final Overall Survival Analysis. *Ann Oncol.* 2021; doi: 10.1016/j.annonc.2021.12.005.
- Loibl S, Furlanetto J. Integrating CDK4/6 inhibitors in the treatment of patients with early breast cancer. *Breast.* 2021; doi: 10.1016/j.breast.2021.12.008.
- Wege AK, Rom-Jurek EM, Jank P, et al. *mdm2* gene amplification is associated with luminal breast cancer progression in humanized PDX mice and a worse outcome of estrogen receptor positive disease. *Int J Cancer.* 2021; doi: 10.1002/ijc.33911.
- Schneeweiss A, Michel LL, Möbus V, et al. Survival analysis of the randomised phase III GeparOcto trial comparing neoadjuvant chemotherapy of intense dose-dense epirubicin, paclitaxel, cyclophosphamide versus weekly paclitaxel, liposomal doxorubicin (plus carboplatin in triple-negative breast cancer) for patients with high-risk early breast cancer. *Eur J Cancer.* 2022;160:100-111 (Epub 2021).
- Metzger-Filho O, Collier K, Asad S, et al. Matched cohort study of germline *BRCA* mutation carriers with triple negative breast cancer in brightness. *NPJ Breast Cancer.* 2021;7:142.
- Jurmeister P, Weber K, Villegas S, et al. DNA methylation profiling identifies two distinct subgroups in breast cancers with low hormone receptor expression, mainly associated with HER2 amplification status. *Clin Epigenetics.* 2021;13:184.
- Llop-Guevara A, Loibl S, Villacampa G, et al. Association of RAD51 with homologous recombination deficiency (HRD) and clinical outcomes in untreated triple-negative breast cancer (TNBC): analysis of the GeparSixto randomized clinical trial. *Ann Oncol.* 2021;32:1590-1596.
- Bardia A, Hurvitz SA, Rugo HS, et al. A plain language summary of the ASCENT study: Sacituzumab Govitecan for metastatic triple-negative breast cancer. *Future Oncol.* 2021;17:3911-3924.
- Möbus V, Lück HJ, Ladda E, et al. Phase III randomised trial comparing intense dose-dense chemotherapy to tailored dose-dense chemotherapy in high-risk early breast cancer (GAIN-2). *Eur J Cancer.* 2021;156:138-148.
- Schrodi S, Braun M, Androlat A, et al. Outcome of breast cancer patients with low hormone receptor positivity: analysis of a 15-year population-based cohort. *Ann Oncol.* 2021;32:1410-1424.
- Stürken C, Möbus V, Milde-Langosch K, et al. TGF β -induced factor homeobox 1 (TGIF) expression in breast cancer. *BMC Cancer.* 2021;21:920.
- Jerusalem G, Farah S, Courtois A, et al. Continuous versus intermittent extended adjuvant letrozole for breast cancer: final results of randomized phase III SOLE (Study of Letrozole Extension) and SOLE Estrogen Substudy. *Ann Oncol.* 2021;32:1256-1266.
- Early Breast Cancer Trialists' Collaborative group (EBCTCG). Trastuzumab for early-stage, HER2-positive breast cancer: a meta-analysis of 13 864 women in seven randomised trials. *Lancet Oncol.* 2021;22:1139-1150.
- Lefrère H, Floris G, Schmidt MK, et al. Breast cancer diagnosed in the post-weaning period is indicative for a poor outcome. *Eur J Cancer.* 2021;155:13-24.
- Coombes RC, Tovey H, Kilburn L, et al. Effect of Celecoxib vs Placebo as Adjuvant Therapy on Disease-Free Survival Among Patients With Breast Cancer: The REACT Randomized Clinical Trial. *JAMA Oncol.* 2021 Jul 15:e212193.
- Denkert C, Seither F, Schneeweiss A, et al. Clinical and molecular characteristics of HER2-low-positive breast cancer: pooled analysis of individual patient data from four prospective, neoadjuvant clinical trials. *Lancet Oncol.* 2021;22:1151-1161.
- Marmé F, Solbach C, Michel L, et al. Utility of the CPS + EG scoring system in triple-negative breast cancer treated with neoadjuvant chemotherapy. *Eur J Cancer.* 2021 Aug;153:203-212.
- Aftimos P, Oliveira M, Irrthum A, et al. Genomic and transcriptomic analyses of breast cancer primaries and matched metastases in AURORA, the Breast International Group (BIG) molecular screening initiative. *Cancer Discov.* 2021;11:2796-2811.
- Furlanetto J, Marmé F, Seiler S, et al. Chemotherapy-induced ovarian failure in young women with early breast cancer: Prospective analysis of four randomised neoadjuvant/adjuvant breast cancer trials. *Eur J Cancer.* 2021;152:193-203.
- Tutt ANJ, Garber JE, Kaufman B, et al. Adjuvant Olaparib for Patients with *BRCA1*- or *BRCA2*-Mutated Breast Cancer. *N Engl J Med.* 2021;384:2394-2405.
- Cui W, Francis PA, Loi S, Hickey M, Stern C, Na L, Partridge AH, Loibl S, Anderson RA, Hutt KJ, Keogh LA, Phillips KA. Assessment of Ovarian Function in Phase 3 (Neo) adjuvant Breast Cancer Clinical Trials: A Systematic Evaluation. *J Natl Cancer Inst.* 2021 28;113:1770-8.
- Rugo HS, Cristofanilli M, Loibl S, et al. Prognostic Factors for Overall Survival in Patients With Hormone Receptor-Positive Advanced Breast Cancer: Analyses From PALOMA-3. *Oncologist.* 2021;26:e1339-e1346.
- Terrisse S, Derosa L, Lebba V, et al. Intestinal microbiota influences clinical outcome and side effects of early breast cancer treatment. *Cell Death Differ.* 2021;28:2778-2796.
- Mamounas EP, Untch M, Mano MS, et al. Adjuvant T-DM1 versus Trastuzumab in Patients with Residual Invasive Disease after Neoadjuvant Therapy for HER2-Positive Breast Cancer: Subgroup Analyses from KATHERINE. *Ann Oncol.* 2021;32:1005-1014.
- Bardia A, Hurvitz SA, Tolaney SM, et al. Sacituzumab Govitecan in Metastatic Triple-Negative Breast Cancer. *N Engl J Med.* 2021;384:1529-1541.
- Loibl S, Marmé F, Martin M, et al. Palbociclib for Residual High-Risk Invasive HR-Positive and HER2-Negative Early Breast Cancer-The Penelope-B Trial. *J Clin Oncol.* 2021; 39:1518-1530.
- Ciruelos EM, Rugo HS, Mayer IA, et al. Patient-Reported Outcomes in Patients With PIK3CA-Mutated Hormone Receptor-Positive, Human Epidermal Growth Factor Receptor 2-Negative Advanced Breast Cancer From SOLAR-1. *J Clin Oncol.* 2021;39:2005-2015.
- Villegas SL, Nekljudova V, Pfarr N, et al. Therapy response and prognosis of patients with early breast cancer with low positivity for hormone receptors - An analysis of 2765 patients from neoadjuvant clinical trials. *Eur J Cancer.* 2021;148:159-170.
- Riecke K, Müller V, Weide R, et al. Predicting Prognosis of Breast Cancer Patients with Brain Metastases in the BMBC Registry-Comparison of Three Different GPA Prognostic Scores. *Cancers (Basel).* 2021;13:844.
- Filho OM, Stover DG, Asad S, et al. Association of Immunophenotype With Pathologic Complete Response to Neoadjuvant Chemotherapy for Triple-Negative Breast Cancer: A Secondary Analysis of the

BrightNess Phase 3 Randomized Clinical Trial. *JAMA Oncol.* 2021;7:603-608.

31. Sinn BV, Loibl S, Hanusch CA, et al. Immune-related gene expression predicts response to neoadjuvant chemotherapy but not additional benefit from PD-L1 inhibition in women with early triple-negative breast cancer. *Clin Cancer Res.* 2021;27:2584-2591.
32. Edlund K, Madjar K, Lebrecht A, et al. Gene expression-based prediction of neoadjuvant chemotherapy response in early breast cancer: results of the prospective multicenter EXPRESSION trial. *Clin Cancer Res.* 2021;27:2148-2158.
33. Reinisch M, Seiler S, Hauzenberger T, et al. Efficacy of Endocrine Therapy for the Treatment of Breast Cancer in Men: Results from the MALE Phase 2 Randomized Clinical Trial. *JAMA Oncol.* 2021;7:565-572.
34. Denkert C, Untch M, Benz S, et al. Reconstructing tumor history in breast cancer: signatures of mutational processes and response to neoadjuvant chemotherapy. *Ann Oncol.* 2021;32:500-511.
35. Mayer EL, Dueck AC, Martin M, et al. Palbociclib with adjuvant endocrine therapy in early breast cancer (PALLAS): interim analysis of a multicentre, open-label, randomised, phase 3 study. *Lancet Oncol.* 2021;22:212-222.

4.2. Peer-reviewed reviews in 2021

1. El Bairi K, Haynes HR, Blackley E, et al. The tale of TILs in breast cancer: A report from The International Immuno-Oncology Biomarker Working Group. *NPJ Breast Cancer.* 2021;7:150.
2. Loi S, Michiels S, Adams S, Loibl S, Budczies J, Denkert C, Salgado R. The journey of tumor-infiltrating lymphocytes as a biomarker in breast cancer: clinical utility in an era of checkpoint inhibition. *Ann Oncol.* 2021 Oct;32(10):1236-1244.
3. Polley MC, Dickler MN, Sinnwell J, et al. A clinical calculator to predict disease outcomes in women with hormone

receptor-positive advanced breast cancer treated with first-line endocrine therapy. *Breast Cancer Res Treat.* 2021;189:15-23.

4. Loibl S, Poortmans P, Morrow M, Denkert C, Curigliano G. Breast cancer. *Lancet.* 2021;397:1750-1769.
5. Dubsy P, Pinker K, Cardoso F, et al. Breast conservation and axillary management after primary systemic therapy in patients with early-stage breast cancer: the Lucerne toolbox. *Lancet Oncol.* 2021;22:e18-e28.

4.3. Congress contributions in 2021

SABCS: San Antonio Breast Cancer Symposium, December 7-10, 2021

Gerber B, Stachs A, Veselinovic K et al. Patient-reported outcomes (PROs) for the intergroup sentinel mamma study (INSEMA, GBG75, ABCSG43): Persistent impact of axillary surgery on arm and breast symptoms in early breast cancer. SABCS 2021; abstract GS4-03, oral presentation.

Denkert C, Marmé F, Martin M, et al. Molecular plasticity of luminal breast cancer and response to CDK 4/6 inhibition - The biomarker program of the PENELOPE-B trial investigating post-neoadjuvant palbociclib. SABCS 2021; abstract PD2-04, poster discussion.

Wege AK, Vladimirova V, Solbach C, et al. Mdm2 gene amplification in estrogen receptor-positive breast cancer cells is associated with enhanced solid tumor growth and pronounced metastatic potential in humanized tumor mice (HTM) and a poor outcome of patients with luminal breast cancer. SABCS 2021; abstract PD9-07, poster discussion.

Marmé F, Schmidt M, Furlanetto J, et al. Phase III postneoadjuvant study evaluating sacituzumab govitecan (SG), an antibody drug conjugate in primary HER2-negative breast cancer patients with high relapse risk after standard neoadjuvant treatment – SASCIA. SABCS 2021; abstract OT1-02-01, TIP.

Galas K, Gleitsmann M, Rey J, et al. Immunological markers in patients with breast cancer occurring during pregnancy - Results from GBG BCP study. SABCS 2021; abstract P4-04-14, poster.

Loibl S, Hauke J, Gelmon K, et al. Germline *BRCA1/2* and other predisposition genes in high-risk early-stage HR+/HER2- breast cancer (BC) patients treated with endocrine therapy (ET) with or without palbociclib: A secondary analysis from the PENELOPE-B study. SABCS 2021; abstract P5-13-36, poster.

Regan MM, Walley BA, Fleming GF, et al. Randomized comparison of adjuvant aromatase inhibitor exemestane + ovarian function suppression (OFS) vs tamoxifen + OFS in premenopausal women with hormone receptor-positive (HR+) early breast cancer: update of the TEXT and SOFT trials. SABCS 2021; abstract GS2-05, oral presentation.

Gnant M, Dueck AC, Frantal S, et al. Adjuvant palbociclib in HR+/HER2- early breast cancer: Final results from 5,760 patients in the randomized phase III PALLAS trial. SABCS 2021; abstract PD2-09, poster discussion.

Naughton MJ, Zahrieh D, Gnant M, et al. Quality of life and symptom severity in the PALLAS randomized trial of palbociclib with adjuvant endocrine therapy in early breast cancer (AFT-05). SABCS 2021; abstract P4-10-01, poster.

Ganz PA, Bandos H, Spanic T, et al. Quality of life results from OlympiA: A phase III, multicenter, randomized, placebo-controlled trial of adjuvant olaparib after (neo)-adjuvant chemotherapy in patients with germline *BRCA1/2* mutations and high-risk HER-2 negative early breast cancer. SABCS 2021; abstract GS4-09, oral presentation.

Geyer, Jr CE, Untch M, Prat, et al. Trastuzumab deruxtecan (T-DXd; DS-8201) vs trastuzumab emtansine (T-DM1) in high-risk patients with HER2-positive, residual invasive early breast cancer after neoadjuvant therapy: A randomized, phase 3 trial (DESTINY-Breast05). SABCS 2021; abstract OT1-02-03, TIP.

Loibl S, Tolaney SM, Punie K, et al. Assessment of health-related quality of life by clinical response from the phase 3 ASCENT study in metastatic triple-negative breast cancer (mTNBC). SABCS 2021; abstract P5-16-01, poster.

ESMO: European Society for Medical Oncology, September 16-21, 2021, Virtual Meeting
Loibl S, Schmidt M, Lübke K, et al. A multicenter,

randomized, double-blind, phase II study to evaluate the tolerability of an induction dose escalation of everolimus in patients with metastatic breast cancer (mBC) (DESIREE). *Ann Oncol.* 2021; 32 (suppl_5): S1292-S1293, LBA19 mini oral presentation.

García-Saenz J, Marmé F, Rugo H, et al. Quality of life from the Penelope-B study on high-risk HR+/HER2- early breast cancer patients treated with endocrine therapy with or without palbociclib. *Ann Oncol.* 2021; 32 (suppl_5): S407-S446, 122MO mini oral presentation.

Galactionova K, Loibl S, Salari P, et al. Health economic properties of Palbociclib in breast cancer patients with high risk of relapse following neoadjuvant therapy – results from the Penelope-B trial. *Ann Oncol.* 2021; 32 (suppl_5): S407-S446, 132P ePoster.

Marmé F, Hanusch C, Furlanetto J, et al. Phase III postneoadjuvant study evaluating Sacituzumab Govitecan (SG), an antibody drug conjugate in primary HER2-negative breast cancer patients with high relapse risk after standard neoadjuvant treatment – SASCIA. *Ann Oncol.* 2021; 32 (suppl_5): S407-S446, 199TiP ePoster.

Loibl S, Sikov W, Huober J, et al. Event-free survival (EFS), overall survival (OS), and safety of adding veliparib (V) plus carboplatin (Cb) or carboplatin alone to neoadjuvant chemotherapy in triple-negative breast cancer (TNBC) after ≥4 years of follow-up: BrightNess, a randomized Phase 3 trial. *Ann Oncol.* 2021; 32 (suppl_5): S408, 119O oral presentation.

Loibl S, Loirat D, Tolaney SM, et al. Health-related quality of life (HRQoL) in the ASCENT study of sacituzumab govitecan (SG) in metastatic triple-negative breast cancer (mTNBC). *Ann Oncol.* 2021; 32 (suppl_5): S457-S515, 257P ePoster.

Franzoi MA, Martel S, Agbor-Tarh D, et al. Impact of body mass (BMI) and weight change after adjuvant treatment in patients (pts) with HER2-positive early breast cancer. *Ann Oncol.* 2021; 32 (suppl_5): S415-S416, 131P ePoster.

Senologiekongress 2021, June 17-19, 2021, Virtual Meeting
Laakmann E, Witzel I, Neunhöffer T et al. Characteristics of patients with brain metastases from HER2-positive breast cancer. oral presentation.

Untch M, Geyer Jr CE, Prat A, et al. Trastuzumab-Deruxtecan (T-DXd; DS-8201) vs trastuzumab emtansine (T-DM1) in high-risk patients with HER2-positive, residual invasive early breast cancer (BC) after neoadjuvant therapy (NAT): a randomized, phase 3 trial (DESTINY-Breast05). TIP.

ASCO:

American Society of Clinical Oncology, Annual Meeting June 4-8, 2021, Virtual Meeting

Loibl S, Schneeweiss A, Huober J, et al. Durvalumab improves long-term outcome in TNBC: results from the phase II randomized GeparNUEVO study investigating neoadjuvant durvalumab in addition to an anthracycline/taxane based neoadjuvant chemotherapy in early triple-negative breast cancer (TNBC). J Clin Oncol. 2021; 39.15_suppl.506, oral presentation.

Denkert C, Marmé F, Matrtin M, et al. Subgroup of post-neoadjuvant luminal-B tumors assessed by HTG in PENELOPE-B investigating palbociclib in high risk HER2-/HR+ breast cancer with residual disease. J Clin Oncol. 2021; 39.15_suppl.519, poster discussion.

Marmé F, Martin M, Untch M, et al. Palbociclib combined with endocrine treatment in breast cancer patients with high relapse risk after neoadjuvant chemotherapy: Subgroup analyses of premenopausal patients in PENELOPE-B. J Clin Oncol. 2021; 39.15_suppl.518, poster discussion.

Amant F, Nekljudova V, Maggen C, et al. Outcome of breast cancer patients treated with chemotherapy during pregnancy compared with non-pregnant controls. J Clin Oncol. 2021; 39.15_suppl.515, poster discussion.

Marmé F, Stickeler E, Furlanetto J, et al. Phase III postneoadjuvant study evaluating sacituzumab govitecan, an antibody drug conjugate in primary HER2-negative breast cancer patients with high relapse risk after standard neoadjuvant treatment: SASCIA. J Clin Oncol. 2021; 39.15_suppl.TPS602, TIP.

De Azambuja E, Eiger D, Procter MJ, et al. Cardiac safety of dual anti-HER2 blockade with pertuzumab plus trastuzumab (P+T) in the APHINITY trial. J Clin Oncol. 2021; 39.15_suppl.510, poster discussion.

Tutt A, Garber JE, Kaufman B et al. OlympiA: A phase III, multicenter, randomized, placebo-

controlled trial of adjuvant olaparib after (neo) adjuvant chemotherapy in patients with germline *BRCA1/2* mutations and high-risk HER2-negative early breast cancer. J Clin Oncol. 2021; 39.15_suppl. LBA1, oral presentation.

Kalinsky K, Oliviera M, Traina TA, et al. Outcomes in patients (pts) aged ≥ 65 years in the phase 3 ASCENT study of sacituzumab govitecan (SG) in metastatic triple-negative breast cancer (mTNBC). J Clin Oncol. 2021; 39.15_suppl.1011, oral presentation.

Carey LA, Loirat D, Punie K, et al. Assessment of sacituzumab govitecan (SG) in patients with prior neoadjuvant/adjuvant chemotherapy in the phase 3 ASCENT study in metastatic triple-negative breast cancer (mTNBC). J Clin Oncol. 2021; 39.15_suppl.1080, ePoster.

Curligliano G, Mueller V, Borges VF, et al. Updated results of tucatinib versus placebo added to trastuzumab and capecitabine for patients with pretreated HER2+ metastatic breast cancer with and without brain metastases (HER2CLIMB). J Clin Oncol. 2021; 39.15_suppl. 1043, ePoster.

ESMO-Breast Cancer

May 5-8, 2021, Virtual Meeting

Llop-Guevara A, Vladimirova V, Schneeweiss A et al. Association of RAD51 with Homologous Recombination Deficiency (HRD) and clinical outcomes in untreated triple-negative breast cancer (TNBC): analysis of the GeparSixto randomized clinical trial. Ann Oncol. 2021; 32 (suppl_2): S21-S36, O2 oral presentation.

Laakmann E, Witzel I, Neunhöffe T et al. Characteristics of patients with brain metastases from HER2-positive breast cancer. Ann Oncol. 2021; 32 (suppl_2): S60-S78, 95MO mini oral presentation.

Furlanetto J, Denkert C, Untch M, et al. Impact of body mass index (BMI) on prognostic and predictive value of stromal tumor-infiltrating lymphocytes (sTILs) in triple-negative breast cancer (TNBC): a pooled analysis of six neoadjuvant trials. Ann Oncol. 2021; 32 (suppl_2): S27-S28, 17P ePoster.

Vladimirova V, Schneeweiss A, Jackisch C, et al. BACH1 and HIF1 α predict response to neoadjuvant nab-paclitaxel (nP) treatment in

early breast cancer (BC). Ann Oncol. 2021; 32 (suppl_2): S29, 21P ePoster.

Leichsenring J, Vladimirova V, Solbach C, et al. EVI1 expression in early-stage breast cancer patients treated with neoadjuvant chemotherapy. Ann Oncol. 2021; 32 (suppl_2): S32, 28P ePoster.

Labidi-Galy I, Schneeweiss A, Sinn HP, et al. Baseline menopausal status, Ki-67 and stromal tumor-infiltrating lymphocytes (TILs) and association with outcome in triple-negative breast cancer (TNBC): exploratory analysis in GeparSixto. Ann Oncol. 2021; 32 (suppl_2): S49-S50, 66P ePoster.

4.4. GBG-Publications Grading System

To set internal publication goals and to measure our own success, we established our GBG in-house grading system as follows:

- 7 GBG points for preparation or final publication in a high quality peer-reviewed journal with an impact factor greater than 5,
- 5 GBG points for publication preparation or final publication in a journal with an impact factor of less than 5,
- 3 GBG points for an oral presentation or poster discussion,
- and 2 GBG points for a poster presentation at an international congress.

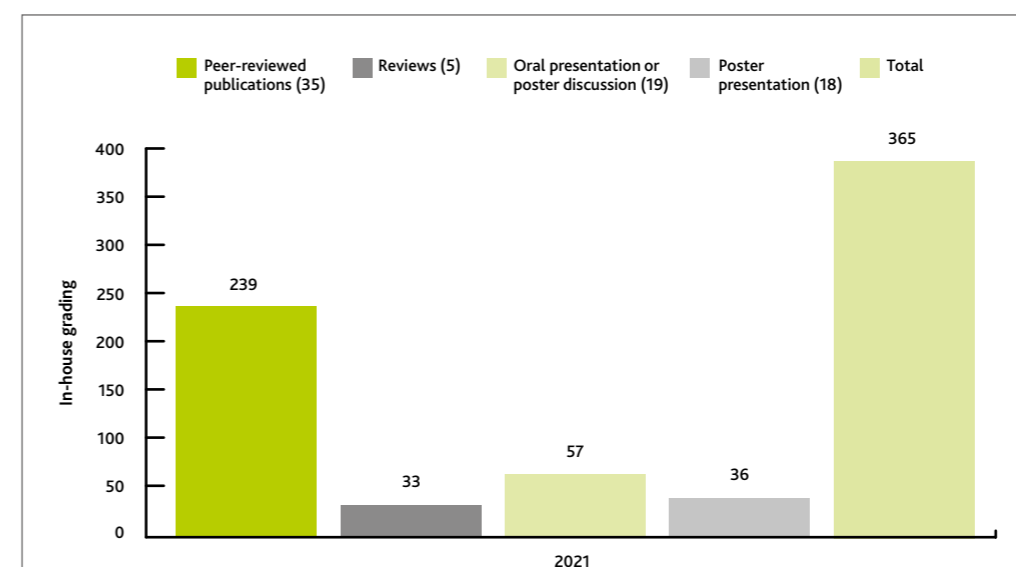


Figure 3: Overview of GBG's in-house grading for publications in 2021

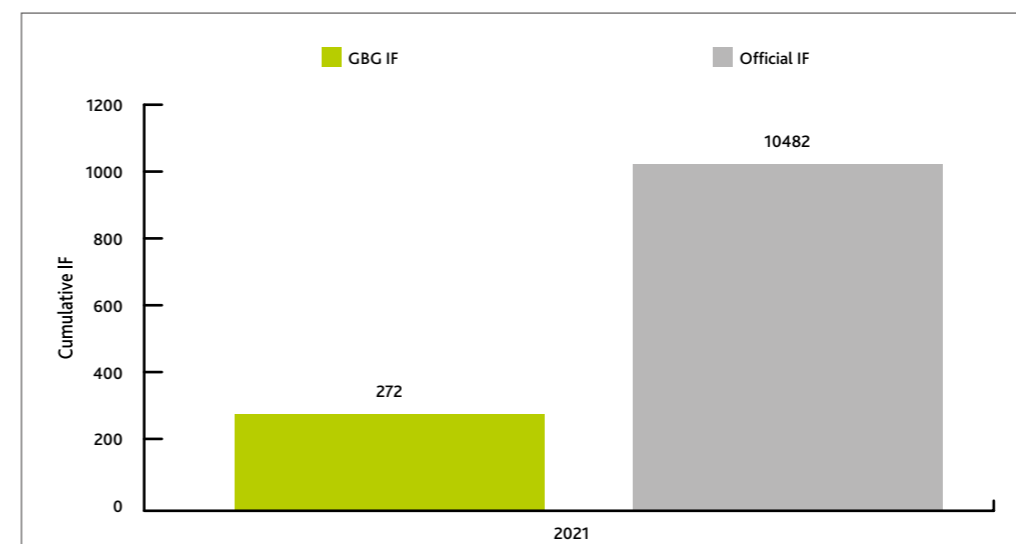


Figure 4: GBG and official Impact Factor (IF) in 2021

4.5. Guideline for Authorship

In order to guarantee a maximum of transparency when assigning the co-authorship we have established an internal GBG guideline for authorship. The details are listed below:

GBG
GERMAN
BREAST
GROUP

General Rules

- Important positions: 1st author, senior author, corresponding author
- Shared authorship for 1st and 2nd author, if applicable
- Separate rules for:
 - Main publication on primary endpoint
 - Publications on secondary endpoints
 - Translational research publications
 - No honorary authorships
 - Author positions can be transferred to a junior person, if also involved in the study

GBG
GERMAN
BREAST
GROUP

What to do before submission

- Select journal
- Ask potential authors for their interest to become co-author
- Present proposed list of authors to subboard / protocol board
- Circulate manuscript amongst authors
- Collect COI

GBG
GERMAN
BREAST
GROUP

Publication on secondary endpoints / retrospective analyses

- 1st author: „project“ leader
- Subboard / protocol board members according to score for this sub-project*
- Best recruiters for this sub-project
- Biometrician
- PI or group chairman (if involved in sub-project)

* Subboard and protocol board members will share in general authorships with best recruiters on a 2:1 basis.

GBG
GERMAN
BREAST
GROUP

Score for Authors (will be used to select and rank co-authors)

1 point for every fulfilled criteria:

- Regular participating in TCs and meetings of Subboard and/or Protocol board
- Protocol writing
- Recruitment among best 3rd of participating sites
- Statistical Analysis Plan development
- Manuscript preparation
- In time response to emails concerning the trial and the manuscript (within 4 weeks)
- In time response for COI (within 2 weeks) (negative point for subsequent publications)

GBG
GERMAN
BREAST
GROUP

Publication on primary endpoint

- 1st author: PI (or Co-PI group 1)
- Subboard / protocol board members according to Score*
- Best recruiters
- Biometrician,
- Senior author (Co-PI group 2, or group chairman)
- Addendum with study team, subboard / protocol board member, and all other recruiters with 3+ patients as „on behalf of the study groups“

* Subboard and protocol board members will share in general authorships with best recruiters on a 2:1 basis.

GBG
GERMAN
BREAST
GROUP

Publications on translational research project

- Project leader (should prepare manuscript)
- Involved team member of this TRAF0 project
- TRAF0 board / protocol board members*
- Biomedical provider
- 1-2 local pathologists providing most tumor tissue
- Biometrician
- PI (if involved in TRAF0 project)

* Subboard and protocol board members will share in general authorships with best biomedical providers on a 2:1 basis.

4.6. Oral and poster presentations

ESMO BREAST CANCER
2021 | 17-21 SEPTEMBER

Characteristics of patients with brain metastases from HER2-positive breast cancer: subanalysis of BMBC Registry

Presenting author: Elena Laskmann

Elena Laskmann, Isabella Witzel, Tanja Neuhoffler, Truong-Von Phan-Simon, Rudolf Pheide, Karsten Rinck, Anika Pösch, Marcus Schmitt, Julian Poppe, Christoph Marchenko, Kristine Libbe, Tobias Heese, Marc Thill, Dirk-Michael Zahn, Carsten Denkert, Tanja Fehm, Valerina Nekjodova, Julia Roy, Sibylle Lohr, Volker Micker

Characteristics of patients with HER2-positive BC with BM

n=1311 HER2+
n=2948 overall

| Parameter | HER2+ | HER2- | HER2+ vs HER2- | P-value |
|---|-------------|------------|----------------|---------|
| Age at first diagnosis of BC, years, median | 55.0 | 55.0 | 0.0 | <0.001 |
| Age at diagnosis of BM, years, median | 55.0 | 55.0 | 0.0 | <0.001 |
| pT at BC (n, %) | 87 (21.0) | 181 (4.8) | 48 (17.0) | 0.002 |
| Tumor grade, G3 (n, %) | 113 (28.0) | 388 (10.2) | 489 (17.6) | <0.001 |
| Location of first metastasis | 138 (35.0) | 443 (11.9) | 305 (11.1) | <0.001 |
| Leptomeningeal disease | 122 (31.0) | 218 (5.8) | 196 (7.1) | <0.001 |
| ECM at diagnosis of BM (n, %) | 1198 (71.0) | 801 (21.6) | 419 (15.0) | <0.001 |
| Bone metastases as first ECM (n, %) | 325 (8.0) | 319 (8.5) | 64 (2.3) | <0.001 |
| Low metastases as first ECM | 307 (7.8) | 201 (5.4) | 106 (3.8) | 0.001 |
| High metastases as first ECM | 275 (7.0) | 278 (7.4) | 103 (3.7) | <0.001 |
| Site metastases as first ECM | 461 (3.5) | 371 (10.0) | 81 (3.0) | 0.002 |

* Fisher's exact test req. Compared test between HER2+ vs. HER2- patients.

Survival analysis HER2+

| Parameter | HER2+ | HER2- | HER2+ vs HER2- | P-value |
|----------------------|-------|-------|----------------|---------|
| OS (median, months) | 63.2 | 6.4 | 14.2 | <0.001 |
| PFS (median, months) | 7.4 | 4.7 | 1.4 | 0.002 |

- Significantly higher OS and PFS survival for HER2+ patients vs. HER2-
- Significantly higher OS but not PFS for HER2+ HR+ patients vs. HER2+ HR-

Long-term survivors among HER2+ patients with BM

1030 patients (at 1000 HER2+) were identified in the group of long-term survivors (>23 months, patients in the highest third of the overall survival rate)

| Parameter | HER2+ (long-term survivors) | HER2- (long-term survivors) | P-value |
|---------------------------------------|--|--|---------|
| Younger age at BC and BM diagnosis | Age at first diagnosis of BC, years, median: 55.0 | Age at first diagnosis of BC, years, median: 55.0 | <0.001 |
| More HR+, lower grading | HR status: 448 (43.6%) | HR status: 209 (26.5%) | 0.001 |
| Good performance status | ECOG 0-1 (n, %): 253 (24.8%) | ECOG 0-1 (n, %): 128 (16.3%) | <0.001 |
| Lower number of BM, absence of ECM | Number of BM (n, %): 194 (24.8%) | Number of BM (n, %): 111 (14.2%) | <0.001 |
| Surgery after | Treatment with surgery and radiotherapy: 194 (24.8%) | Treatment with surgery and radiotherapy: 103 (13.2%) | <0.001 |
| Asymptomatic BM, absence of ECM | ECM at further course of disease (n, %): 21 (2.6%) | ECM at further course of disease (n, %): 26 (3.3%) | <0.001 |
| Neurological symptoms at BM diagnosis | Neurological symptoms at BM diagnosis: 67 (6.8%) | Neurological symptoms at BM diagnosis: 27 (3.5%) | 0.005 |

Background

- The prognosis of the patients with brain metastases (BM) of breast cancer (BC) is poor.
- About 40% of patients with a metastatic HER2+ breast cancer (BC) develop brain metastases.
- The highest survival rates could be identified for HER2-positive patients.
- Only limited data available about specific characteristics of patients with BM of HER2+ BC.
- The aim of this analysis is to characterize a cohort of HER2-positive patients with BM from our large registry BMBC

Patients with HER2-positive HR+ vs. HER2-positive HR- BC

| Parameter | HER2+HR+ (n=528) | HER2+HR- (n=721) | Overall HER2+ (n=1258) | P-value |
|--|--|--|------------------------|---------|
| Slightly younger age at BC diagnosis | Age at first diagnosis of BC, years, median: 53.0 | Age at first diagnosis of BC, years, median: 55.0 | 53.0 | 0.027 |
| Smaller BC tumor size, lower grading | Initial BC tumor size (pT1+pT2, n, %): 189 (35.8%) | Initial BC tumor size (pT1+pT2, n, %): 354 (49.1%) | 543 (43.0%) | 0.003 |
| More ECM (bone) at BM diagnosis, more LM | Tumor grading, G3 (n, %): 339 (64.4%) | Tumor grading, G3 (n, %): 364 (50.5%) | 703 (55.3%) | <0.001 |
| More asymptomatic BM | Leptomeningeal disease: 316 (60.0%) | Leptomeningeal disease: 81 (11.1%) | 397 (31.3%) | 0.010 |
| | ECM at diagnosis of BM (n, %): 396 (75.0%) | ECM at diagnosis of BM (n, %): 383 (53.1%) | 779 (61.5%) | 0.047 |
| | Bone metastases as first ECM (n, %): 164 (31.1%) | Bone metastases as first ECM (n, %): 339 (46.4%) | 503 (40.0%) | <0.001 |
| | Neurological symptoms at BM diagnosis: 433 (82.0%) | Neurological symptoms at BM diagnosis: 548 (74.7%) | 981 (77.8%) | 0.002 |

Survival analysis (multivariate Analysis)

| OS (HER2+ event) | Parameter | HR | CI 95% | P-value |
|------------------|---------------------------------|------|-----------|---------|
| OS (HER2+ event) | Age at BM diagnosis 200 vs. >60 | 1.03 | 1.02-1.04 | <0.001 |
| | ECOG (0-1 vs. 2-3) | 1.22 | 1.12-1.37 | 0.001 |
| | Number of BM (0-1 vs. 2-3) | 1.76 | 1.52-2.05 | 0.002 |
| | BM localization (bone anterior) | 1.25 | 1.08-1.45 | <0.001 |
| | ECM at BM diagnosis (any) | 1.26 | 1.05-1.50 | 0.012 |
| OS (HER2- event) | Age at BM diagnosis 200 vs. >60 | 1.01 | 1.00-1.02 | <0.001 |
| | ECOG (0-1 vs. 2-3) | 1.22 | 1.12-1.37 | 0.001 |
| | Number of BM (0-1 vs. 2-3) | 1.76 | 1.52-2.05 | 0.002 |

HER2-targeted therapy in HER2-positive patients with BM

- 917/1311 (69.9%) of the patients were treated with HER2-targeted therapy before the BM diagnosis
 - Trastuzumab = 804 (87.7%)
 - Trastuzumab + Pertuzumab = 218 (23.8%)
 - Lapatinib = 134 (14.6%)
 - TDM1 = 61 (6.7%)
 - In average 1.7 HER2-targeted therapy lines
- 486/1311 (37.1%) of the patients were treated with HER2-targeted therapy after the BM diagnosis
 - Trastuzumab = 243 (50.0%)
 - Trastuzumab + Pertuzumab = 76 (15.6%)
 - Lapatinib = 224 (46.1%)
 - TDM1 = 157 (32.2%)
- Patients with HER2+ BM of BC have the best prognosis comparing to other tumor subtypes
- 328/1311 patients (24%) showed a long-term survival (>23 months)
- Among HER2+ patients HR+ patients have the longest survival
- HER2-targeted therapy is significantly associated with a better prognosis
- Until now only a limited number of HER2-directed therapies exists
- 70% of patients had an anti-HER2 targeted therapy before the BM development
- OS in this group is still unsatisfactory with 13.2 months (median). New compounds are needed to improve outcome of this subgroup of patients.

BMBC Registry (ESMO Breast Cancer 2021)

GeparSixto, RAD51
(ESMO Breast Cancer 2021)

Gepar Nuevo
(ASCO 2021)

ESMO BREAST CANCER 2021
ASSOCIATION OF RAD51 WITH HOMOLOGOUS RECOMBINATION DEFICIENCY (HRD) AND CLINICAL OUTCOMES IN UNTREATED TRIPLE-NEGATIVE BREAST CANCER (TNBC): ANALYSIS OF THE GEPARSIXTO RANDOMIZED CLINICAL TRIAL

Abstract 1000, Valencia, 16-19 October 2021

ESMO BREAST CANCER 2021
GEPARSIXTO TRIAL: PHASE 2 TRIAL
Carboplatin efficacy in untreated TNBC

Abstract 1001, Valencia, 16-19 October 2021

ASCO 2021
Durvalumab improves long-term outcome in TNBC: Results from the phase II randomized GeparNUEVO study investigating neoadjuvant durvalumab in addition to an anthracycline/taxane based neoadjuvant chemotherapy in early triple-negative breast cancer (TNBC)

Abstract 5001, San Francisco, 12-16 December 2021

ASCO 2021
Background

- PD-(L)1 inhibitors added to monotherapy improves PFS in PD-L1+ metastatic triple negative breast cancer (mTNBC)^{1,2}
- The Safir study raised the hypothesis that durvalumab maintenance therapy improves PFS and OS in mTNBC³
- pCR rates with PD-(L)1 inhibitors were increased when added to neoadjuvant chemotherapy^{4,5}
- The response to CPI was independent of the PD-L1 status in eTNBC
- Tumor Infiltrating Lymphocytes (TILs) correlate highly with other immune genes, e.g. PD-L1⁶ and are predictive for pCR and prognostic in eTNBC^{7,8}
- Durvalumab has demonstrated efficacy in bladder and lung cancer^{9,10}

ASCO 2021
AIM OF THE STUDY
Post-hoc biomarker analysis of RAD51 nuclear foci by IF

Abstract 5002, San Francisco, 12-16 December 2021

ASCO 2021
FEASIBILITY OF RAD51 IN TMA
Prevalence of HRD in untreated TNBC

Abstract 5003, San Francisco, 12-16 December 2021

ASCO 2021
Study Design

Abstract 5004, San Francisco, 12-16 December 2021

ASCO 2021
Efficacy Endpoints

Abstract 5005, San Francisco, 12-16 December 2021

ASCO 2021
ASSOCIATION OF HRD BIOMARKERS
High concordance of RAD51 with BRCA status and genomic HRD score

Abstract 5006, San Francisco, 12-16 December 2021

ASCO 2021
RAD51 AS A PREDICTIVE BIOMARKER
Association of RAD51 with pCR

Abstract 5007, San Francisco, 12-16 December 2021

ASCO 2021
Evaluation of pCR according to sTILs, iTILs change, PD-L1

Abstract 5008, San Francisco, 12-16 December 2021

ASCO 2021
Patient and Tumor Characteristics

| | Durvalumab (n=48) | Placebo (n=48) |
|---------------------------|-------------------|-------------------|
| Age (yrs), median (range) | 49.5 (25.0, 74.0) | 49.5 (23.0, 76.0) |
| CRAB | 7 (14.6) | 1 (2.1) |
| Stage | | |
| Ia and higher | 27 (56.3) | 27 (56.3) |
| IIb | 56 (115.7) | 57 (118.8) |
| TILs | | |
| low (<10%) | 34 (70.8) | 32 (66.7) |
| intermediate (11-30%) | 42 (87.5) | 41 (85.4) |
| high (>30%) | 12 (25.0) | 15 (31.3) |
| Durvalumab/placebo alone | 59 (122.5) | 58 (120.8) |

ASCO 2021
SURVIVAL ENDPOINTS
Marginal long-term carboplatin benefit in RAD51 high and low tumors

Abstract 5009, San Francisco, 12-16 December 2021

ASCO 2021
CONCLUSIONS

- Scoring of RAD51 foci by IF is feasible in untreated TNBC
- In primary TNBC, the RAD51 test is highly concordant with BRCA mutation and genomic HRD score
- RAD51 independently predicts clinical benefit (pCR) from adding Cb to NACT in TNBC
- Our results support further development to incorporate RAD51-testing in the clinical decision making
- Ongoing validations in additional clinical cohorts with different tumor types: see Abstract #455 by Serra et al. ESMO Breast Virtual 2021

ASCO 2021
Statistics

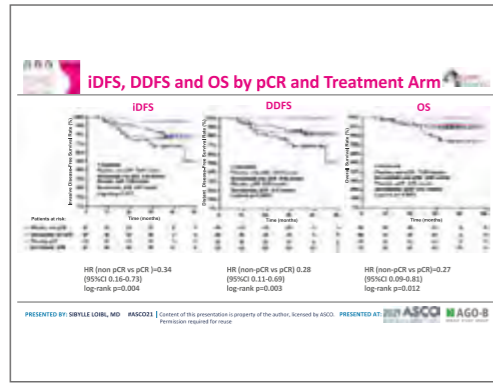
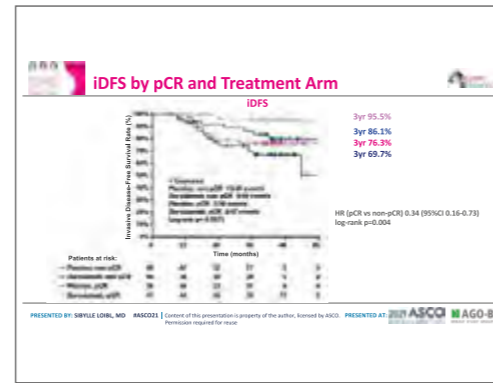
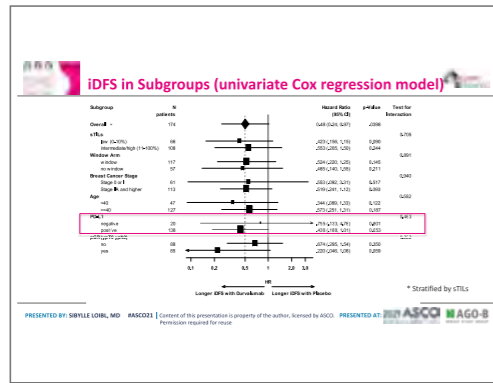
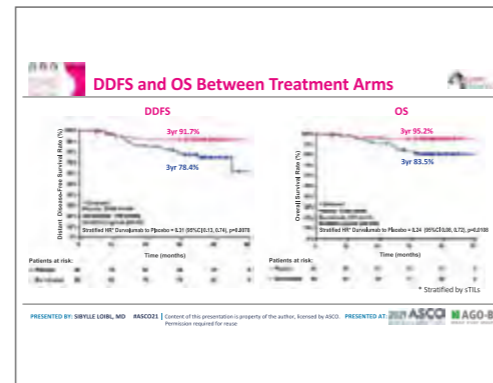
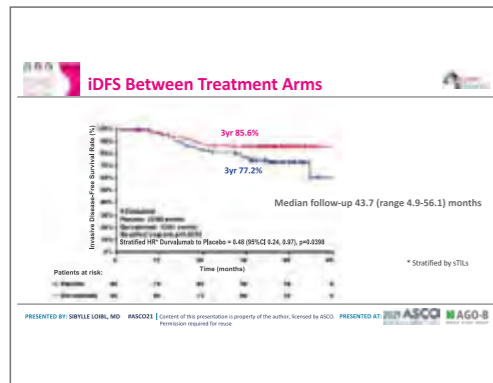
- Primary endpoint was pCR at surgery
- IDFS, DDFS and OS were secondary endpoints
- Statistical considerations
 - The time-to-event analysis was changed from an initially planned event-driven analysis at 43 events (to detect HR=0.773 with 13.5% power) to a time-driven analysis after 3.5 years median follow-up.
 - No adjustment for multiple testing

ASCO 2021
Time-To-Event Analysis

After a median follow-up 43.7 (range 4.9-56.1) months 34 IDFS events were reported (12 in the durvalumab arm and 22 in the placebo arm)

Abstract 5010, San Francisco, 12-16 December 2021

Gepar Nuevo
(ASCO 2021)



iDFS, DDFS and OS by pCR and Treatment Arm

| Endpoint | Category | Everolimus (n/N, %) | Placebo (n/N, %) | HR (95%CI) | Log-rank p-value |
|----------|----------|---------------------|------------------|------------------|------------------|
| iDFS | Non-pCR | 76.3% (29/38) | 66.7% (25/37) | 0.67 (0.25-1.84) | 0.386 |
| | pCR | 95.5% (83/86) | 86.1% (69/80) | 0.22 (0.05-1.06) | 0.038 |
| DDFS | Non-pCR | 84.3% (68/81) | 71.9% (55/77) | 0.48 (0.18-1.25) | 0.124 |
| | pCR | 100% (100/100) | 86.1% (69/80) | 0.00 (0.00-1)* | 0.005 |
| OS | Non-pCR | 92.0% (77/84) | 78.9% (63/80) | 0.30 (0.08-1.09) | 0.053 |
| | pCR | 100% (100/100) | 88.9% (73/81) | 0.00 (0.00-1)* | 0.024 |

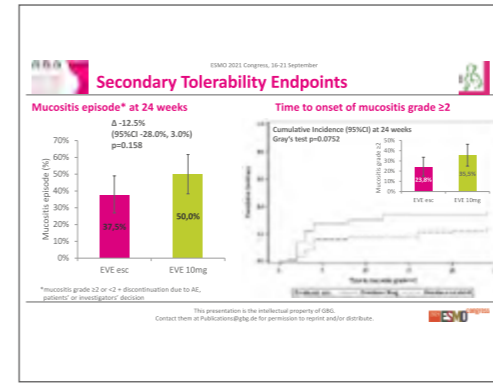
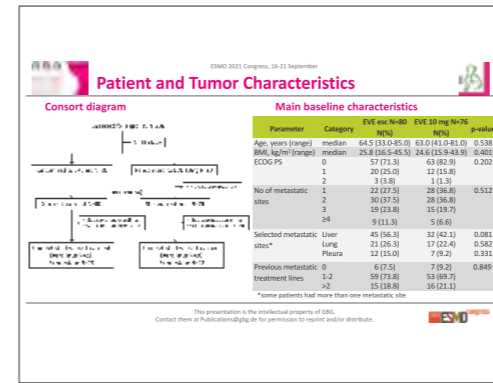
*No events in durvalumab arm

Summary and Conclusions

- Durvalumab added to neoadjuvant chemotherapy in TNBC significantly improved survival
 - iDFS: HR 0.48 (95%CI: 0.24, 0.97), p=0.0388
 - DDFS: HR 0.31 (95%CI 0.13, 0.74), p=0.0078
 - OS: HR 0.24 (95%CI 0.08, 0.73), p=0.0108
- Patients with pCR seem to have a better survival with durvalumab than pCR patients on placebo
- The value of PD-1 for long-term outcome needs to be further explored
- pCR improvement with durvalumab was modest requiring further assessment of association of pCR and longer term outcomes with CPI therapies
- Given these results, the value of adjuvant therapy with CPI needs to be further assessed

A multicenter, randomized, double-blind, phase II study to evaluate the tolerability of an induction dose escalation of everolimus in patients with metastatic breast cancer (DESIREE)

Sibylle Loibl, Marcus Schmidt, Kristina Lübke, Thomas Decker, Marc Thill, Lelia Bauer, Volkmar Müller, Theresa Link, Jenny Furlanetto, Sherko Kümmel, Christoph Mundhenke, Oliver Hoffmann, Mark-Oliver Zahn, Lothar Müller, Carsten Denker, Marion van Mackelenbergh, Peter A Fasching, Nicole Burchardi, Valentina Nekijudova



Conclusions

- DESIREE met its primary objective: fewer patients had mucositis grade ≥2 when the everolimus was escalated within the first 4 weeks.
- A dose escalation schema of everolimus over three weeks can be successfully used in patients with HR+/HER2- mBC to prevent the onset of mucositis grade ≥2 without affecting efficacy.
- Unirad study dose reductions of everolimus were less common in patients starting with 5mg compared to full dose (28.4% vs 46.8%)¹

Study Design

N=156
HR+/HER2- mBC

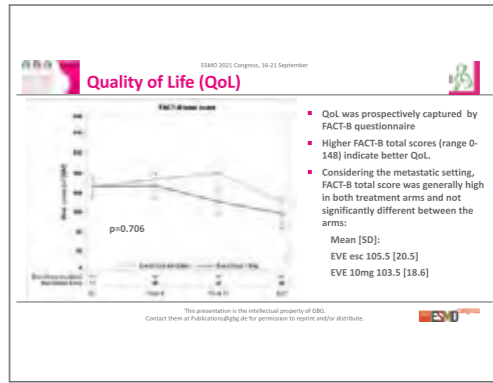
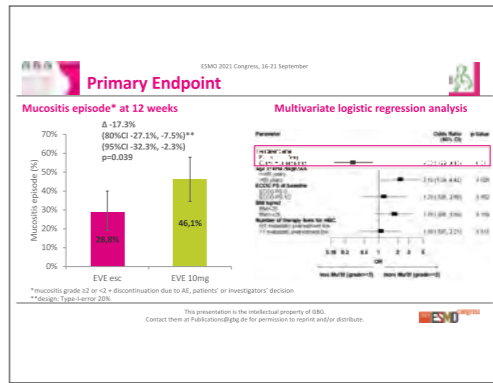
Everolimus 10mg arm (EVE 10mg)
week 1-3: 4 x 2.5 mg/d (blinded)
week 4-24: 10 mg/d (open-label)

Dose escalation arm (EVE esc)
week 1: 2.5 mg (1 x verum + 3 x placebo/d)
week 2: 5 mg (2 x verum + 2 x placebo/d)
week 3: 7.5 mg (3 x verum + 1 x placebo/d)
week 4-24: 10 mg/d (open-label)

Primary endpoint: Mucositis grade ≥2 or <2 and discontinuation due to AE, patient's or investigator's decision within the first 12 weeks of treatment (Mucositis episode)

Key secondary endpoints:
- Clinical benefit rate
- Relative total dose intensity
- Safety
- Quality of life

Statistical assumption:
EVE 10mg: 40% mucositis, EVE esc: 20% mucositis, continuously-corrected α -test, Type-I error: 20% and Power: 90%



DESIREE
(ESMO 2021)

Penelope^B
(ESMO 2021)

Quality of life from the Penelope-B study on high-risk HR+/HER2- early breast cancer patients treated with endocrine therapy with or without palbociclib

ESMO 2021 Congress, 16-21 September

José A. García-Sáenz, Frederik Marmé, Hope S. Rugo, Michael Untch, Hervé Bonnefoi, Sung-Bae Kim, Harry Bear, Nicole McCarthy, Karen Gelmon, Miguel Martín, Catherine M. Kelly, Toralf Reimer, Masakazu Toi, Ernest H. Law, Michael Gnant, Andreas Makris, Sabine Seiler, Nicole Burchardi, Valentina Nekljudova, Sibylle Loibl

This presentation is the intellectual property of GBO. Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Penelope-B Results

ESMO 2021 Congress, 16-21 September

Secondary objective:
- Assessment of Quality of Life and comparison between treatment arms.

Loibl S et al. J Clin Oncol 2021

This presentation is the intellectual property of GBO. Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Patient-reported outcomes (PROs) for the intergroup sentinel mamma study (INSEMA, GBG75, ABCSG43): Persistent impact of axillary surgery on arm and breast symptoms in early breast cancer

San Antonio Breast Cancer Symposium, December 7-10, 2021

Bernad Gerber, Anghr Stach, Kristina Veselovic, Silke Polata, Thomas Miller, Thornton Kilian, Jörg Hell, Bryan Atzavam, Roland Reibhammer, Guido Hilibrand, Michael Krauer, Michael Golatta, Andrea Stiefel, Dirk-Michael Zahn, Marc Thill, Valentina Nekljudova, David Krug, Fenja Seither, Sibylle Loibl, Toralf Reimer

on behalf of the INSEMA Investigators

This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Background

San Antonio Breast Cancer Symposium, December 7-10, 2021

- Previous studies (NSABP B-04 / IBCSG 10-93) have shown a high loco-regional control rate even without axillary lymph node dissection (ALND).
- Despite increasing evidence supporting the sentinel lymph node biopsy (SLNB) alone, ALND remains part of breast cancer treatment guidelines.
- Current approaches including screening population, tumor biology, and more effective systemic treatment emphasize the need for ongoing re-evaluation of "standard" local therapy.
- Quality of life considerations are the primary motivation for abandoning SLNB.¹

Loibl S et al. Breast Cancer 2021

This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.

INSEMA
(SABCS 2021)

Penelope-B Quality of Life (QoL)

ESMO 2021 Congress, 16-21 September

Health related Quality of Life (HRQoL) methodology

- QoL was evaluated using a general (EORTC QLQ-C30), a breast cancer-specific (EORTC QLQ-BR23) and a fatigue symptom (EORTC QLQ-FA13) questionnaires (scores range from 0 to 100).
- Higher scores of C30 and FA13 indicate better functioning and a better General Health Score (GHS/QoL) or worse symptom severity, respectively.
- Patient-reported outcome (PROs) was assessed during screening, on cycles 1, 3, 5, 7, 9, 11, then, every 6 months after end of treatment visit.
- Overall, 924 of 1250 patients (73.9%) completed the baseline and at least one post baseline questionnaire of all PRO instruments.

This presentation is the intellectual property of GBO. Contact them at Publications@gbo.de for permission to reprint and/or distribute.

HRQoL Results

ESMO 2021 Congress, 16-21 September

EORTC QLQ-C30 Global Health Status (GHS)

- GHS by the EORTC QLQ-C30 was generally high in both treatment arms: mean [SD]: palbociclib 70.1 [19.3], placebo 71.4 [18.8]
- Slightly lower GHS in the palbociclib arm (LeastSquare mean difference: 0.82, p<0.001), especially during the active treatment phase of the study.

Loibl S et al. J Clin Oncol 2021

This presentation is the intellectual property of GBO. Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Study Design (NCT02466737) and Main Inclusion Criteria

San Antonio Breast Cancer Symposium, December 7-10, 2021

Primary objective:
• To compare IDFS after BCS (non-inferiority question) between no axillary surgery and SLNB patients (first randomization)

Key secondary objective:
• To compare IDFS after BCS (non-inferiority question) between SLNB alone and completion (cALND) patients (second randomization)

Further secondary objective – topic of this talk:
• Quality of Life (QoL)

QoL: breast cancer free survival, BCS, breast conserving surgery, SLNB, sentinel lymph node biopsy, cALND, completion axillary lymph node dissection

This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Methods

San Antonio Breast Cancer Symposium, December 7-10, 2021

- Patient-reported outcomes (PROs) were assessed at baseline (pre-surgery) and at 1, 3, 6, 12, and 18 months after final axillary surgery using the European Organisation for Research and Treatment of Cancer Quality-of-Life Questionnaire (EORTC QLQ-C30) and its breast cancer (BR23) module.
- Higher scores of C30 and BR23 (range 0-100) indicate better functioning and global health status (GHS)/QoL or worse symptom severity, respectively; score difference of ≥ 5.0 points was considered as clinically meaningful difference.¹
- The QoL scores were analyzed using repeated-measures of mixed-effects models leading to p-values for "treatment" and "time", and for the interaction "treatment-by-time".
- Postoperative whole-breast irradiation was mandatory for all patients. Ipsilateral axillary region was not included in the clinical target volume.²

1. Ibrahim et al. Oncology 2011
2. Ibrahim et al. Int J Radiat Oncol Biol Phys 2010

This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.

HRQoL Results

ESMO 2021 Congress, 16-21 September

Physical functioning (EORTC QLQ-C30)

- Slightly better Physical Functioning was reported in the placebo arm: mean [SD]: palbociclib 85.8 [16.3], placebo 86.7 [15.6], until approximately one year after end of treatment.
- No statistically significant differences were observed for FA13 Physical Functioning Score.

This presentation is the intellectual property of GBO. Contact them at Publications@gbo.de for permission to reprint and/or distribute.

HRQoL Results

ESMO 2021 Congress, 16-21 September

Fatigue (EORTC QLQ-C30)

- Less Fatigue was reported in the placebo arm: mean [SD]: palbociclib 30.3 [23.8], placebo 28.2 [22.7] during the active phase of the study.
- Other QLQ-C30 Scores were comparable between arms
- No relevant differences in BR23 and FA13 questionnaires were documented.

This presentation is the intellectual property of GBO. Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Consort Flow Diagram

San Antonio Breast Cancer Symposium, December 7-10, 2021

Randomization 1 (ITT set)
Randomization 2 (ITT set)
SLNB alone (N=424)
Completion ALND (N=242)

This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Baseline Characteristics, ITT set

San Antonio Breast Cancer Symposium, December 7-10, 2021

| Parameter | Category | First Randomization (SLNB vs No SLNB) | | Second Randomization (cALND vs SLNB alone) | |
|-------------------|---------------------------|---------------------------------------|---------------------|--|------------------|
| | | SLNB (n=424) (%) | No SLNB (n=242) (%) | cALND (n=242) (%) | SLNB (n=242) (%) |
| Age (mean) | <65 years | 254 (60.0) | 142 (58.7) | 140 (57.9) | 138 (56.9) |
| | ≥65 years | 169 (39.9) | 100 (41.3) | 102 (42.1) | 104 (43.1) |
| Tumor size (mean) | ≤2 cm | 379 (89.2) | 227 (93.8) | 199 (81.8) | 176 (72.6) |
| | >2 cm | 45 (10.8) | 19 (7.7) | 44 (18.2) | 46 (19.0) |
| Grading (mean) | G1-2 | 379 (89.4) | 227 (93.8) | 199 (81.8) | 176 (72.6) |
| | G3 | 45 (10.6) | 19 (7.7) | 44 (18.2) | 46 (19.0) |
| CT | cT1 | 379 (89.4) | 227 (93.8) | 199 (81.8) | 176 (72.6) |
| | cT2 | 45 (10.6) | 19 (7.7) | 44 (18.2) | 46 (19.0) |
| Tumor type | lobular carcinoma in situ | 127 (30.0) | 123 (50.8) | 34 (14.0) | 35 (14.5) |
| | lobular carcinoma | 297 (70.0) | 119 (49.2) | 165 (66.0) | 107 (44.5) |
| ER/PR | both negative | 61 (14.4) | 31 (12.8) | 2 (0.8) | 1 (0.4) |
| | ER and/or PR | 463 (109.6) | 211 (87.2) | 140 (57.2) | 141 (58.6) |
| HER2 status | positive | 372 (88.0) | 192 (79.3) | 234 (96.3) | 227 (93.8) |
| | negative | 52 (12.0) | 50 (20.7) | 6 (2.5) | 15 (6.2) |

HER2: human epidermal growth factor receptor 2; CT: tumor center; ITT: intent-to-treat; SLNB: sentinel lymph node biopsy; cALND: completion axillary lymph node dissection.

This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Conclusions

ESMO 2021 Congress, 16-21 September

- Patient-reported global QoL was generally maintained during the study in both treatment arms.
- Slight differences, in terms of Global Health Status, Physical Functioning and Fatigue, statistically favored placebo arm but none met published clinically meaningful thresholds¹.

1. Coicki K, et al. Eur J Cancer

This presentation is the intellectual property of GBO. Contact them at Publications@gbo.de for permission to reprint and/or distribute.

Questionnaire Completion Response, QoL Analysis Set

San Antonio Breast Cancer Symposium, December 7-10, 2021

| Time point | Patients with available QoL data | | | Patients with available QoL data | | |
|------------|----------------------------------|---------------------|---------------------|----------------------------------|------------------|---------------------|
| | SLNB (n=424) (%) | No SLNB (n=242) (%) | Overall (n=666) (%) | cALND (n=242) (%) | SLNB (n=242) (%) | Overall (n=484) (%) |
| Baseline | 3762 (89.3) | 956 (39.5) | 4718 (70.5) | 161 (66.5) | 274 (113.2) | 435 (89.7) |
| 3 months | 3243 (76.5) | 778 (32.1) | 3921 (58.9) | 129 (53.3) | 203 (83.9) | 332 (68.6) |
| 6 months | 3145 (74.3) | 795 (32.8) | 3940 (59.1) | 120 (49.6) | 202 (83.5) | 322 (66.5) |
| 12 months | 3103 (73.2) | 809 (33.4) | 3912 (58.6) | 130 (53.7) | 202 (83.5) | 332 (68.5) |
| 18 months | 2919 (68.9) | 794 (32.8) | 3655 (54.6) | 134 (55.4) | 186 (76.8) | 300 (61.9) |

Questionnaire completion response remained high throughout the trial: over 60% at all time points

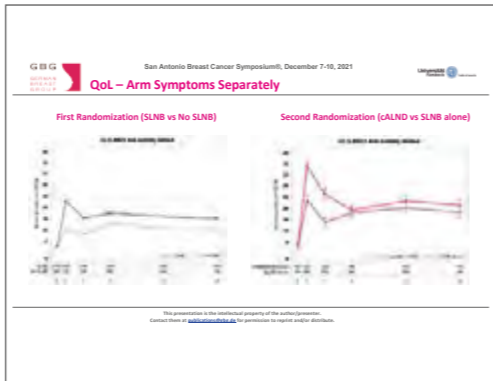
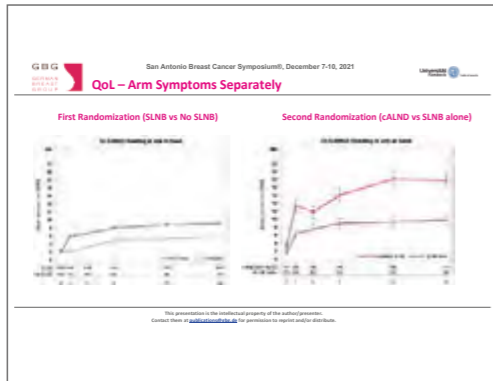
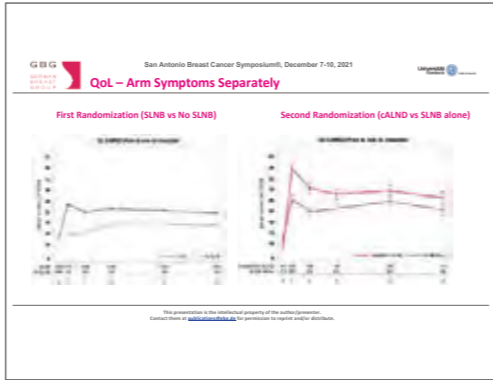
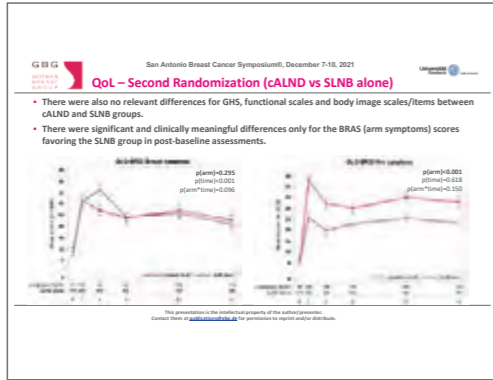
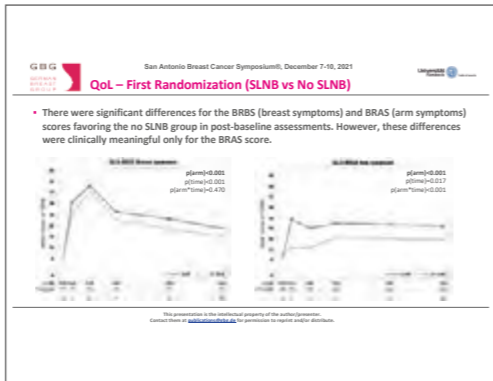
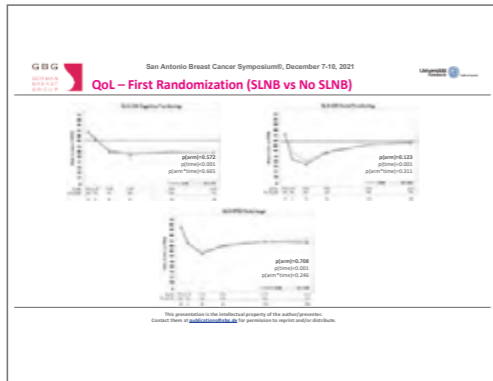
This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.

QoL – First Randomization (SLNB vs No SLNB)

San Antonio Breast Cancer Symposium, December 7-10, 2021

Line graphs showing QoL scores over time for various parameters: Global Health Status, Physical Functioning, Fatigue, and Pain.

This presentation is the intellectual property of the author(s). Contact them at Publications@gbo.de for permission to reprint and/or distribute.



San Antonio Breast Cancer Symposium, December 7-10, 2021

Summary and Conclusion

- INSEMA (including over 5000 patients) is one of the first randomized trials investigating the omission of SLNB in clinically node-negative patients and the first to report QoL data.
- Patients with no SLNB had improved breast and arm symptoms compared to those with SLNB.
- Patients in the SLNB group had improved arm symptoms and functioning compared to those receiving completion ALND.
- No relevant differences in the other QoL scales were seen.
- IDFS data (primary outcome) are expected to be shown by the end of 2024.

Follow-up is ongoing, please continue to support the INSEMA trial

This presentation is the intellectual property of the author/presenter. Contact them at pub@ascop.org for permission to reprint and/or distribute.

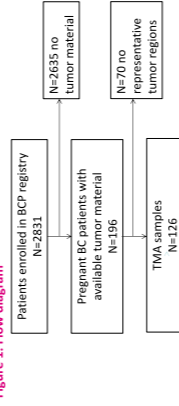
Background

Breast cancer is one of the most common malignancies during pregnancy. Breast cancer in pregnancy (BCP) is still a rare event (1 in 3000 to 10000 pregnancies). The incidence is likely to increase as more women tend to delay childbearing into later life and the overall lifetime cancer risk increases with age. Pregnancy presents a complex and unique immunological condition. Pregnant women are widely considered to be in a kind of immunosuppressed state, making them more susceptible to infectious diseases. Recent studies have shown similarities between malignancies and the semi-allogenic fetus in terms of immune evasion strategies, for example upregulation of non-classical human leukocyte antigen G (HLA-G). The loss or downregulation of HLA (MHC class I) is also a way to escape anti-tumor immunity. In addition, TIGIT (T cell immunoreceptor with Ig and ITIM domains) as well as PD-1/PD-L1 interactions are crucial in establishing immunotolerance in cancer, healthy adult tissue as well as the fetal-maternal interface. The aim of this study was to investigate the tumor biology and immunology of pregnant breast cancer patients and the impact of pregnancy on the immunological characteristics of the breast cancer.

Patients and Methods

Tissue microarrays (TMAs) of formalin-fixed paraffin embedded core biopsies of surgical specimens from 126 pregnant breast cancer patients treated with neo-(adjuvant) chemotherapy were constructed. TMAs were stained via immunohistochemistry to assess estrogen receptor (ER), progesterone receptor (PR), human epidermal growth factor receptor 2 (HER2), Ki-67 (<20% vs >20%), and immunomarkers HLA (53% vs >5%), HLA-G (53% vs >5%), PD-L1 (<1% vs >1%), TIGIT and Nectin-4 as well as hematopoietic stem cell (HSC) for the prevalence of tumor-infiltrating lymphocytes (TILs, <50% vs >50%). PD-L1 expression using the 22C3 antibody (Abcam) was evaluated in tumour cells, immune cells, and in both tumor and immune cells.

Figure 1. Flow diagram



Presented at: San Antonio Breast Cancer Symposium, December 7-10, 2021

Table 1. Patient and tumor characteristics

| Parameter | Category | Overall N=126 (N%) |
|-------------------------|--|---|
| Age at diagnosis, years | 18-29 30-34 35-39 ≥40 | 14 (11.1) 56 (44.4) 45 (35.7) 11 (8.7) |
| T stage* | T1 T2 T3 T4 | 30 (23.8) 69 (54.8) 20 (15.9) 7 (5.6) |
| N stage* | N0 N1 N2 N3 | 69 (54.8) 39 (31.0) 13 (10.3) 7 (5.6) |
| Tumor grading | G1 G2 G3 | 1 (0.8) 89 (68.3) 111 (89.5) |
| Histological tumor type | ductal or ductal-lobular invasive lobular invasive other | 7 (5.6) 6 (4.8) 53 (42.1) |
| HR status** | both ER and PgR negative ER and/or PgR positive | 73 (57.9) 95 (78.5) |
| HER2 status** | negative positive | 26 (21.5) 99 (83.9) |
| M status at diagnosis | M0 M1 M2 M3 M4 | 5 (4.2) 14 (11.9) 42 (34.7) 7 (5.8) 19 (15.7) |
| Biological subtype** | TNBC HER2+/HR- HER2+/HR+ HER2-/HR+ | 53 (43.8) 56 (46.7) 64 (53.3) 3 (4.8) |
| Ki-67 at diagnosis** | <20% 20-30% 31-60% ≥60% | 58 (93.5) 3 (4.8) 1 (1.6) |
| TILs* | | |

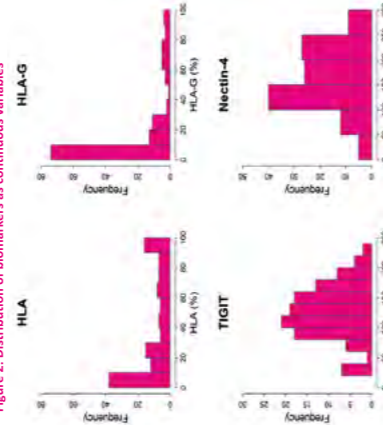
*maximum of CT and 21 resp. 28 and 28, **assessed from stained TMAx (via immunohistochemistry) by the central pathology, Marburg. Data are N (valid %)

Results

Table 2. PD-L1, HLA-A and HLA-G in the pregnant cohort

| Parameter | Category | Overall N=126 (N%) |
|-----------------------------------|----------------------|------------------------|
| PD-L1 (immune cells) | negative positive | 91 (76.5) 28 (23.5) |
| PD-L1 (tumor cells) | negative positive | 108 (90.8) 11 (9.2) |
| PD-L1 (tumor and/or immune cells) | negative positive | 91 (77.8) 26 (22.2) |
| HLA | >5% 55% | 96 (78.7) 65 (63.7) |
| HLA-G | >5% | 56 (46.3) |

Figure 2. Distribution of biomarkers as continuous variables



- Median age of the patients was 34 (range 26-47) years. Among breast cancer subtypes, most patients had either TNBC (34.7%) or HER2+/HR+ (43.8%) tumors. 53.3% of patients had a high expression of Ki-67 (≥20%). TILs were detected in 82 out of 126 (65.2%) analyzed patients, of whom 93.5% (N=58) had a low expression of TILs (≤20%) (Table 1).
- HLA expression (53%) was downregulated in 21.3% of patients while 46.3% showed upregulation of HLA-G expression (35%). Most tumors in our cohort were PD-L1 negative for both tumor cells (90.8%) and immune cells (76.5%) (Table 2).
- Overall, the median H-score was 127 (range 23.6-235) for TIGIT and 156 (range 4.9-288) for Nectin-4 (Figure 2).
- An increased but not significant median expression of HLA-G was observed in patients with T3/4 (N=27) compared to T1/2 (N=59) tumor stage (12.4% vs 3.4%, respectively, p=0.228) whereas the TIGIT median expression was significantly higher in patients with T1/2 compared to those with T3/4 tumor stage (135 vs. 116, respectively, p=0.02). There was no significant difference in the median expression of the other biomarkers HLA, PD-L1 and Nectin-4 in T1/2 vs. T3/4 subgroups. The median expression of all biomarkers did also not significantly differ in NO vs. N+ subgroups.

Conclusions

- A heterogeneity of immunomarker expression was detected in the entire cohort of pregnant breast cancer patients.
- Subgroup analysis showed a significantly higher expression of TIGIT in patients with T1/2 tumor stage, which might be a sign of the initial anti-tumor response with activation of T- and NK-cells that decreases during tumor progression.
- Taken together, these findings suggest a heterogeneity of immunomarkers in tumor tissue, which might be related to the specific immunological situation during pregnancy. These results are hypothesis generating and further analyses are ongoing to evaluate the impact of this heterogeneity on non-pregnant patient cohort.

References

1. Lohr S, Schmidt A, Gentilini O, et al. Breast Cancer Diagnosed During Pregnancy. *Adapting Recent Advances in Breast Cancer Care for Pregnant Patients.* JAMA Oncol. 2015;1:1145-53.
2. Jank P, Cahan HK. Immunology of pregnancy: Implications for the mother. Clin Rev Allergy Immunol. 2006;26:161-70.
3. Casadevall ED, Bouas-Freitas N, Torvik LR, Roux D, et al. HLA-G: An Immune Checkpoint Molecule. *Adv Immunol.* 2015;177:333-146.
4. Casadevall ED, Bouas-Freitas N, Torvik LR, Roux D, et al. HLA-G: An Immune Checkpoint Molecule. *Adv Immunol.* 2015;177:333-146.
5. Harjula H, Gullerney C. TIGIT as an emerging immune checkpoint. Clin Exp Immunol. 2020;200:108-115.

This presentation is the intellectual property of the author/presenter. Contact them at publications@gbg.de for permission to reprint and/or distribute.

The trial was financially supported by GBG and Philipps-Universität Marburg, Germany

mdm2 gene amplification in estrogen receptor-positive breast cancer cells is associated with enhanced solid tumor growth and pronounced metastatic potential in humanized PDX mice and a poor outcome of luminal breast cancer disease

Anja Kathrin Wege¹, Christina Solbach², Eva-Maria Rom-Jurek³, Jens-Uwe Blohmer⁴, Paul Jank⁵, Andreas Trumm⁶, Elisabeth Marangoni⁷, Knut Engels⁸, Wilko Weichert⁹, Nicole Pfarr¹⁰, Christoph Irbeck¹¹, Bernhard Polzer¹¹, Olaf Ortmann¹¹, Marion van Mackelenbergh¹², Carsten Denkert¹³, Sibylle Labbé¹⁴, Gero Brockhoff¹⁵

¹ Department of Gynecology and Obstetrics, University Medical Center Regensburg, Regensburg, Germany; ² Department of Gynecology and Obstetrics, University Hospital Frankfurt, Germany; ³ Gynecology and Obstetrics, Charité University Medicine Berlin; ⁴ Institute of Pathology, UKGM University Hospital Marburg, Germany; ⁵ German Breast Group, New-Isenburg, Germany; ⁶ Department of Gynecology and Obstetrics, University Hospital Frankfurt, Germany; ⁷ Department of Gynecology and Obstetrics, University Hospital Frankfurt, Germany; ⁸ Department of Gynecology and Obstetrics, University Hospital Frankfurt, Germany; ⁹ Department of Gynecology and Obstetrics, University Hospital Frankfurt, Germany; ¹⁰ Department of Gynecology and Obstetrics, University Hospital Frankfurt, Germany; ¹¹ Department of Gynecology and Obstetrics, University Hospital Frankfurt, Germany; ¹² Universitätsklinikum Hamburg, Germany; ¹³ Universitätsklinikum Hamburg, Germany; ¹⁴ Universitätsklinikum Hamburg, Germany; ¹⁵ Universitätsklinikum Hamburg, Germany

Background

Luminal, i.e., estrogen receptor-positive (ER+) breast cancer (BC) is a heterogeneous disease in terms of tumor progression, therapy response, and relapse. Additional biomarkers with a prognostic and predictive impact could facilitate advanced patient stratification and can reveal advanced therapeutic options for individual patients suffering from BC subtypes.

Patients and Methods

Generation of NSG based hPDX: CD34+ hematopoietic stem cells (HSC) were isolated from the umbilical cord blood and transplanted into neonatal NOD.Cg-Pdxidcsd Il2rym1Wj/Sz (NSG) mice 3 hours post 1 Gy irradiation as previously described¹. BC samples were transplanted in 7-8 weeks old humanized female NSG mice together with a s.c. 0.18 mg 17β-estradiol pellet (Innovative Research of America). In addition, 3 previously established, patient-derived xenograft (PDX) models (PT-S2, PT-S3, and PT-S4) provided by Elisabetta Marangoni (Institute Curie, Paris, France), and 2 PDX models (PT-CTC and PT-EZ) provided by Andreas Trumm (HI-Stem, Heidelberg, Germany) were also used. Differences between tumor volume of wild type (mdm2^{fl/fl}) and amplified (mdm2^{amp}) hPDX models were assessed by Student's t-test. For the functional assays *in-vitro*, ZR-75-1 BC cells were treated with the mdm2 inhibitor AMG232. Cell proliferation and apoptosis migration cell capacity over time, and Dunnett's multiple comparisons test was applied.

GEPA-TRO patient cohort for mdm2 assessment: For this study we selected tissue specimens previously diagnosed as Luminal BC from the GepearTro (NCT00544765) trial². All patients within the trial received an anthracycline/taxane based neoadjuvant chemotherapy. Dual color FISH was applied on pretherapeutic TMA-samples to monitor mdm2 gene and the cent12 region (mdm2 score 1 corresponding to normal and score 2-3 corresponding to gain expression). Association with DFS and OS were analyzed by Cox regression models, with 95% confidence interval (CI) and presented as Kaplan-Meier curves.

Primary objective: Identification and validation of biomarkers associated with successful treatment, augmented tumor growth, and enhanced metastasis upon xenotransplantation into humanized mice.
Secondary objective: Retrospective validation and correlation of aforementioned markers with clinical outcomes of Luminal BC patients within the GepearTro trial.

Results

Figure 3: Functional assays: apoptosis (A), proliferation (B) and migration (C) on ZR-75-1 BC cells treated with the mdm2 inhibitor AMG232

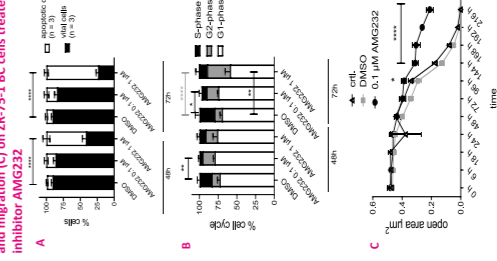
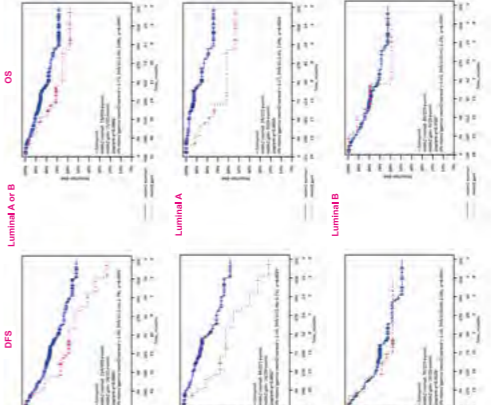


Figure 4: DFS and OS in patients with mdm2 gain versus normal expression



mdm2 targeting with 1 μM AMG232 induced a significant fraction of apoptotic cells (p < 0.0001) (Fig. 3A). In addition, AMG232 treatment caused a reduced S-phase fraction (48h; p = 0.008, 72h; p = 0.049), a reduced G1-phase after 72h (p = 0.0027) and an elevated fraction of G2 (p < 0.0001) (Fig. 3B). Finally, cells exposed to mdm2 targeting (AMG232) showed a delayed scratch overgrowth in the wound-healing assay (Fig. 3C). Patients with mdm2 gain showed a statistically significantly worse DFS (HR = 1.80 [95%CI 1.16-2.79], log rank p = 0.008) and OS (HR = 1.75 [95%CI 1.00-3.05], log-rank p = 0.047) compared to those without mdm2 alteration in the entire Luminal BC cohort. Similar results were observed in patients with Luminal-A (DFS: HR = 2.56 [95%CI 1.40-4.71], p = 0.002; OS: HR = 3.27 [95%CI 1.51-7.09], log-rank p = 0.002) but not with the Luminal-B subcohort (DFS: HR = 1.16 [95%CI 0.60-2.26], log-rank p = 0.653; OS: HR = 0.95 [95%CI 0.41-2.23], log-rank p = 0.911) (Fig. 4).

Conclusions

- An mdm2 gene amplification promotes growth and progression of ER+ BC in a preclinical humanized xenograft NSG mouse model.
- mdm2 inhibition of ER+ BC cells *in-vitro* reduces cell proliferation and induces tumor cell apoptosis.
- An unfavorable impact of an mdm2 gain on survival outcome of Luminal BC patients is mainly caused within the Luminal-A BC sub-cohort
- Prospective studies are required to verify the suitability of mdm2 for advanced Luminal BC stratification and therapeutic targeting of ER+ BC.

References

1. Lohr S, Poonram P, Morrow M, Dierker C, Cangelino G, Luedtke J, et al. *Interruption of pregnancy and induction of labor in breast cancer patients: A retrospective analysis of 100 cases.* J Clin Oncol. 2011;29:204-206.
2. von Minckwitz G, Kimmig S, Vogel P, et al. *Neel Cancer Int.* 2008;10:555-62.
3. Hubner J, von Minckwitz G, Schuster C, et al. *Breast Cancer Res Treat.* 2015;151:231-241.

Presented at: San Antonio Breast Cancer Symposium, December 7-10, 2021

This study was funded by the German Cancer Aid (Deutsche Krebshilfe, "TransLUMINAL-B" project, funding no.: 1111536)

This presentation is the intellectual property of the authors/presenter. Contact information: Anja.Wege@ukr.de, Gero Brockhoff@ukr.de, publications@gbg.de

PENELOPE

PS-13-36

Germine BRCA1/2 and other predisposition genes in high-risk early-stage HR-/HER2- breast cancer (BC) patients treated with endocrine therapy (ET) with or without palbociclib: A secondary analysis from the PENELOPE-B study

Sibylle Lobli¹, Jan Hauke², Karen Gelmon³, Frederik Marme⁴, Miguel Martin⁵, Hervé Bonnefoi⁶, Sung-Bae Kim⁷, Harry Bear⁸, Agnieszka Witkiewicz⁹, Nicholas Turner¹⁰, Nicole McCarthy¹¹, Nicola Piccinini¹², Laura Van't Veer¹³, Olga Valotz¹⁴, Karsten Weber¹⁵, Eric Lahmert¹⁶, Frederik Rojop¹⁷, Peter A. Fasching¹⁸, José A García-Sáenz¹⁹, Catherine M. Kelly²⁰, Toralf Reimer²¹, Masakazu Toji²², Hope S. Rugo²³, Carsten Denkert²⁴, Michael Grant²⁵, Andreas Makris²⁶, Yuan Liu²⁷, Olga Valotz²⁸, Barbara Felder²⁹, Karsten Weber³⁰, Agnieszka Witkiewicz³¹, Nicholas Turner³², Valentina Nekljudova³³, Eric Lahmert³⁴

¹German Breast Group, Neu-Ulm, Germany; ²Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ³Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ⁴Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ⁵Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ⁶Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ⁷Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ⁸Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ⁹Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹⁰Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹¹Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹²Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹³Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹⁴Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹⁵Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹⁶Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹⁷Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹⁸Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ¹⁹Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²⁰Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²¹Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²²Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²³Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²⁴Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²⁵Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²⁶Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²⁷Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²⁸Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ²⁹Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ³⁰Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ³¹Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ³²Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ³³Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany; ³⁴Center for Breast and Endocrine Oncology, University Hospital Bonn, Bonn, Germany

Background

The PENELOPE-B trial (Figure 1) did not show an improved invasive disease-free survival (IDFS) by adding palbociclib to ET in high-risk HR-/HER2- BC. In a HR-/HER2- patient population germline (g) BRCA1/2 mutations were observed in approximately 14% and BRCA1/2 plus other BC predisposition gene mutations in 20%. In metastatic BC CDK4/6 inhibitors may have greater activity in patients with a BRCA mutation detected in ctDNA³⁵. Here, we aimed to investigate the incidence of mutations in gBRCA1/2 and other BC disposition genes (expected to be 10% and 13%, OBG data on file) and their impact on patient outcome in PENELOPE-B.



Patients and Methods

Blood samples from 898 of the 1230 PENELOPE-B patients were available. 445 patients were sampled following a case-cohort design³⁶ (all 220 cases defined as patients with any event during follow-up and 225 randomly selected patients without any event) and analyzed by targeted next generation sequencing (NGS) for germline variants in BRCA1/2 and 16 non-BRCA1/2 cancer predisposition genes: ATM, BARD1, BRIP1, CDH1, CHEK2, FANCD1, MRE11A, NBN, PALB2, PTEN, RAD50, RAD51C, RAD51D, STK11, TP53, XRCC2. The primary definition of mutational status was the prevalence of a pathogenic mutation (mt) in one or more analyzed BC predisposition genes. Statistical analyses were based on inverse probability weighting. For time-to-event endpoints (IDFS, distant disease-free survival (DDFS), and overall survival (OS)) weighted Cox proportional hazard models and weighted Kaplan-Meier estimates were used. Confidence intervals (CI) for 3-year survival rates and hazard ratios as well as interaction p-values were created by resampling.

Table 1. Baseline patient and tumor characteristics

| Parameter | Category | Placebo N (%) | Palbociclib N (%) | Overall N (%) |
|--|-------------------------|---------------|-------------------|---------------|
| Age at diagnosis, years | ≤50 | 120 (59.2) | 133 (57.1) | 253 (58.1) |
| | >50 | 89 (40.8) | 100 (42.9) | 189 (41.9) |
| Menopausal status | premenopausal | 102 (50.1) | 120 (51.0) | 222 (50.6) |
| | postmenopausal | 107 (49.9) | 115 (49.0) | 220 (49.4) |
| Global region | Non-Asian | 105 (92.8) | 115 (91.9) | 210 (92.4) |
| | Asian | 14 (7.2) | 18 (8.1) | 32 (7.6) |
| ct at first diagnosis | CT1/2 | 105 (52.4) | 117 (52.4) | 222 (52.4) |
| | CT3/4 | 103 (47.6) | 116 (47.6) | 219 (47.6) |
| cn at first diagnosis | negative | 19 (9.3) | 23 (11.3) | 42 (10.3) |
| | positive | 190 (90.7) | 210 (88.7) | 400 (89.7) |
| Ki67 % at randomization | ≤15% | 149 (77.6) | 164 (76.0) | 313 (76.8) |
| | >15% | 60 (22.4) | 69 (24.0) | 129 (23.2) |
| Histological lymph node status at ypN0-1 randomization | ypN0-1 | 100 (49.2) | 115 (49.5) | 215 (49.3) |
| | ypN2-3 | 109 (50.8) | 118 (50.5) | 227 (50.7) |
| Risk status at randomization | CPS-EG score 2 and yPN+ | 77 (40.8) | 88 (41.2) | 165 (41.0) |
| | CPS-EG score ≥3 | 132 (59.2) | 145 (58.8) | 277 (59.0) |
| ypT | ypT0/1/2 | 155 (75.8) | 178 (79.0) | 333 (77.5) |
| | ypT3/4 | 53 (24.2) | 55 (21.0) | 108 (22.5) |
| Grading | grade 1/2 | 119 (58.9) | 139 (60.1) | 258 (59.6) |
| | grade 3 | 86 (41.1) | 91 (39.9) | 177 (40.4) |

*numbers given are unweighted, % are weighted and do not consider missing (valid %). **one carried a gATM mt in 5 ATM, n=2 RAD50, n=1 for BARD1, FANCA, MRE11A, RAD51C, RAD51D, TP53 and n=1 for RAD51D and BRIP1



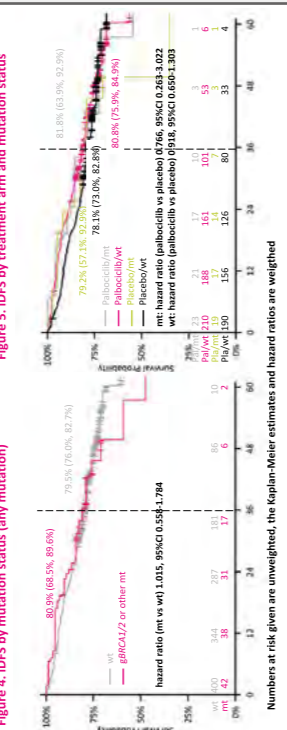
Numbers given are unweighted, % are weighted and do not consider missing (valid %). **one carried a gATM mt in 5 ATM, n=2 RAD50, n=1 for BARD1, FANCA, MRE11A, RAD51C, RAD51D, TP53 and n=1 for RAD51D and BRIP1

This case-cohort analysis of 442 patients enrolled in the PENELOPE-B trial is the largest investigation that analyzed BC predisposition genes in HR- patients. The detection of BC predisposition genes was lower than expected. In this subset of patients from the PENELOPE-B trial, patients with gBRCA1/2 or other BC disposition genes had a comparable outcome to non-carriers overall and irrespective of treatment.

This presentation is the intellectual property of the author/presenter. Contact them at publications@gbg.de for permission to reprint and/or distribute.

Results

442 of 445 patients were successfully analyzed for mutational status (Figure 2). Baseline characteristics were well balanced between arms and are presented in Table 1. Mutations in gBRCA and other BC predisposition genes are summarized in Figure 3. The clinical baseline variables did not differ between patients with versus without mutation with respect to all genes analyzed. With regard to gBRCA and gBRCA2 genes only, age was different between patients with versus without mutation but not other clinical variables. All 15 (100%) gBRCAmt carriers were younger than 50 years compared to 238 (58%) wildtype (wt) patients. After a median follow-up of 42.9 months, mutational status (mt vs. wt) based on all genes analyzed was not prognostic in terms of IDFS (Figure 4). Similar results were obtained for DDFS (hazard ratio 0.970; 95%CI 0.521-1.756) and OS (0.766; 95%CI 0.274-1.615). Neither mutated patients nor the wildtype patients had a benefit from palbociclib treatment (Figure 5). Interaction tests for treatment-arm/mutational status for all time-to-event endpoints were not statistically significant. Analysis by gBRCA1/2 showed similar results but had less statistical power.



Numbers at risk given are unweighted, the Kaplan-Meier estimates and hazard ratios are weighted

Conclusions

This case-cohort analysis of 442 patients enrolled in the PENELOPE-B trial is the largest investigation that analyzed BC predisposition genes in HR- patients. The detection of BC predisposition genes was lower than expected. In this subset of patients from the PENELOPE-B trial, patients with gBRCA1/2 or other BC disposition genes had a comparable outcome to non-carriers overall and irrespective of treatment.

This presentation is the intellectual property of the author/presenter. Contact them at publications@gbg.de for permission to reprint and/or distribute.

Background

The PENELOPE-B trial (Figure 1) did not show an improved invasive disease-free survival (IDFS) by adding palbociclib to ET in high-risk HR-/HER2- BC. In a HR-/HER2- patient population germline (g) BRCA1/2 mutations were observed in approximately 14% and BRCA1/2 plus other BC predisposition gene mutations in 20%. In metastatic BC CDK4/6 inhibitors may have greater activity in patients with a BRCA mutation detected in ctDNA³⁵. Here, we aimed to investigate the incidence of mutations in gBRCA1/2 and other BC disposition genes (expected to be 10% and 13%, OBG data on file) and their impact on patient outcome in PENELOPE-B.



Patients and Methods

Blood samples from 898 of the 1230 PENELOPE-B patients were available. 445 patients were sampled following a case-cohort design³⁶ (all 220 cases defined as patients with any event during follow-up and 225 randomly selected patients without any event) and analyzed by targeted next generation sequencing (NGS) for germline variants in BRCA1/2 and 16 non-BRCA1/2 cancer predisposition genes: ATM, BARD1, BRIP1, CDH1, CHEK2, FANCD1, MRE11A, NBN, PALB2, PTEN, RAD50, RAD51C, RAD51D, STK11, TP53, XRCC2. The primary definition of mutational status was the prevalence of a pathogenic mutation (mt) in one or more analyzed BC predisposition genes. Statistical analyses were based on inverse probability weighting. For time-to-event endpoints (IDFS, distant disease-free survival (DDFS), and overall survival (OS)) weighted Cox proportional hazard models and weighted Kaplan-Meier estimates were used. Confidence intervals (CI) for 3-year survival rates and hazard ratios as well as interaction p-values were created by resampling.

Table 1. Baseline patient and tumor characteristics

| Parameter | Category | Placebo N (%) | Palbociclib N (%) | Overall N (%) |
|--|-------------------------|---------------|-------------------|---------------|
| Age at diagnosis, years | ≤50 | 120 (59.2) | 133 (57.1) | 253 (58.1) |
| | >50 | 89 (40.8) | 100 (42.9) | 189 (41.9) |
| Menopausal status | premenopausal | 102 (50.1) | 120 (51.0) | 222 (50.6) |
| | postmenopausal | 107 (49.9) | 115 (49.0) | 220 (49.4) |
| Global region | Non-Asian | 105 (92.8) | 115 (91.9) | 210 (92.4) |
| | Asian | 14 (7.2) | 18 (8.1) | 32 (7.6) |
| ct at first diagnosis | CT1/2 | 105 (52.4) | 117 (52.4) | 222 (52.4) |
| | CT3/4 | 103 (47.6) | 116 (47.6) | 219 (47.6) |
| cn at first diagnosis | negative | 19 (9.3) | 23 (11.3) | 42 (10.3) |
| | positive | 190 (90.7) | 210 (88.7) | 400 (89.7) |
| Ki67 % at randomization | ≤15% | 149 (77.6) | 164 (76.0) | 313 (76.8) |
| | >15% | 60 (22.4) | 69 (24.0) | 129 (23.2) |
| Histological lymph node status at ypN0-1 randomization | ypN0-1 | 100 (49.2) | 115 (49.5) | 215 (49.3) |
| | ypN2-3 | 109 (50.8) | 118 (50.5) | 227 (50.7) |
| Risk status at randomization | CPS-EG score 2 and yPN+ | 77 (40.8) | 88 (41.2) | 165 (41.0) |
| | CPS-EG score ≥3 | 132 (59.2) | 145 (58.8) | 277 (59.0) |
| ypT | ypT0/1/2 | 155 (75.8) | 178 (79.0) | 333 (77.5) |
| | ypT3/4 | 53 (24.2) | 55 (21.0) | 108 (22.5) |
| Grading | grade 1/2 | 119 (58.9) | 139 (60.1) | 258 (59.6) |
| | grade 3 | 86 (41.1) | 91 (39.9) | 177 (40.4) |

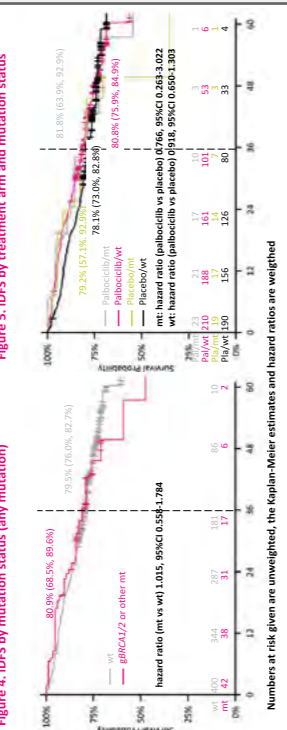
*numbers given are unweighted, % are weighted and do not consider missing (valid %). **one carried a gATM mt in 5 ATM, n=2 RAD50, n=1 for BARD1, FANCA, MRE11A, RAD51C, RAD51D, TP53 and n=1 for RAD51D and BRIP1



Numbers given are unweighted, % are weighted and do not consider missing (valid %). **one carried a gATM mt in 5 ATM, n=2 RAD50, n=1 for BARD1, FANCA, MRE11A, RAD51C, RAD51D, TP53 and n=1 for RAD51D and BRIP1

Results

442 of 445 patients were successfully analyzed for mutational status (Figure 2). Baseline characteristics were well balanced between arms and are presented in Table 1. Mutations in gBRCA and other BC predisposition genes are summarized in Figure 3. The clinical baseline variables did not differ between patients with versus without mutation with respect to all genes analyzed. With regard to gBRCA and gBRCA2 genes only, age was different between patients with versus without mutation but not other clinical variables. All 15 (100%) gBRCAmt carriers were younger than 50 years compared to 238 (58%) wildtype (wt) patients. After a median follow-up of 42.9 months, mutational status (mt vs. wt) based on all genes analyzed was not prognostic in terms of IDFS (Figure 4). Similar results were obtained for DDFS (hazard ratio 0.970; 95%CI 0.521-1.756) and OS (0.766; 95%CI 0.274-1.615). Neither mutated patients nor the wildtype patients had a benefit from palbociclib treatment (Figure 5). Interaction tests for treatment-arm/mutational status for all time-to-event endpoints were not statistically significant. Analysis by gBRCA1/2 showed similar results but had less statistical power.



Numbers at risk given are unweighted, the Kaplan-Meier estimates and hazard ratios are weighted

Conclusions

This case-cohort analysis of 442 patients enrolled in the PENELOPE-B trial is the largest investigation that analyzed BC predisposition genes in HR- patients. The detection of BC predisposition genes was lower than expected. In this subset of patients from the PENELOPE-B trial, patients with gBRCA1/2 or other BC disposition genes had a comparable outcome to non-carriers overall and irrespective of treatment.

This presentation is the intellectual property of the author/presenter. Contact them at publications@gbg.de for permission to reprint and/or distribute.

Background

Molecular plasticity of breast cancer can contribute to the development of therapy-resistant disease. In this investigation, we studied changes in molecular signatures between pretherapeutic (pre-Tx) and post-therapeutic (post-NACT) tumor samples from patients included in the PENELOPE-B (NCT01864746) trial (Figure 1). After completion of NACT, PENELOPE-B patients were randomized to palbociclib or placebo in addition to standard endocrine therapy. The PENELOPE-B study did not show a significant benefit from palbociclib in women with HR+, HER2- primary breast cancer without a pathological complete response after taxane-containing neoadjuvant chemotherapy (NACT) and at high-risk of relapse (CPS-EG score ≥3 or 2 and yPN+). However, the first translational investigations showed that some patients with a luminal-B tumor subtype, based on absolute intrinsic molecular subtyping (AIMS) subtyping after NACT, had a numerical benefit from post-NACT palbociclib. We have therefore extended the analysis and included a cohort of paired pre-Tx and post-NACT samples.

Methods

We investigated gene expression in pre-Tx (n=540) tumor tissue samples using the HTG EdgeSeq Oncology Biomarker Panel including 2549 genes (HTG Molecular Diagnostics Inc.); for the same patients the same panel on post-NACT residual tumor samples were available. Based on 91 genes of this panel, the AIMS subtype was identified. In addition, we performed exploratory biomarker analyses to identify genes with prognostic and predictive relevance.



Background

Molecular plasticity of breast cancer can contribute to the development of therapy-resistant disease. In this investigation, we studied changes in molecular signatures between pretherapeutic (pre-Tx) and post-therapeutic (post-NACT) tumor samples from patients included in the PENELOPE-B (NCT01864746) trial (Figure 1). After completion of NACT, PENELOPE-B patients were randomized to palbociclib or placebo in addition to standard endocrine therapy. The PENELOPE-B study did not show a significant benefit from palbociclib in women with HR+, HER2- primary breast cancer without a pathological complete response after taxane-containing neoadjuvant chemotherapy (NACT) and at high-risk of relapse (CPS-EG score ≥3 or 2 and yPN+). However, the first translational investigations showed that some patients with a luminal-B tumor subtype, based on absolute intrinsic molecular subtyping (AIMS) subtyping after NACT, had a numerical benefit from post-NACT palbociclib. We have therefore extended the analysis and included a cohort of paired pre-Tx and post-NACT samples.

Methods

We investigated gene expression in pre-Tx (n=540) tumor tissue samples using the HTG EdgeSeq Oncology Biomarker Panel including 2549 genes (HTG Molecular Diagnostics Inc.); for the same patients the same panel on post-NACT residual tumor samples were available. Based on 91 genes of this panel, the AIMS subtype was identified. In addition, we performed exploratory biomarker analyses to identify genes with prognostic and predictive relevance.



PENELOPE

PD-02-04

Molecular plasticity of luminal breast cancer and response to CDK 4/6 inhibition – the biomarker program of the PENELOPE-B trial investigating post-neoadjuvant Palbociclib

Carsten Denkert¹, Frederik Marme², Miguel Martin³, Hervé Bonnefoi⁴, Sung-Bae Kim⁵, Harry Bear⁶, Agnieszka Witkiewicz⁷, Seok-Ah Im⁸, Angela Delicich⁹, Laura Van't Veer¹⁰, Olga Valotz¹¹, Karsten Weber¹², Eric Lahmert¹³, Frederik Rojop¹⁴, Peter A. Fasching¹⁵, Julia Topf-Saymanski¹⁶, Hope S. Rugo¹⁷, Masakazu Toji¹⁸, Masakazu Toji¹⁹, Masakazu Toji²⁰, Masakazu Toji²¹, Masakazu Toji²², Masakazu Toji²³, Masakazu Toji²⁴, Masakazu Toji²⁵, Masakazu Toji²⁶, Masakazu Toji²⁷, Masakazu Toji²⁸, Masakazu Toji²⁹, Masakazu Toji³⁰, Masakazu Toji³¹, Masakazu Toji³², Masakazu Toji³³, Masakazu Toji³⁴, Masakazu Toji³⁵, Masakazu Toji³⁶, Masakazu Toji³⁷, Masakazu Toji³⁸, Masakazu Toji³⁹, Masakazu Toji⁴⁰, Masakazu Toji⁴¹, Masakazu Toji⁴², Masakazu Toji⁴³, Masakazu Toji⁴⁴, Masakazu Toji⁴⁵, Masakazu Toji⁴⁶, Masakazu Toji⁴⁷, Masakazu Toji⁴⁸, Masakazu Toji⁴⁹, Masakazu Toji⁵⁰, Masakazu Toji⁵¹, Masakazu Toji⁵², Masakazu Toji⁵³, Masakazu Toji⁵⁴, Masakazu Toji⁵⁵, Masakazu Toji⁵⁶, Masakazu Toji⁵⁷, Masakazu Toji⁵⁸, Masakazu Toji⁵⁹, Masakazu Toji⁶⁰, Masakazu Toji⁶¹, Masakazu Toji⁶², Masakazu Toji⁶³, Masakazu Toji⁶⁴, Masakazu Toji⁶⁵, Masakazu Toji⁶⁶, Masakazu Toji⁶⁷, Masakazu Toji⁶⁸, Masakazu Toji⁶⁹, Masakazu Toji⁷⁰, Masakazu Toji⁷¹, Masakazu Toji⁷², Masakazu Toji⁷³, Masakazu Toji⁷⁴, Masakazu Toji⁷⁵, Masakazu Toji⁷⁶, Masakazu Toji⁷⁷, Masakazu Toji⁷⁸, Masakazu Toji⁷⁹, Masakazu Toji⁸⁰, Masakazu Toji⁸¹, Masakazu Toji⁸², Masakazu Toji⁸³, Masakazu Toji⁸⁴, Masakazu Toji⁸⁵, Masakazu Toji⁸⁶, Masakazu Toji⁸⁷, Masakazu Toji⁸⁸, Masakazu Toji⁸⁹, Masakazu Toji⁹⁰, Masakazu Toji⁹¹, Masakazu Toji⁹², Masakazu Toji⁹³, Masakazu Toji⁹⁴, Masakazu Toji⁹⁵, Masakazu Toji⁹⁶, Masakazu Toji⁹⁷, Masakazu Toji⁹⁸, Masakazu Toji⁹⁹, Masakazu Toji¹⁰⁰

Results

AIMS subtypes were prognostic in pre-therapeutic biopsies (Figure 2A) and post-therapeutic tumors (Figure 2B). The prevalence of AIMS subtypes, in particular LumA vs LumB, changed from pre-Tx to post-NACT tumors (Figure 3). In the pre-Tx samples, 278 (51%) and 232 (43%) of tumors had LumA and LumB subtypes, respectively, as expected from a high-risk cohort. However, in the post-NACT samples, LumA tumors were predominant (n=411, 76%) over LumB (n=48, 9%), 159 (29%) and 8 (1%) tumors switched their subtype from LumB to LumA and LumA to LumB, respectively. We compared the groups of low proliferating (LumA and NormL) and high proliferating subtypes (LumB, Basal, and HER2). In bivariable Cox regression analysis, the grouped pre-Tx and post-NACT AIMS subtypes were independent prognostic factors for IDFS (Table 1). These and further Cox models investigating interaction effects show that patients with tumors changing from high (pre-Tx) to low proliferating (post-NACT) had a worse IDFS risk compared to stable high proliferating tumors, but an improved IDFS risk compared to stable low proliferating tumors. A benefit from palbociclib was observed in post-therapeutic lumB tumors (Figure 5A), but not in any of the pre-Tx AIMS subgroups (Figure 4A,B) or the post-Tx lumA subtype (Figure 5B). Based on the results of the AIMS subtyping, we extended the exploratory analysis to identify genes that might be involved in the prognostic effects as well as genes driving the subtype switch (Figure 6A,B,C).

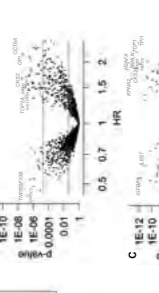


Figure 2A: Prognostic role of AIMS subtypes determined in pre-therapeutic core biopsies

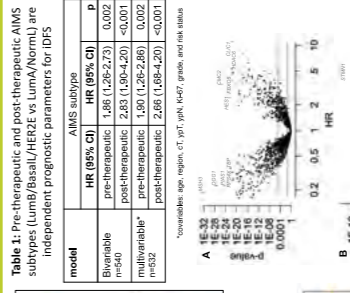


Figure 2B: Prognostic role of AIMS subtypes determined in post-therapeutic residual tumor samples

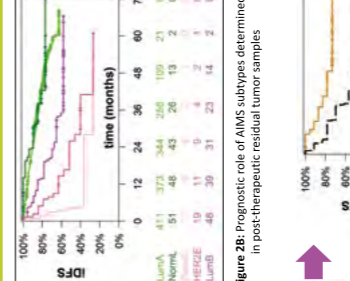
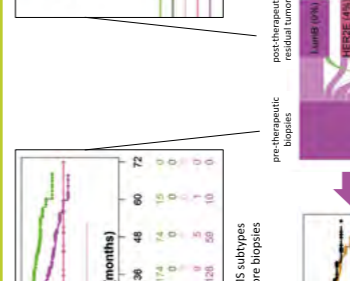


Figure 3: Molecular plasticity – changes in AIMS subtypes in pre- and post-therapeutic biopsies



Phase III postneoadjuvant study evaluating Sacituzumab Govitecan, an Antibody Drug Conjugate in primary HER2-negative breast cancer patients with high relapse risk after standard neoadjuvant treatment – SASCIA

Background

Patients with triple-negative breast cancer (TNBC) without a pathologic complete response^{1,2,3} as well as hormone receptor (HR)-positive/HER2-negative patients with a pathologic complete response (pCR) and a high risk of recurrence, in high-risk patients, post-neoadjuvant therapy can significantly improve survival.^{4,5,7,8} Sacituzumab govitecan (SG) has shown high activity in heavily pretreated patients with metastatic TNBC⁹ and HR-positive/HER2-negative BC.^{10,11} Even after prior immune-checkpoint inhibitors or CDK4/6 and mTOR inhibitors. Efficacy in TNBC was confirmed in the phase III ASCENT trial,¹² irrespective of Trop-2 expression or gBRCA1/2 status.¹³ A phase III trial in the HR-positive cohort is ongoing.¹⁴ Based on these studies, SG might be an ideal therapy against the resistant residual disease after standard neoadjuvant chemotherapy (NACT) regardless of HR status.

Study Overview

SASCIA (NCT04952565) is a phase III, prospective, multi-center, randomized, open label, parallel group study in patients with HER2-negative BC with residual disease after NACT at high risk of recurrence with 1:1 allocation to SG or treatment of physician's choice (TPC). In patients with HR-positive BC, endocrine-based therapy will be administered according to local guidelines. SASCIA will randomize 1200 patients with centrally confirmed HER2-negative, HR-positive (≥1% positive stained cells) or HR-negative BC assessed preferably on tumor tissue from post-neoadjuvant residual invasive disease.

Objectives and Endpoints

Primary objective:

- To compare invasive disease-free survival (IDFS) between patients treated with SG vs. TPC.

Secondary objectives (selection):

- To compare overall survival (key secondary objective) and distant DFS between groups.
- To compare safety, compliance, patient reported outcome and quality of life.
- To explore the predictive value of markers (including immune markers) for SG.
- To explore ctDNA dynamics as early predictors of response.

Figure 1: Biomarker collection

| Screening Phase | Treatment Phase | Follow-up Phase |
|--|-----------------------|------------------|
| Prior to start of therapy (pre-treatment) | day1 cycle 3 pre-dose | Recurrence (EOT) |
| Prior to start of therapy (pre-treatment) | day1 cycle 6 pre-dose | Relapse |
| FPPE tumor tissue | X | X |
| Plasma ctDNA | X | X |
| Serum | X | X |
| Whole Blood | X | X |
| *FFPE from pre-neoadjuvant core biopsy (breast) and FFPE post-neoadjuvant surgically removed tissue from breast for central pathology or post-neoadjuvant surgically removed tissue from residual tumor node (no residual tumor from breast is available, in case of bilateral BC blocks from both sides are obligatory) | X | X |

Recruitment Overview

Recruitment

Study Design

Key Inclusion Criteria

- Patients with residual invasive disease after NACT at high risk of recurrence defined by either:
 - HR-negative: any residual invasive disease > yp1Tm1
 - HR-positive: CPS+EG score ≥ 3 or CPS+EG score 2 and ypN+ using local ER and grade assessed on core biopsies taken before NACT.
- Patients must have received taxane-based NACT for 16 weeks (anthracyclines are permitted):
 - This period must include 6 weeks of a taxane-containing NACT.
 - For patients with progressive disease that occurred after at least 6 weeks of taxane-containing NACT, a total treatment period of less than 16 weeks is also eligible.
 - An interval of less than 16 weeks since the date of final surgery or less than 10 weeks from completing radiotherapy (whichever occurs last) and the date of randomization is required.
 - Immune checkpoint inhibitor / immunotherapy during NACT is allowed.
 - Radiotherapy should be delivered before the start of study treatment.

Key Exclusion Criteria

- Patients with definitive clinical or radiologic evidence of stage IV cancer (metastatic disease) are not eligible.
- Patients with a history of any malignancy are ineligible with the following exceptions:
 - Patient has been disease-free for at least 5 years and is at low risk for recurrence of that malignancy.
 - CIS of the cervix, basal cell and squamous cell carcinomas of the skin.
- Severe and relevant co-morbidity that would interact with the application of cytotoxic agents or the participation in the study, including Gilbert's disease, Crigler-Najjar-Syndrom, known hepatitis B, hepatitis C, known HIV positivity or known autoimmune disease (other than diabetes, vitiligo, or stable thyroid disease).
- Any condition that interferes with the safe administration of the treatment of physician's choice (in TPC arm), including receipt of live attenuated vaccines within 30 days prior to study entry or within 30 days of receiving chemotherapy.
- Known or suspected congestive heart failure (>NYHA I) and/or coronary heart disease.
- History of idiopathic pulmonary fibrosis, organizing pneumonia, drug-induced pneumonitis, idiopathic pneumonitis or active pneumonitis on chest CT scan.
- Known allergic reactions to irinotecan.

Key Exclusion Criteria

- Patients with residual invasive disease after NACT at high risk of recurrence defined by either:
 - HR-negative: any residual invasive disease > yp1Tm1
 - HR-positive: CPS+EG score ≥ 3 or CPS+EG score 2 and ypN+ using local ER and grade assessed on core biopsies taken before NACT.
- Patients must have received taxane-based NACT for 16 weeks (anthracyclines are permitted):
 - This period must include 6 weeks of a taxane-containing NACT.
 - For patients with progressive disease that occurred after at least 6 weeks of taxane-containing NACT, a total treatment period of less than 16 weeks is also eligible.
 - An interval of less than 16 weeks since the date of final surgery or less than 10 weeks from completing radiotherapy (whichever occurs last) and the date of randomization is required.
 - Immune checkpoint inhibitor / immunotherapy during NACT is allowed.
 - Radiotherapy should be delivered before the start of study treatment.

Conclusions

SASCIA is a phase III study investigating the efficacy and safety of SG compared to TPC in patients with HER2-negative BC with residual disease after NACT at high risk of recurrence. Recruitment has started in December 2020 and will take an estimated 36 months (42 patients per month). As of 10th October 2021, 143/1200 patients have been randomized in Germany. International study groups will join soon.

The trial was financially supported by Gilead Sciences, Inc.

This presentation is the intellectual property of the author/presenter.
Contact them at publications@gbg.de for permission to reprint and/or distribute.

Trastuzumab-Deruxtecan (T-DXd; DS-8201) vs trastuzumab emtansine (T-DM1) in high-risk patients with HER2-positive, residual invasive early breast cancer (BC) after neoadjuvant therapy (NAT): a randomized, phase 3 trial (DESTINY-Breast05)

Background

NAT in combination with trastuzumab ± pertuzumab is the standard of care for patients with human epidermal growth factor receptor 2 (HER2)-positive high risk or locally advanced breast cancer.¹ Patients who have residual invasive disease at surgery after standard of care chemotherapy plus anti-HER2 therapy are at higher risk for disease recurrence or death than those who have a pathological complete response.² Adjuvant T-DM1 improves outcomes in these high-risk patients.³

T-DXd is an antibody-drug conjugate composed of a humanized immunoglobulin G1 monoclonal antibody specifically targeting HER2, a cleavable tetrapeptide-based linker, and a potent topoisomerase I inhibitor payload (Figure 1).^{4,5,6}

The phase 2 DESTINY-Breast01 trial showed that treatment with T-DXd resulted in an objective response rate of 61.4% (113/184 patients), median progression-free survival of 19.4 months, and median response duration of 20.8 months in patients with HER2-positive (immunohistochemistry 3+ or in situ hybridization positive) unresectable or metastatic breast cancer previously treated with T-DM1.⁷

Here we describe a randomized phase 3 trial evaluating T-DXd vs T-DM1 as an adjuvant treatment for high-risk patients with HER2-positive primary breast cancer who have residual invasive disease after NAT or who are inoperable at primary diagnosis or have positive pathological node status after NAT (DESTINY-Breast05; NSABP B-60; GBG-103; SOLT-12001; AGO-B-050) (NCT04622319) (Figure 2).

Figure 1: Structure and 7 Key Attributes of T-DXd

Abbreviations: HER2, human epidermal growth factor receptor 2; IgG1, immunoglobulin G1; mAb, monoclonal antibody; T-DXd, trastuzumab deruxtecan.

*The clinical relevance of these features is under investigation.

This presentation is the intellectual property of the author/presenter.
Contact them at publications@gbg.de for permission to reprint and/or distribute.

Patients and Methods

Figure 2: Study Design and Population

Key Patient Eligibility

- Breast cancer diagnosis: HER2+ positive, Non-metastatic (T1-4, N0-3, M0)
- Preoperative treatment: At least 16 weeks, includes taxane + trastuzumab or trastuzumab + pertuzumab
- Breast Cancer Surgery: Evidence in invasive residual disease after preoperative treatment, All cancer removed at surgery
- High risk of disease recurrence: Inoperable at presentation (before neoadjuvant therapy) or Pathologically positive axillary lymph nodes following neoadjuvant therapy

Patient Population: HER2+ eBC with residual disease following neoadjuvant therapy with high risk of recurrence, Centrally confirmed HER2+ status, ECOG PS: 0-1

Investigational Arm: Trastuzumab deruxtecan (T-DXd) 5.4 mg/kg q3w for 14 cycles (n = 800)

Control Arm: Trastuzumab emtansine (T-DM1) 3.6 mg/kg q3w for 14 cycles (n = 800)

Stratification Factors: Operability at presentation, Post-neoadjuvant pathological nodal status, Tumor hormone receptor status, HER2-targeted neoadjuvant therapy approach

Endpoints: Primary: IDFS, Secondary: DFS, Exploratory: PROs, Biomarkers, PK

Additional Notes: Randomization within 12 weeks of surgery, Adjuvant radiotherapy and/or endocrine therapy per protocol and local guidelines

This presentation is the intellectual property of the author/presenter.
Contact them at publications@gbg.de for permission to reprint and/or distribute.



New Study Concepts and Methodologies

| | |
|--------------------------------|----|
| GBG 107: ETERNITY ^B | |
| Interview with Sibylle Loibl | 44 |
| GBG 105: GeparPiPPa | |
| Interview with Mattea Reinisch | 46 |
| Molecular Screening | |
| Interview with Carsten Denkert | 48 |



Interview with Prof. Dr. Sibylle Loibl, coordinating investigator of the ETERNITY^B registry

Registry for long-term follow-up of safety and efficacy parameters of GBG study participants



Prof. Dr. Sibylle Loibl
German Breast Group

ETERNITY^B is a prospective and retrospective, international, multicenter, non-interventional, observational study for collection of long-term safety and efficacy parameters of former GBG study participants from prospective clinical trials on early breast cancer.

Primary objective: to evaluate long-term-survival endpoints

1. What was the rationale for setting up ETERNITY^B?

Although the impact on long-term patient survival and safety is a decisive factor for drawing conclusions on the benefit-risk ratio of investigational treatment strategies, treatment recommendations for early and advanced stage breast cancer are mostly based on the primary results of randomized clinical trials with a relatively short follow-up time at read out. Longer collection of survival and safety data is important to provide a better understanding of the efficacy of certain investigational treatment strategies as well as to identify late onset toxicities and long-term quality of life.

To address this issue we have successfully established a patient-self-reported outcome (PSRO) registry (GBG 71) in Germany. However, as GBG 71 is not available for our European

and non-European partners, we have set up the international register study ETERNITY^B (B = breast) to collect a similar data set as in GBG 71 focused on long-term outcome.

2. Which patients can be enrolled in ETERNITY^B?

Patients will be eligible for ETERNITY^B if they have participated and received treatment in a GBG clinical trial for early breast cancer. Inclusion in ETERNITY^B requires a signed informed consent. Data collection and documentation of follow-up will start after the regular end of the respective GBG trial or with the start of the post study follow-up period as defined in the respective study protocol.

The first study that offers ETERNITY^B enrollment to former study patients is the PENELOPE^B study, further studies such as SASCIA or GeparPiPPa will follow.

3. Many study patients come to the study centers mainly for the key study procedures. Standard treatment and follow-up often take place at another hospital or private practice, especially after the study has ended. How is this issue addressed in ETERNITY^B?

Survival status, relapse and safety assessments will be regularly performed for all patients included in ETERNITY^B. The collection of this data can happen via the study investigator on site. However, telephone contact or written contact with the patient directly, the treating physician or relatives (in case of death) is also acceptable. Data should be documented at least once a year in the registry.

4. How much documentation is required? Are there monitor visits planned for ETERNITY^B?

In contrast to our PSRO registry, the study centers collect and document the relevant long-term data for ETERNITY^B. Most of the documentation can be done by the non-physician study staff and the study centers receive adequate compensation. Information on long-term outcome (recurrence,

secondary malignancies, and death), pregnancies after study participation and their respective outcome, further anti-cancer therapies, as well as long-term side-effects of the respective study treatments will be collected.

In addition, two content-related questions on quality of life and the degree of impairment caused by side effects are used to assess the quality of life of the patients. There are no additional questionnaires. Since patients do not receive any study treatment in ETERNITY^B, no safety reporting is foreseen. There is no monitoring at all for ETERNITY^B.

5. Do you also plan to collect biomaterial?

Guidelines recommend confirming the diagnosis of disease recurrence by histological examination. We therefore ask patients to voluntarily provide some remaining samples of tumor tissue taken by the treating physician at the time of recurrence. However, participation in ETERNITY^B is not linked to the provision of biomaterials. Shipment will be managed and paid by GBG as known from other GBG studies.

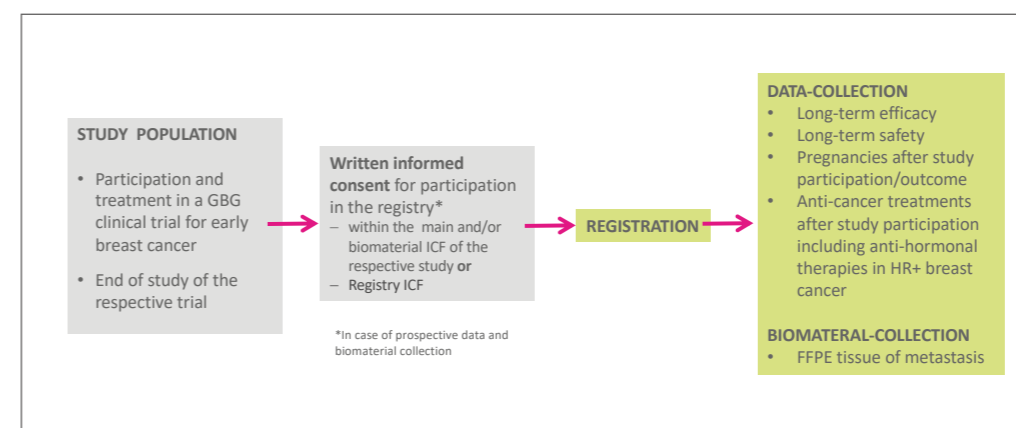


Figure 1: Study design of the FU-Registry



Interview with Dr. Mattea Reinisch, coordinating investigator of the GeparPiPPa trial

A phase II study of neoadjuvant PI3K-inhibitor administration in PIK3CA-mutant triple-positive breast cancer



Dr. Mattea Reinisch
KEM | Evang. Kliniken Essen-Mitte

GeparPiPPa is a randomized, open-label phase II trial comparing neoadjuvant endocrine therapy in combination with trastuzumab, pertuzumab +/- the PI3K-inhibitor inavolisib in patients with HER2-positive, hormone-receptor (HR)-positive; PIK3CA mutant early breast cancer

Primary objective: to compare pathological complete response rates between patients treated with inavolisib concurrently given to endocrine therapy, pertuzumab and trastuzumab versus endocrine therapy, pertuzumab and trastuzumab alone.

1. GeparPiPPa will evaluate neoadjuvant systemic therapy with the investigational PI3K-inhibitor inavolisib in combination with endocrine therapy and anti-HER2 therapy. What is the rationale for this PI3K-targeting strategy in the era of neoadjuvant chemotherapy in HR+/HER2+ early breast cancer?

PIK3CA mutations can be found in about 20%-30% of HER2+ breast cancer patients and are associated with a poor response to chemotherapy and anti-HER2 therapy, especially in HR+ breast cancer. However, due to a crosstalk between the estrogen receptor, PI3K and HER2 pathways, inhibition of PI3K signaling results in activation of HER2 and the sensitivity towards anti-HER2 agents is expected to rise. Currently available

preclinical and clinical evidence justifies further development and investigation of a chemotherapy-free therapy regimen combining dual anti-HER2 blockade in combination with a PI3K inhibitor in the neoadjuvant setting.

2. Can you tell us about the planned study design of GeparPiPPa? Which patients qualify for the study?

Inavolisib is an oral pure selective PI3K alpha inhibitor. Within the GeparPiPPa trial, we investigate whether the addition of inavolisib to a chemotherapy-free treatment consisting of standard anti-HER2 therapy and endocrine therapy will increase the pathological complete response rate compared to the inavolisib-free arm. 170 patients will be randomized in a 1:1 ratio to receive neoadjuvant endocrine therapy in combination with dual anti-HER2 blockade consisting of ready-to-use fixed-dose combination of pertuzumab and trastuzumab as subcutaneous (PH-FDC SC) formulation q3w for 6 cycles (18 weeks) either with inavolisib (6 cycles) or without inavolisib.

Endocrine therapy consists of either tamoxifen 20 mg or an aromatase inhibitor +/- GnRH analogue for premenopausal women and men.

Patients ≥ 18 years with a confirmed HER2 positive, HR positive and PIK3CA mutated breast cancer with a palpable size of ≥ 2 cm or a sonographical size of ≥ 1 cm in maximum diameter (cT1c - cT3) are eligible for the GeparPiPPa study. The HR+/HER2+ and PIK3CA status needs to be centrally confirmed. Eligible patients need to have adequate organ functions and laboratory values within the normal ranges.

3. PI3K-inhibitors are known to be associated with several toxicities which may have an impact on patients' quality of life. Could you explain these class-specific adverse events? What are the advantages of inavolisib?

Reported side effects of PI3K-Inhibitors are skin toxicity, increase in blood sugar, diarrhea or other adverse events affecting the gastrointestinal tract. So far, the most evaluated drug in breast

cancer of this class is apelisib, which has recently been approved for the treatment of HR-positive, HER2-negative metastatic breast cancer based on the Solar-1 study. In Solar-1 it has been shown that the above mentioned side effects of apelisib were in general well manageable.

Due to the selective mode of action of inavolisib, side effects are expected to be similar or less as compared to other PI3K inhibitors.

In addition, patients do not receive chemotherapy within the study avoiding chemotherapy-associated long-term side effects like polyneuropathy, cardiac-toxicity and secondary malignancies. Nevertheless, the benefit-risk ratio of inavolisib in combination with dual anti-HER2 targeting and endocrine therapy is unknown and therefore we will thoroughly collect information about the adverse events and treatment compliance in the study.

4. Could you describe the effort to identify resistance mechanisms and predictors of therapeutic response within the GeparPiPPa trial?

The GeparPiPPa trial has implemented a comprehensive translational research program. Translational research is a highly important part

of clinical research. Within GeparPiPPa we collect blood and tumor tissue to perform analyses helping us to gain a deeper insight into the tumors' behavior.

We examine and compare pre-specified molecular markers such as Ki-67, tumor infiltrating lymphocytes, and other pathway markers, for example AKT and PTEN on core biopsies and residual disease and aim to assess the predictive and prognostic effect of different PIK3CA hot spot mutations. The collection of plasma ctDNA and germline DNA will allow to explore potential new biomarkers of response and resistance to administration of inavolisib.

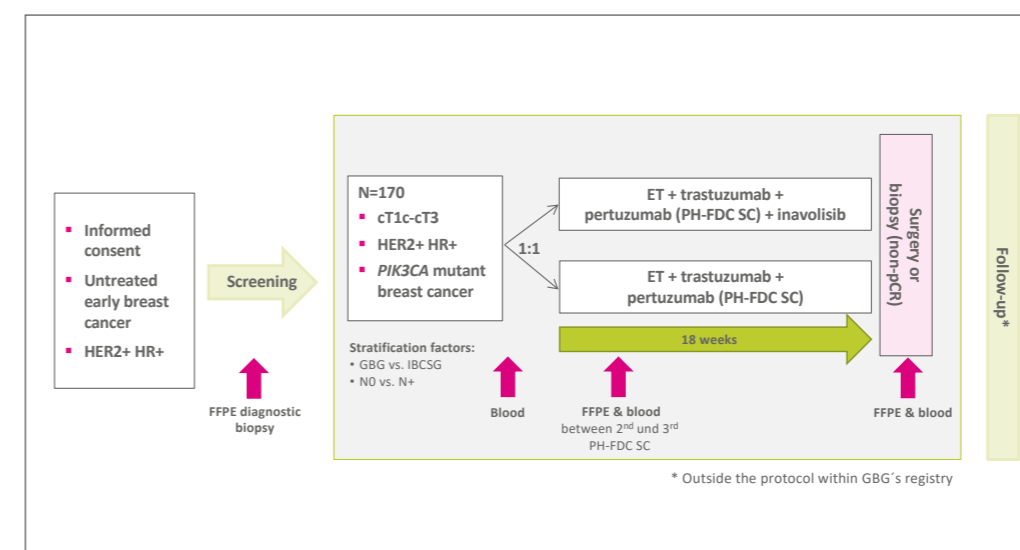


Figure 1: Study design of GeparPiPPa

Interview with Prof. Dr. Carsten Denkert, member of the Molecular Tumor Board

Establishment of a Molecular Screening Program at GBG



Prof. Dr. Carsten Denkert
Institute of Pathology,
Philipps University Marburg
and Marburg University Hospital
(UKGM), Marburg

The Molecular Screening Program is an add-on offer of tailored breast cancer tumor tissue panel sequencing for GBG study participants with locally advanced and metastatic disease.

1. GBG has implemented a Molecular Screening Program which is running at your facility in Marburg. Could you give a short description of the program?

Next-generation sequencing (NGS) allows for simultaneous sequencing of multiple cancer susceptibility genes per tumor sample. For this purpose, we have designed a custom-tailored NGS panel to detect variants in 25 genes known for their impact on breast cancer development, including BRCA1/2, PIK3CA as well as ESR2, HER2 and other genes. The aim was to have one gene panel that would allow the detection of all clinically relevant genes in breast cancer. Formalin-fixed paraffin-embedded (FFPE) tumor samples are subjected to NGS and subsequent variant analysis to identify clinically relevant targetable genomic alterations.

2. How will the GBG network benefit from this program?

Based on national and international guidelines, mutation analysis on breast cancer is recom-

mended in different clinical situations. However, up to now, a comprehensive screening for molecular aberrations in tumor tissue from breast cancer patients is not part of clinical routine in many centers. It is important for the GBG network to implement NGS-based sequencing as a part of current and future central pathology activities. For several alterations, e. g. PIK3CA and BRCA1/2 there is already clinically validated evidence from large phase 3 trials, while clinical validations for other targets are still ongoing. Therefore, it is important to include patients with locally advanced and metastatic breast cancer in molecular screening programs to identify suitable trials testing targeted therapies matched to the identified genomic alterations.

3. The newly established Molecular Tumor Board at GBG (GBG-MTB) reviews the specific molecular features of the analyzed tumor tissues. Please explain how the process works.

We have decided to work together with Molecular Health, a biotechnological company from Heidelberg, for the GBG-MTB. We use the MHguide software approach for annotation and clinical interpretation of the NGS sequencing results. This has the advantage that a standardized knowledge-base as well as a well-established software platform can be used to focus the clinical discussions in the GBG-MTB on the most interesting and relevant alterations.

Treatment recommendations are made by the clinical experts in the GBG-MTB, taking into account the standardized information of the MHguide as well as their clinical expertise.

4. About 30 patients have already been included in the screening program. What are the lessons learned from the first results?

We have sequenced hormone receptor-positive, HER2-negative tumors from patients included in the AMICA and the PADMA trial. The evaluation is still ongoing, but it was a remarkable result that actionable alterations were detected in approximately 30% of patients in this luminal cohort.

5. What prospects do you see for the future?

The sequencing technology is now fully established at the Institute of Pathology in Marburg, and the MHguide software approach is linked to the GBG-MTB. It is planned to use the NGS-based approach in the GeparPiPPa trial that will start in 2022, and to extend the sequencing activities to the analysis of liquid biopsies. NGS-sequencing will become an integrated part of the GBG central pathology activities.

Recruiting Studies

Post-neoadjuvant

| | |
|-----------------|----|
| GBG 103: TruDy | 52 |
| GBG 102: SASCIA | 54 |

Adjuvant

| | |
|--------------------------------|----|
| GBG 100: APPALACHES | 57 |
| GBG 98: ALEXANDRA/IMpassion030 | 60 |

Metastatic

| | |
|---------------|----|
| GBG 93: PADMA | 62 |
|---------------|----|

Surgical

| | |
|----------------------|----|
| GBG 104: EUBREAST-01 | 64 |
|----------------------|----|

Registry

| | |
|--|----|
| GBG 79: Brain Metastases in Breast Cancer (BMBC) | 66 |
| GBG 71: Patient Self-Reporting Outcome Registry | 68 |
| GBG 29: Breast Cancer in Pregnancy (BCP) | 70 |



GBG 103: TruDy / DESTINY-Breast05

A Study of Trastuzumab Deruxtecan (T-DXd) Versus Trastuzumab Emtansine (T-DM1) in High-risk HER2-positive Participants With Residual Invasive Breast Cancer Following Neoadjuvant Therapy

NCT04622319

DESTINY-Breast05 (TruDy-GBG103; AGO-B-050; NSABP B-60; SOLTI-2001) is a global, multi-center, randomized, open-label, phase III study of trastuzumab deruxtecan (T-DXd) versus trastuzumab emtansine (T-DM1) in patients with high-risk human epidermal growth factor 2 (HER2)-positive primary breast cancer (BC) who have residual invasive disease in breast or regional lymph nodes following neoadjuvant chemotherapy (NACT).

Background

Although the KATHERINE study (T-DM1 vs. trastuzumab) showed a clinically meaningful improvement in iDFS in the post neoadjuvant setting, further unmet medical need exists in HER2-positive BC patients who do not achieve a pCR following neoadjuvant treatment. While the overall 3-year iDFS rate in the KATHERINE study was 88.3% for T-DM1 treated subjects, there were subgroups with 3-year iDFS rates for T-DM1 that were considerably lower. Among these subgroups, the 3-year iDFS rates for patients with inoperable disease were 76.0% (24.9% of T-DM1 patients; hazard ratio=0.54), 83.0% for node-positive patients (46.2% of T-DM1 patients; hazard ratio=0.52) and 82.1% for hormone receptor (HR)-negative patients (28.1% of T-DM1 patients; hazard ratio=0.50)

(von Minckwitz et al. N Engl J Med. 2019).

On the other hand, lymph node metastasis is widely known to be a poor prognostic factor (Harbeck et al. 2019, National Comprehensive Cancer Network (NCCN) Guideline Breast Cancer, Version 2. 2020) and long-term follow-up results in the APHINITY study of the anti-HER2 therapy pertuzumab as adjuvant therapy identified a delayed risk of recurrence in the node-positive group (6-year iDFS: pertuzumab group 87.9%, trastuzumab 83.4%) compared with the node-negative group (6-year iDFS: pertuzumab group 95.0%, trastuzumab 94.9%) (von Minckwitz et al. N Engl J Med. 2017, Piccart et al. J Clin Oncol. 2021). For this reason, patients with node-positive breast cancer will be included in the target population for the DESTINY-Breast05 study, while patients corresponding to the node-negative disease are excluded.

It is recognized that patients who do not achieve pCR after appropriate NACT are at higher risk of disease recurrence. This is a clinical setting where the application of more effective therapies would have a potentially large absolute impact on patient outcomes and can be considered an area of unmet medical need. In addition, compared to T-DM1, T-DXd has a novel mechanism of cytotoxic action (topoisomerase I inhibitor vs. tubulin polymerization inhibitor), a higher drug-to-antibody ratio with better plasma stability, and a bystander cytotoxic activity due to higher cell membrane permeability (Ogitani et al Cancer Sci. 2016, Takegawa et al. Int J Cancer. 2019). In patients with unresectable and metastatic BC, T-DXd has demonstrated high, durable response rates after treatment with T-DM1 (Modi et al. N Engl J Med. 2020). Furthermore, T-DXd showed

a statistically significant improvement in progression-free survival vs. T-DM1 (hazard ratio=0.28) in patients with HER2-positive metastatic BC previously treated with trastuzumab and taxane (Cortés et al. Ann Oncol. 2021; 32 (suppl_5)). Based on the differentiating features of T-DXd and the anticancer activity in metastatic BC patients after failure of T-DM1, the T-DXd is anticipated to be effective even in the high-risk adjuvant subpopulation in which T-DM1 had not demonstrated compelling efficacy.

Study design and objectives

Patients (adults ≥ 18 years) with high-risk HER2-positive BC and residual disease in the breast or axillary lymph nodes following NACT are eligible. High-risk disease is defined as inoperable at disease presentation (cT4, cN0-3, M0 or cT1-3, cN2-3, M0) or operable at presentation (cT1-3, cN0-1, M0) with positive pathological node status (ypN1-3) after NACT. HER2-positive expression must be centrally confirmed prior to randomization. Further key inclusion criteria are left ventricular ejection fraction (LVEF) $\geq 50\%$ prior to randomization, an interval of no more than 12 weeks between the date of last surgery and the date of randomization, and adequate organ function before randomization. This study is designed to randomize at least 1600 patients in a 1:1 ratio to receive T-DXd or T-DM1. Randomization is stratified by i) operative status at disease presentation, prior to NACT (operable cT1-3, cN0-1, M0 vs inoperable cT4, cN0-3, M0

or cT1-3, cN2-3, M0), ii) HR status (positive vs negative), iii) post-NACT pathologic nodal status (ypN1-3 vs ypN0), and iv) HER2-targeted NACT (single vs dual). Patients receive assigned study drug for a total of 14 cycles of treatment. The primary objective is to compare invasive disease-free survival (iDFS) between T-DXd and T-DM1 treatment arms in this population. Key secondary objective includes evaluation of DFS with T-DXd treatment as compared to T-DM1. Further secondary endpoints are to evaluate overall survival (OS), distant recurrence-free interval (DRFI) and brain metastases-free interval (BMFI) with T-DXd treatment as compared to T-DM1; to evaluate safety, pharmacokinetics and immunogenicity of T-DXd. Exploratory objectives include assessment of correlations between biomarker status and efficacy and/or safety, evaluation of health economics and outcomes research endpoints including patient reported health related quality of life, symptoms, physical functioning and healthcare resource utilization for T-DXd compared to T-DM1.

Study report

The TruDy / DESTINY-Breast05 worldwide recruitment started in December 2020 and on 13th of September 2021 in Germany. As of 31st December 2021, there are 7 patients enrolled in the study (global 205 patients). Global enrollment is targeted to be completed in 42 months and the end of study (i.e. last visit of the last patient randomized) is estimated for 2031.

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients and by providing biomaterial in a timely manner.

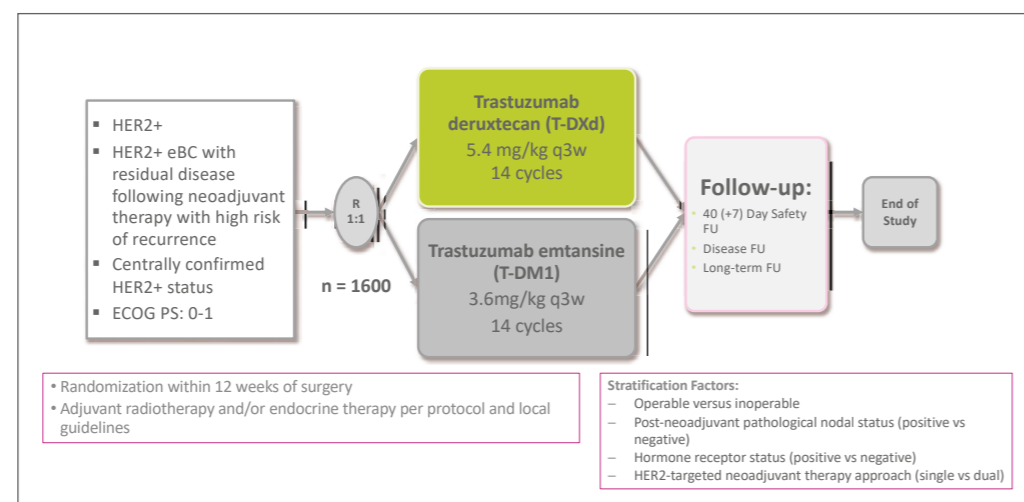


Figure 1: Study design of TruDy/DESTINY-Breast05

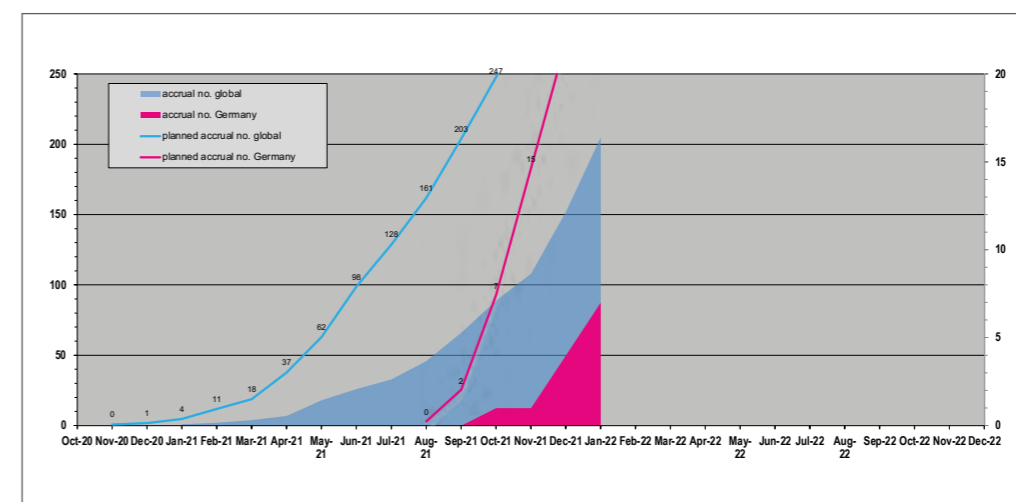


Figure 2: TruDy/DESTINY-Breast05 recruitment as of 1st January 2022

COLLABORATING STUDY GROUPS:



SPONSOR:
Daiichi Sankyo, Inc.

COORDINATING INVESTIGATOR:
Prof. Dr. Peter A Fasching
Department of Gynecology and Obstetrics,
University Hospital Erlangen,
Comprehensive Cancer Center
Erlangen-Nuremberg,
National Center for Tumor
Diseases, Erlangen



GBG 102: SASCIA

Phase III post-neoadjuvant study evaluating Sacituzumab Govitecan, an Antibody Drug Conjugate in primary HER2-negative breast cancer patients with high relapse risk after standard neoadjuvant treatment - SASCIA

NCT04595565

SASCIA is a prospective, multi-center, randomized, open-label, parallel group, phase III study to evaluate the efficacy and safety of post-neoadjuvant treatment with sacituzumab govitecan compared to treatment of physician's choice with capecitabine or platinum-based chemotherapy or observation in primary HER2-negative breast cancer patients with residual disease after standard neoadjuvant treatment.

Background

Neoadjuvant chemotherapy (NACT) allows monitoring of tumor response to treatment and a pathological complete response (pCR) is associated with superior survival. This association is strongest in the most aggressive subtype, i.e. in patients with triple-negative breast cancer (TNBC). Patients with TNBC not achieving a pCR have a 5-year event-free survival rate of about 50% (Hahnen et al. JAMA Oncol. 2017; Sikov et al. J Clin Oncol. 2015; Petrelli et al. Breast Cancer Res Treat. 2014). The association between pCR

and prognosis is less pronounced in hormone receptor (HR)-positive/HER2-negative patients. However, the CPS+EG scoring system for prognosis after NACT, taking into account clinical stage, post-treatment pathological stage, estrogen receptor status and grade allows to select patients at high risk of relapse for post-neoadjuvant therapy (Marmé et al. Eur J cancer. 2016). Patients with TNBC not achieving a pCR as well as those with HR-positive/HER2-negative tumors and a CPS+EG score of ≥ 3 or 2 with nodal involvement after NACT (ypN+) are at high risk of relapse, warranting additional experimental therapies after NACT.

There is proof of concept, that post-neoadjuvant therapy can significantly improve survival. Several randomized trials in patients with residual tumor after NACT reported on disease-free survival (DFS) and overall survival (OS). The CREATE X study demonstrated a significant improvement in DFS and OS in the overall population, which was confined to the TNBC subgroup (Masuda et al. N Engl J Med. 2017). The phase III KATHERINE study showed an improved invasive DFS (iDFS) in HER2-positive patients without pCR after trastuzumab +/- pertuzumab treated postoperatively with T-DM1 compared to trastuzumab (von Minckwitz et al. N Engl J Med. 2019).

The post-neoadjuvant approach, in contrast to the adjuvant setting (Piccart-Gebhart et al. J Clin

Oncol. 2016; von Minckwitz et al. N Engl J Med. 2017), avoids overtreatment, limits sample size and risk of trial failure from lack of events by selecting a high-risk population. In contrast to neoadjuvant trials, which so far have mainly been powered for pCR rates, post-neoadjuvant trials result in a survival endpoint that is relevant for patients. Thus, post-neoadjuvant trials are probably a more appropriate setting to introduce new therapies into clinical routine for early breast cancer.

Sacituzumab govitecan is an antibody-drug conjugate composed of a humanized monoclonal antibody which binds to Trop-2 (trophoblast cell-surface antigen-2). SN-38, an active metabolite of irinotecan and a topoisomerase I inhibitor, is covalently bound to the antibody by a hydrolysable linker. Due to the characteristics of the linker connecting SN-38 to the antibody, sacituzumab govitecan can kill tumor cells expressing Trop-2, but also adjacent tumor cells (bystander effect). Sacituzumab govitecan has demonstrated unprecedented activity in heavily pretreated patients with metastatic triple-negative (TNBC) and HR-positive/HER2-negative breast cancer, even after prior immune-checkpoint inhibitors or CDK4/6 and mTOR inhibitors (Bardia et al. J Clin Oncol. 2018, Bardia et al. N Engl J Med. 2019). The phase III ASCENT trial led to the approval of sacituzumab

govitecan (10 mg/kg, days 1, 8 of 21-day) in patients with advanced or metastatic TNBC who have received ≥ 2 prior systemic therapies, at least one of them for metastatic disease (Bardia et al. N Engl J Med 2021). The phase III TROPiCS-02 study in advanced HR-positive breast cancer is ongoing (Rugo et al. Future Oncology 2020). As sacituzumab govitecan constitutes a compound with strong activity against highly resistant clones of metastatic breast cancer, it may represent a new option against the resistant residual disease after standard NACT regardless of HR status. Therefore, the SASCIA study will evaluate the activity of sacituzumab govitecan in HER2-negative patients at high risk of relapse after NACT.

Study design and objectives

Eligible patients (aged ≥ 18 years) must have received taxane-based NACT for 16 weeks, including at least 6 weeks of a taxane. Patients should be at high risk of recurrence after NACT, defined as having centrally confirmed HER2-negative BC (IHC score 0-1 or FISH negative according to ASCO/CAP guideline) assessed preferably on tissue from post-neoadjuvant residual invasive disease of the breast and either HR-negative ($< 1\%$ positive stained cells), with any residual invasive disease $> ypT1mi$ after NACT or HR-positive ($\geq 1\%$ positive stained

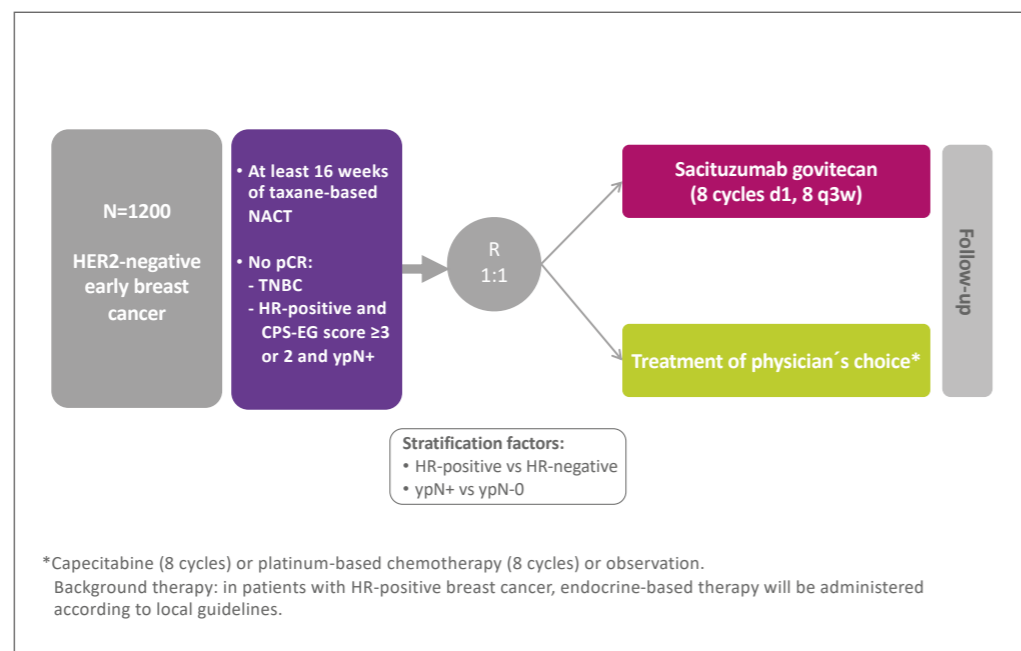


Figure 1: Study design of the SASCIA study

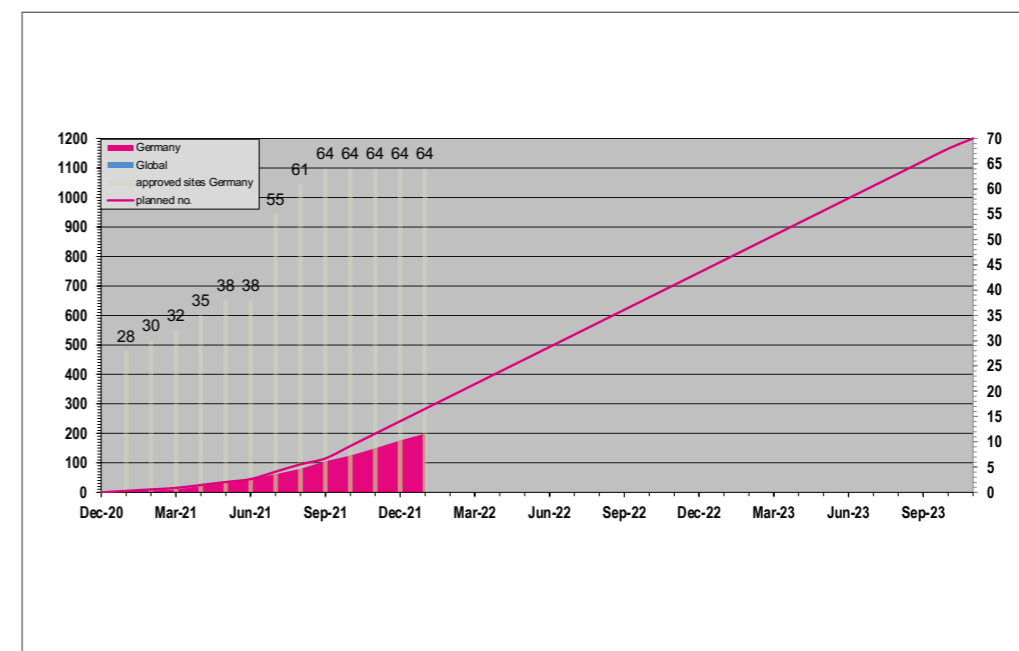


Figure 2: SASCIA recruitment as of 31st December 2021

cells), with a CPS+EG score ≥ 3 or CPS+EG score 2 and ypN+ using local ER and grade assessed on core biopsies taken before NACT. Radiotherapy should be delivered before the start of study treatment.

Patients will be allocated (1:1) to receive either sacituzumab govitecan (days 1, 8 q3w for eight cycles; experimental arm) or treatment of physician's choice (TPC, defined as capecitabine or platinum-based chemotherapy for eight cycles or observation; control arm). Randomization will be stratified by HR status (HR-negative vs HR-positive) and ypN (ypN+ vs ypN0). In patients with HR-positive breast cancer, endocrine-based therapy will be administered according to local guidelines. The start of endocrine therapy will be at the discretion of the investigator; however, it will be encouraged to start after surgery/radiotherapy in patients without additional cytotoxic agents.

Primary objective of the SASCIA trial is to compare iDFS between patients treated with sacituzumab govitecan versus treatment of physician's choice; primary endpoint is iDFS.

Secondary objectives and endpoints include comparison of OS, distant DFS and locoregional recurrences-free interval between both treatment groups, iDFS and OS in the stratified subgroups, iDFS and OS in exploratory subgroups, safety and compliance, patient reported outcome and quality of life. The SASCIA study will also address translational research questions such as to explore circulating tumor DNA (ctDNA) dynamics as early predictors of ctDNA clearance in ctDNA-positive patients; to explore the predictive value of markers (including genetic and immune markers) for sacituzumab govitecan.

One interim analysis for overwhelming efficacy will be performed when 256 events (2/3 of the total events) have occurred.

Study report

SASCIA recruitment started on November 10, 2020 in Germany. As of 31st December 2021, there are 199 patients enrolled in the study. The recruitment in other European countries is planned to start in Q1 2022. The expected study duration is approximately 36 months.

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients and by providing biomaterial in a timely manner.

GBG 100: APPALACHES

A Phase II study of Adjuvant PALbociclib as an Alternative to CHemotherapy in Elderly patients with high-risk ER+/HER2-early breast cancer (APPALACHES)

NCT03609047

APPALACHES (EORTC 1745 ETF BCG) is a two-arm open-label multi-center randomized non-comparative phase II study in elderly patients with stage II/III, estrogen receptor positive (ER+), human epidermal growth factor receptor 2 negative (HER2-) early breast cancer for whom treatment with chemotherapy is indicated.

Background

Cancer in older patients is a major public health issue since the incidence of cancer increases with age, and life expectancy of the Western population is increasing. Advanced age at diagnosis of breast cancer is associated with more favorable tumor biology as indicated by increased hormone sensitivity, attenuated HER2 overexpression, and lower grades and proliferative indices (Pierga, et al. 2004). However, older patients are more likely to present with larger and more advanced tumors (Singh, et al. 2004). Age alone should not be a barrier to decide treatment of patients with cancer, and ageing is a continuous process making it difficult to set a unique threshold to define older patients. However, many recent studies used 70 years to define older patients, recognizing that patient vulnerability or frailty should also be taken into account (Wildiers, et al. 2007). In older patients with estrogen receptor (ER)+/HER2- early breast cancer, historical data about recurrence rate and the benefit of adjuvant chemotherapy is sparse. In general, the chemotherapy-induced benefit is lower and toxicity is higher than in younger women, and there are competing risks for morbidity and mortality. Several randomized studies in older patients have reported on disease free survival (DFS, including local recurrence as well) and 3-year overall survival (OS, including death from other causes). The 3-year DFS and OS were 85% and 95% in ICE-2 study (unpublished data), 78% and 90% in ELDA study (Perrone, et al. 2015), and 86% and 93% in CALGB49907 study (Muss, et al. 2009). Less toxic adjuvant treatment with comparable efficacy might improve the benefit-toxicity balance of the overall treatment strategy.

Study design and objectives

Women or men aged ≥ 70 years with stage II or stage III, early invasive breast cancer fulfilling all inclusion criteria will be centrally registered at EORTC after written informed consent has been obtained. Randomization will be stratified by country, pathological TNM stage (stage II versus stage III) and potential clinical frailty as defined by the G8 geriatric assessment score (> 14 versus ≤ 14). Patients will be randomized with a 2:1 allocation rate to receive either a standard adjuvant endocrine therapy for a duration of at least 5 years + palbociclib for a total duration of up to 2 years (experimental palbociclib arm) or an adjuvant chemotherapy, followed by standard adjuvant endocrine therapy for a duration of at least 5 years (control chemotherapy arm).

In the experimental arm palbociclib 125 mg will be administered once a day, orally, for 21 days followed by 7 days off treatment in the 28-day cycle with an objective of 2-years total duration of study medication, in combination with standard adjuvant endocrine therapy for a duration of at least 5 years. Longer duration can be proposed to patients according to investigators and patients. In patients for whom adjuvant radiation therapy is indicated, radiation therapy will be administered before the start of palbociclib. Patients in the control treatment arm will be treated with adjuvant chemotherapy as initial adjuvant systemic treatment. The investigator needs to select for each patient one out of the 4 following schemes: 1) 4 cycles docetaxel 75 mg/m² / cyclophosphamide 600 mg/m² q3w; 2) 4 cycles doxorubicin 60 mg/m² / cyclophosphamide 600 mg/m² q3w; 3) 4 cycles epirubicin 90 mg/m² / cyclophosphamide 600 mg/m² q3w; 4) 4 cycles weekly paclitaxel 80 mg/m² D1, D8, and D15 q3w. The chemotherapy can start after sufficient wound healing is achieved according to the investigator, but in any case, ≤ 13 weeks after last surgery. Prophylactic use of G-CSF is recommended after each cycle of the 3-weekly regimens, with type and length decided per local institutional guidelines. In patients for whom radiation therapy is indicated, radiation therapy will be administered after the last dose of chemotherapy.

Primary objective of APPALACHES trial is to assess the efficacy of the combination of at least 5 year-endocrine therapy and 2 year-palbociclib as adjuvant systemic treatment instead of adjuvant chemotherapy followed by endocrine

COLLABORATING STUDY GROUPS:



SPONSOR:

GBG Forschungs GmbH

COORDINATING

INVESTIGATOR:

Prof. Dr. Frederik Marmé
University Hospital Mannheim



CONTACT:

Dr. Verena Katzki
Clinical Project Management
appalaches@GBG.de



GBG 98: ALEXANDRA/IMpassion030

A Phase III, Multicenter, Randomized, Open-label Study Comparing Atezolizumab (Anti PD-L1 Antibody) In Combination With Adjuvant Anthracycline/Taxane-Based Chemotherapy Versus Chemotherapy Alone In Patients With Operable Triple-Negative Breast Cancer

NCT03498716

ALEXANDRA/IMpassion30 (BIG 16-05/AFT-27/WO39391) is an international, multicenter, randomized, open-label, controlled phase III trial that will recruit approximately 2,300 patients at approximately 370-450 sites globally within 4 years.

Background

Patients with TNBCs exhibit a poor clinical outcome, generally with rapid progression and a shorter time to local and distant relapse (Dent R et al. Clin Cancer Res 2007). Three-year invasive disease-free survival (iDFS) rates of 81% have been reported for patients with TNBC who have received adjuvant anthracycline/taxane therapy (Sparano JA et al. J Clin Oncol 2015). Upon systemic relapse, patients with metastatic TNBC have poor outcomes, with rapid progression and decreased overall survival (OS) (Kassam F et al. Clin Breast Cancer 2009).

Atezolizumab is a humanized immunoglobulin (Ig) G1 monoclonal antibody that targets PD-L1

and inhibits the interaction between PD-L1 and its receptors, PD-1 and B7-1 (also known as CD80), both of which function as inhibitory receptors expressed on T cells. Therapeutic blockade of PD-L1 binding by atezolizumab has been shown to enhance the magnitude and quality of tumor-specific T-cell responses, resulting in an improved anti-tumor activity (Fehrenbacher et al. 2016; Rosenberg et al. 2016). TNBC may be more immunogenic compared to other breast cancer subtypes and promising clinical activity has been reported with atezolizumab in phase I/Ib metastatic TNBC trials (Adams S et al JAMA Oncol 2019). Furthermore, the results of the randomized phase III IMpassion130 study demonstrated enhanced anti-tumor activity when atezolizumab was co-administered with chemotherapy in the first line metastatic setting, with benefit mainly observed in PD-L-positive cohort.

Atezolizumab has been generally well tolerated. Atezolizumab in combination with taxanes (including paclitaxel and nab-paclitaxel) has shown toxicities similar to those experienced with paclitaxel or nab-paclitaxel alone and have generally been manageable. The benefit-risk ratio for atezolizumab in combination with paclitaxel followed by dose-dense doxorubicin or epirubicin (investigator's choice) and cyclophosphamide is expected to be acceptable in this setting.

Study design and objectives

ALEXANDRA/IMpassion030 primarily aims to evaluate the efficacy, safety, and pharmacokinetic profile of adjuvant atezolizumab plus standard chemotherapy versus chemotherapy alone in early TNBC. Patients with operable stage II or III TNBC, confirmed by central pathology review, will be randomized to receive either adjuvant atezolizumab in combination with paclitaxel followed by atezolizumab, dose-dense doxorubicin or epirubicin (investigator's choice), and cyclophosphamide (atezolizumab+T-AC/EC) or paclitaxel followed by dose-dense doxorubicin or epirubicin (investigator's choice) and cyclophosphamide alone (T-AC/EC). Patients are stratified by type of surgery, nodal status, and centrally assessed PD-L1 status. Adjuvant treatment will consist of weekly paclitaxel 80 mg/m² for 12 weeks followed by dose dense anthracycline (epirubicin 90 mg/m² or doxorubicin 60 mg/m²) and cyclophosphamide 600 mg/m² for 4 doses every 2 weeks or the same chemotherapy regimen (T-AC/EC) given concomitantly with atezolizumab 840 mg every 2 weeks followed by maintenance atezolizumab 1200 mg every 3 weeks until completion of 1 year of atezolizumab. The primary endpoint is to

evaluate iDFS of adjuvant atezolizumab+T-AC/EC compared with T-AC/EC alone in patients with TNBC. Secondary endpoints include iDFS by PD-L1 and lymph node status, overall survival, safety, patient functioning and health related quality of life (HRQoL). Furthermore, tumor tissue and blood samples will be collected for biomarker research.

Study report

ALEXANDRA/IMpassion030 worldwide recruitment started in July 2018 and in Germany in June 2019, respectively. As of 31st December 2021 there are 48 patients enrolled in the study. Enrollment is targeted to be completed in Q1 2022.

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients and by providing biomaterial in a timely manner.

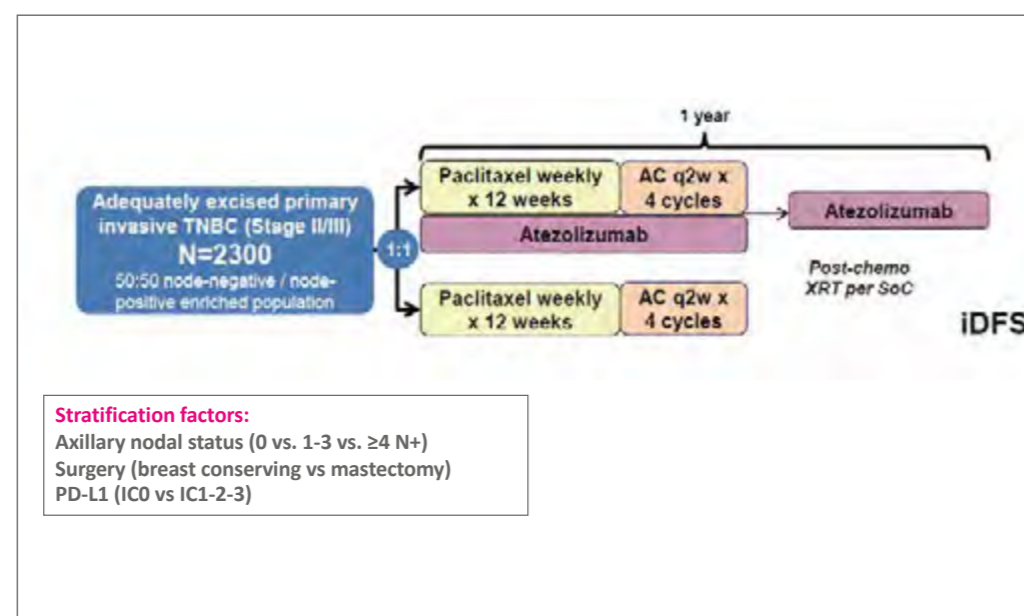


Figure 1: Study design of the ALEXANDRA/IMpassion030 study

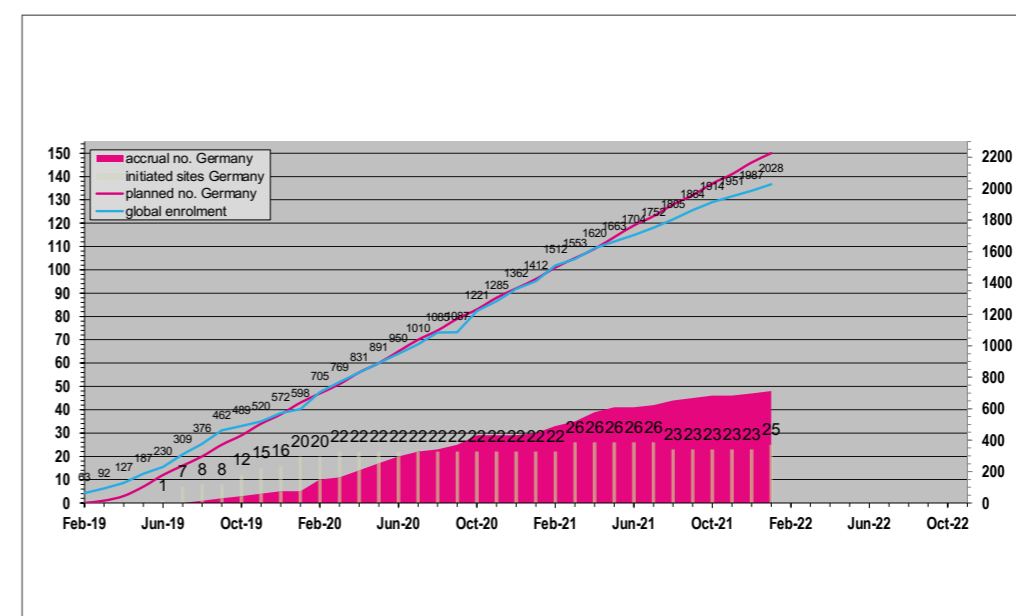


Figure 2: ALEXANDRA/IMpassion030 recruitment as of 31st December 2021

COLLABORATING
STUDY GROUPS:



SPONSOR:
Hoffmann-La Roche

STUDY CHAIR GERMANY:
Prof. Dr. Marcus Schmidt
Universitätsfrauenklinik
Mainz

GBG 93: PADMA

A randomised, open-label, multicenter phase IV study evaluating palbociclib plus endocrine treatment versus a chemotherapy-based treatment strategy in patients with hormone receptor positive / HER2-negative metastatic breast cancer in a real-world setting (PADMA)

NCT03355157

PADMA is an international, prospective, randomized, open-label, multicenter, controlled phase IV low intervention trial to test whether endocrine therapy (ET) with palbociclib is better than mono-chemotherapy +/- endocrine maintenance therapy as per treating physician's choice as first line therapy in advanced/metastatic breast cancer (MBC). PADMA will be conducted in approximately 70 sites in Europe within approximately 36 months.

Background

ET is the recommended option for hormone receptor (HR)-positive / human epidermal growth factor receptor 2 (HER2)-negative MBC as first-line therapy in the majority of patients except those with rapidly progressing, life-threatening disease, also known as visceral crisis (Cardoso et al. Ann Oncol 2014; Gradishar et al. Natl Compr Canc Netw 2016; Schneeweiss et al. Geburtshilfe Frauenheilkd. 2021). With the novel CDK4/6 inhibitors in addition to either an aromatase inhibitor (AI) or fulvestrant the

treatment landscape is changing rapidly. Data comparing ET alone with chemotherapy (CT) are scarce and not informative which strategy would benefit patients most. In real-world, most patients with MBC receive CT to obtain a quick response, although it has not been proven that a achieving a quick response will have an impact on patient benefit. Since palbociclib in combination with ET is superior to ET alone, PADMA is investigating if palbociclib + ET is superior to mono-chemotherapy with or without ET maintenance. Many clinical studies have rigid inclusion and exclusion criteria, predefine study treatment, and strictly define patient monitoring intervals which does not reflect the situation in clinical practice. Therefore, PADMA is planned as a low-intervention real-world trial investigating two treatment strategies that are commonly used in real-world practice. In addition, we are collecting patient reported outcomes (PROs) using the FACT-B questionnaire, and a novel composite endpoint of well-being and healthcare utilization as measured by daily monitoring treatment impact (DMTI).

Study design and objectives

PADMA will provide evidence if palbociclib + ET can replace CT with or without ET maintenance. Patients are randomized in a 1:1 ratio to receive either ET with palbociclib or CT with or without endocrine maintenance therapy. Stratification factors for randomization are: i) hormone re-

sistant (relapse on or within 12 months of end of adjuvant ET) versus hormone sensitive (relapse beyond 12 months after end of ET or de-novo metastatic HR-positive/HER2-negative breast cancer); ii) symptomatic versus asymptomatic (both defined by investigator). In both study arms, treatment is given until disease progression, unacceptable toxicity, or withdrawal of consent of the patient or change of initial treatment plan (either approximately six chemotherapy cycles followed by maintenance endocrine therapy or chemotherapy until disease progression).

PADMA primarily aims to compare the TTF for patients randomized to receive pre-defined chemotherapy treatment strategy versus those randomized to receive palbociclib and ET. The TTF is defined as time from randomization until discontinuation of treatment due to disease progression, treatment toxicity, patient's preference, or death. Main secondary objectives include progression-free survival, time-to-first subsequent treatment, time-to-first subsequent chemotherapy, time-to-second subsequent treatment regimen, and overall survival between treatment arms; to compare patient well-being and health care utilization, quality of life, safety, and treatment compliance between the two

arms. Furthermore, the PADMA study will also address translational research questions such as an investigation of biomarkers (e.g., cyclines, RB expression, p27, p16 expression) which might predict the response to CDK inhibition in MBC as well as evaluation of circulating tumor DNA (ctDNA) at various time points to monitor tumor progression.

The main changes of the first protocol amendment were a reduction of the number of planned patients, and the removal of an initially planned interim analysis and of an activity tracker monitoring sleep and activity levels, respectively. With amendment 2 of the study protocol the number of planned patients was reduced again and study duration prolonged. In addition, a molecular screening is offered to all patients included in the study to identify molecular changes of therapeutic relevance within the context of precision medicine.

Study report

The PADMA recruitment started in March 2018 in Germany. As of 31st December 2021, there are 88 patients enrolled in the study. The end of the study (i.e. last visit of the last patient randomized) is estimated for 2023 earliest.

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients and by providing biomaterial in a timely manner.

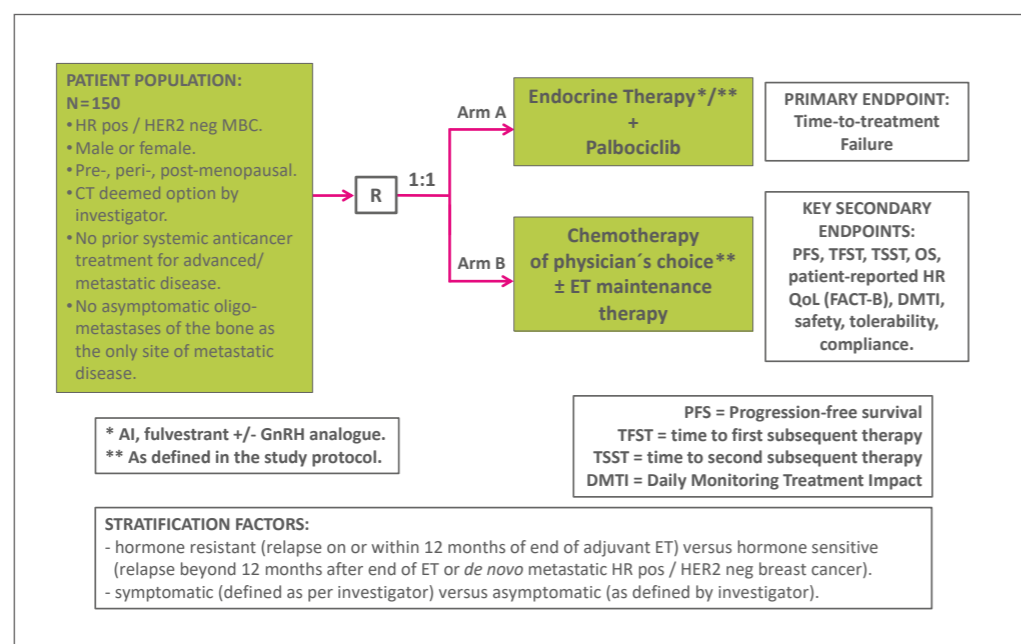


Figure 1: PADMA study design

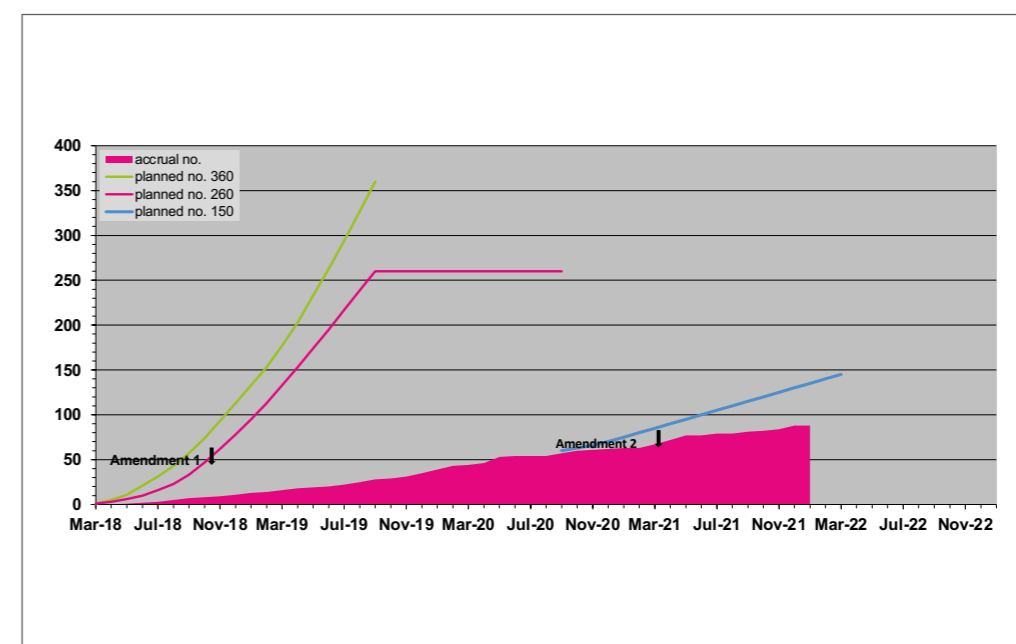


Figure 2: PADMA recruitment as of 31st December 2021

COLLABORATING STUDY GROUPS:



SPONSOR:
GBG Forschungs GmbH

STUDY CHAIR:
Prof. Dr. Sibylle Loibl
German Breast Group,
Neu-Isenburg

COORDINATING INVESTIGATOR:
PD Dr. Marc Thill
Klinik für Gynäkologie und
Geburtshilfe, Agaplesion
Markus Krankenhaus,
Frankfurt am Main

GBG 104: EUBREAST-01

A surgical trial on the omission of sentinel lymph node biopsy in triple-negative and HER2-positive breast cancer patients with radiologic and pathologic complete response in the breast after neoadjuvant systemic therapy

NCT04101851

EUBREAST-01 (GBG 104) is a single-arm, multi-center, prospective trial to investigate the omission of sentinel lymph node biopsy (SLNB) in triple-negative and HER2-positive breast cancer patients with radiologic and pathologic complete response (pCR) in the breast after neoadjuvant systemic therapy (NAST).

Background

Currently, axillary surgery for breast cancer is considered as staging procedure that does not seem to influence breast cancer mortality, since the risk of developing metastasis depends mainly on the biological behavior of the primary tumor (seed-and-soil model). Thus, the post-surgical treatment strategy should be rather based on biologic tumor characteristics than nodal involvement.

Improvements in systemic treatments for breast cancer have increased the rates of pCR in patients receiving NAST, offering the opportunity to decrease, and perhaps eliminate, surgery in patients who have a pCR.

Study design and objectives

The investigators designed a clinical trial in

which only patients with the highest likelihood of having a pCR after NAST will be included and the type of surgical strategy will be defined according to the response to NAST rather than on the classical T and N status at presentation. Axillary surgery will be eliminated completely (no axillary sentinel lymph node biopsy [SLNB]) for initially cN0 patients with radiologic complete remission (rCR) and a breast pCR as determined in the lumpectomy specimen.

Patients of ≥ 18 years of age with triple-negative or HER2-positive invasive breast cancer and no evidence for distant metastasis (M0) can be included. Additional key inclusion criteria are imaging techniques with estimated tumor stage between cT1c-T3 prior to NAST and clinically and sonographically tumor-free axilla prior to core biopsy (cN0/iN0). In cases with cN0 and iN+, a negative core biopsy or fine needle aspiration (FNA) biopsy of the sonographically suspected lymph node is required. Standard NAST with radiological complete response (rCR) and planned breast-conserving surgery (R0 resection) with postoperative external whole-breast irradiation (conventional fractionation or hypofractionation) are a prerequisite.

The trial is designed as a multicenter single-arm study with a limited number of patients (N=267) which might give practice-changing results in a short period of time, sparing the time and the costs of a randomized comparison. Patients will be recruited in European countries (Austria, Germany, Italy, and Spain) over a period of 24 months.

All patients with confirmed breast pCR after lumpectomy (BCS) will be selected for the single study arm (no axillary therapy) leading to omission of any axillary treatment (axillary SLNB, ALND, axillary radiotherapy). These patients will thus be finally staged as ypNx.

Patients with non-pCR in the breast will be treated with axillary SLNB in a second procedure in concordance with current guidelines. In case of a tumor-free SLNB (ypN0[sn]), no completion ALND is performed. If micro- or macrometastases are found in the SLNB (ypN+[sn]), completion ALND and/or axillary radiotherapy is mandatory according to local decision. Postoperative systemic treatment should be based on local multidisciplinary tumor board recommendations according to current international or national guidelines.

All study patients must receive CT-based WBRT with 3-dimensional conformal radiation therapy (3DCRT) or intensity-modulated radiotherapy (IMRT) to the remaining breast (50 Gy in 25 fractions or 50.4 Gy in 28 fractions) delivered in supine position. In addition, the hypofractionated regimen with a single dose of 2.66 Gy in 15 fractions according to the START B trial (Haviland SJ et al., Lancet Oncol 2013) is an option. A boost to the tumor bed is recommended according to local guidelines (dose [10-]16 Gy). The irradiation of regional lymph nodes (axillary, supra-/infraclavicular, internal mammary) must

be avoided in cases with pCR in the breast (ypT0).

The primary objective is the 3-year rate of axillary recurrence-free survival (ARFS) after breast-conserving surgery (no SLNB arm). Secondary objectives are the 5-year invasive disease-free survival, overall survival, loco-regional disease-free survival (no tumor in the ipsilateral breast or ipsilateral supraclavicular, infraclavicular, internal mammary or axillary nodes), ipsilateral axillary recurrence rate, distant disease-free survival, and the diagnostic accuracy of imaging methods for pathologic complete response (breast pCR) after NAST.

Study report

EUBREAST-01 global recruitment started in January 2021 with first-patient-in on 15th January 2021 in Germany. As of 31st December 2021, there are 78 patients enrolled in the study. The expected study duration for recruitment is approximately 2 years [1].

Publications

1. Reimer T, Glass A, Botteri E, Loibl S, Gentilini OD. Avoiding axillary sentinel lymph node biopsy after neoadjuvant systemic therapy in breast cancer: Rationale for the prospective, multicentric EUBREAST-01 trial. Cancers 2020; 12: E3698

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients.

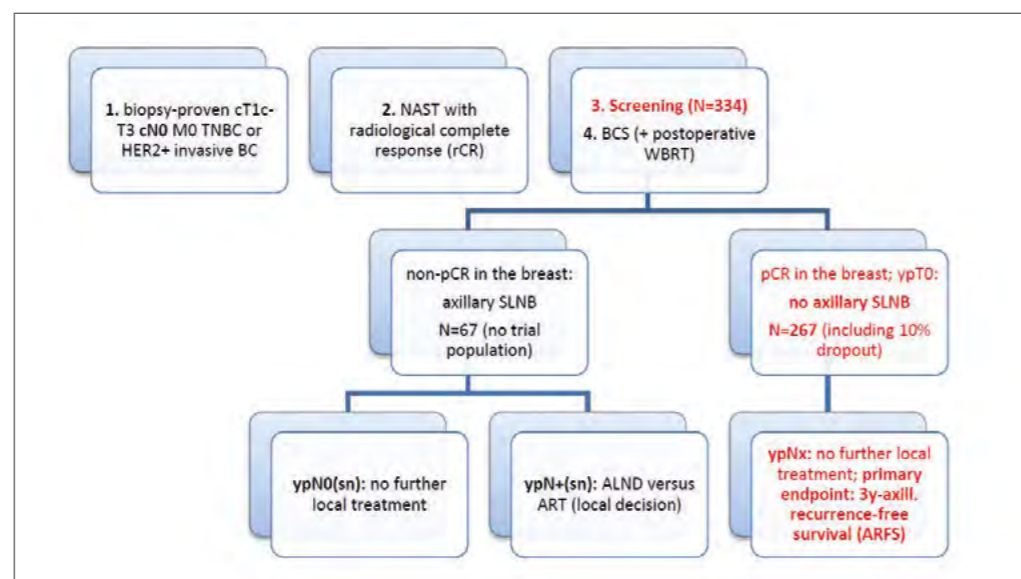


Figure 1: EUBREAST-01 flowchart

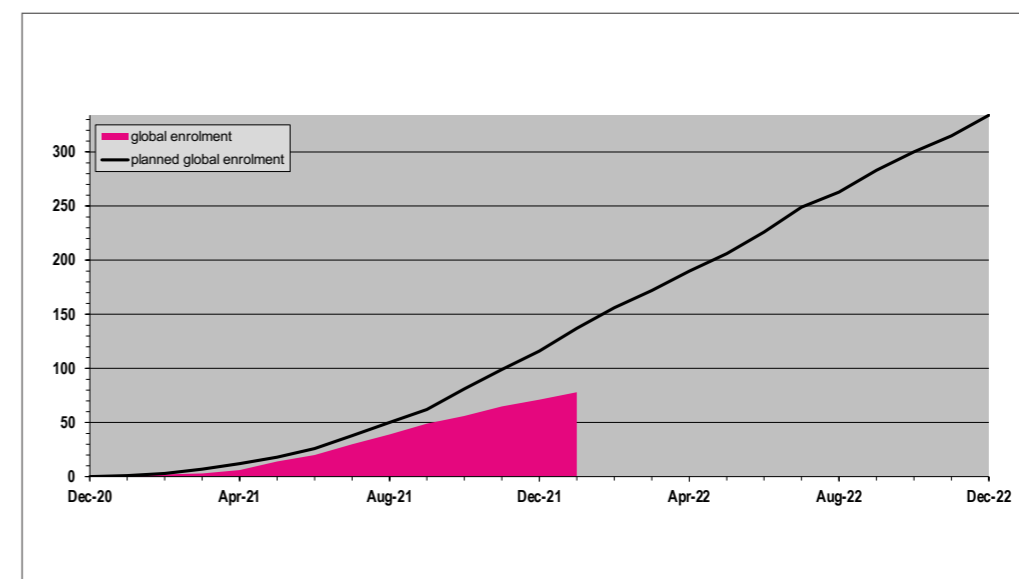


Figure 2: EUBREAST-01 recruitment as of 31st December 2021



CONTACT:

Keyur Mehta

Clinical Project Management
eubrest01@GBG.de

COLLABORATING STUDY GROUPS:



SPONSOR:

University Medicine Rostock (UMR)

CO-SPONSOR:

San Raffaele Hospital (Milan, Italy)

COORDINATING INVESTIGATOR:

Prof. Dr. Toralf Reimer
Universitäts-Frauenklinik am
Klinikum Südstadt

BMBC

GBG 79: Brain Metastases in Breast Cancer (BMBC)

CONTACT:
 Birgit Raasch
 Clinical Project Management
 brainmet@GBG.de

BMBC (Brain Metastases in Breast Cancer) is a long-term retrospective and prospective multicenter registry study designed to collect tumor characteristics of the primary and metastatic tumor as well as treatment data from patients diagnosed with brain metastases of breast cancer treated in German hospitals.

Background

The development of brain metastases reduces quality of life and survival in breast cancer patients. Their incidence has increased during the last years (Frisk et al. Br J Cancer 2012). 10-40% of patients with metastatic breast cancer will develop brain metastases during the course of disease depending on the biological subtype of the primary tumor. The prognosis for patients with brain metastases is generally poor. Good performance status and a limited number of brain metastases are factors that can prolong survival (Ogawa et al. J Neurooncol 2008). Therapeutic approaches in treating metastases of the central nervous system include surgery, radiotherapy, and systemic chemotherapy as well as the combination of these options.

Due to the analysis of small and heterogeneous patient cohorts, risk factors for the development of brain metastases and the impact of early detection of brain metastases have been analyzed insufficiently. Improved treatment strategies are required as the incidence of patients with brain metastases will increase over the next years due to the better control of systemic disease outside the central nervous system. A multidisciplinary approach with rapid integration of new treatment strategies is required for the treatment of patients developing brain metastases, aiming to prolong survival, preserve neurologic function and improve quality of life.

The BMBC registry was initiated to include breast cancer patients with brain metastases diagnosed in the year 2000 and beyond. Registration of patient data is allowed prospectively after obtaining an informed consent. Retrospective participants can be entered without an informed consent if the patient is not able to sign the informed consent and as long as the data is captured anonymously.

The registry study is conducted in collaboration with Prof. Dr. Volkmar Müller, Priv. Doz. Dr. Isabell Witzel, and Dr. Elena Laakmann from the University Hospital Hamburg-Eppendorf.

Study objectives

The BMBC registry aims to collect data to determine the incidence of brain metastases, the number and size of brain metastases, location, histopathological characteristics of the primary tumor and brain metastases, sensitivity of diagnostic tools (cranial computed tomography (CT) and magnetic resonance imaging (MRI)), performance status, prognosis, quality of life, and the influence of treatment strategies on prognosis and neurological function. In addition, the registry allows investigation of translational research questions, using tumor specimen of the primary and metastatic tumor.

Planned analyses include treatment patterns in Germany, patient outcome, as well as validation of prognostic scoring systems in a multicenter setting and in the context of new targeted therapies. Planned translational research projects include the impact of glycosylation, resistance mechanisms against HER2-targeted therapies, the role of the blood brain barrier, evaluation of markers of radioresistance and specific genomic alterations associated with brain tropism of breast cancer cells.

Study report

The study was opened for documentation in April 2014 with more than 50 participating centers. As of 31st of December 2021, 3,492 patients have been registered and 530 tissue samples have been received. Registration of patients is ongoing.

A retrospective analysis including 882 patients from the BMBC registry with available data of three Graded Prognostic Assessment (GPA)-scores that estimate the prognosis of patients with brain metastases by objective criteria (original-GPA, breast-GPA and updated breast-GPA scores) was recently published. Several clinical parameters and all GPA-scores were significantly associated with overall survival. However, all GPA-scores showed only a moderate diagnostic accuracy in predicting overall survival in the analyzed cohort [1].

Another project using data from the BMBC registry was presented at the ESMO Breast Cancer Congress 2021. This retrospective analysis involved a total of 2,948 patients, including 1,311 patients with HER2+ disease and identified factors associated with prognosis of HER2+ patients with brain metastases. A significantly

longer overall survival was observed for the hormone receptor-positive subcohort and this finding warrants further research [2].

Publications

1. Riecke K, Müller V, Weide R, et al. Predicting Prognosis of Breast Cancer Patients with Brain Metastases in the BMBC Registry-Comparison of Three Different GPA Prognostic Scores. Cancers (Basel) 2021; 13(4):844.
2. Laakmann E, Witzel I, Neunhöffer T, et al. 95MO - Characteristics of patients with brain metastases from HER2-positive breast cancer. Ann Oncol 2021; 32 (suppl_2): S60-S78.

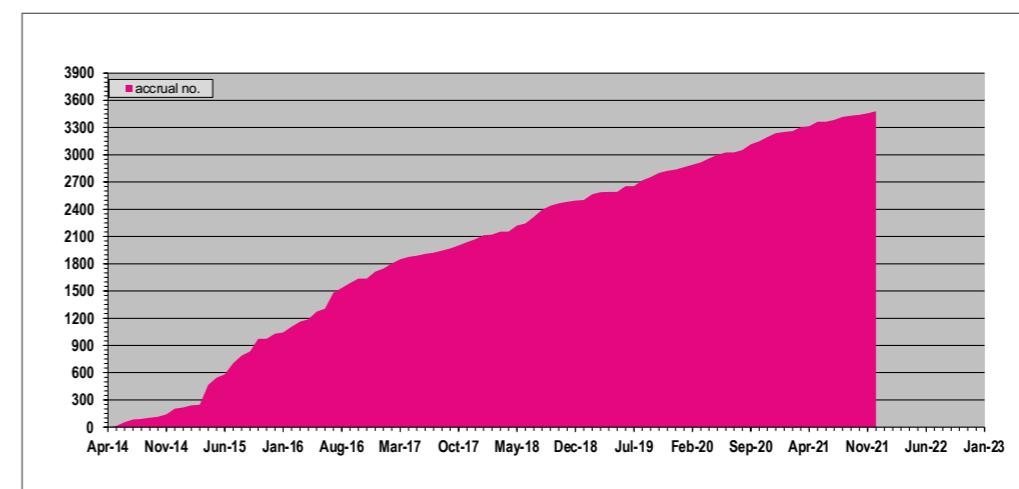


Figure 1: BMBC recruitment as of 31st December 2021

We encourage all study centers and practices to enter eligible patients into the registry.

We thank all participating sites that have entered their patients into the registry and have contributed to this important research so far.

We would like to kindly remind all sites to provide biomaterial which is urgently needed to answer translational research questions.

COLLABORATING STUDY GROUPS:



SPONSOR:

GBG Forschungs GmbH

STUDY CHAIRS:

PD Dr. Isabell Witzel
and

Prof. Dr. Volkmar Müller
 Universitätsklinikum
 Hamburg-Eppendorf,
 Klinik und Poliklinik für
 Gynäkologie

PSRO

GBG 71: Patient Self-Reporting Outcome Registry

CONTACT:
Jan Steffen
Clinical Project Management
follow.up@GBG.de

PSRO (Patient Self-Reporting Outcome) is a multicenter registry designed to capture long-term follow-up of former trial participants.

Background

Long-term follow-up of early breast cancer trials is considered highly important as treatment efficacy might increase, maintain, or decrease over time and to understand and document late or chronic toxicities. This might result in a different assessment of the overall patient benefit of an investigational treatment strategy as compared to the initial assessment when the primary endpoint has read out. However, collection of data over a long time period is often not feasible due to the logistical and financial burden for study sites and sponsors.

To address this issue, we have set up a registry in 2010 where patients are contacted consensually in writing and send back information about their health status.

Method

Study participants are invited by the site investigator to join the PSRO registry. They consent that their name, address, and the unique study identifier are being collected and to regularly receive health status questionnaires.

German privacy laws and good clinical practice (GCP) regulations do not allow the storage of patient-identifying data by the sponsor. Therefore, we developed the registry with a strict separation of patient-identifying data and pseudonymized medical data via a data trustee. The

data trustee is financially and organizationally independent from the GBG. The data trustee is handling names and addresses of the patients with a database which is not accessible by GBG. Triggered by GBG, the trustee sends a questionnaire asking for current health status, including date and site of relapse, secondary malignancies, and date of death. The questionnaires may also be filled in by a third person in case of death. Forms are to be sent to GBG using only the unique study identifier as pseudonym. For address changes or withdrawal of consent, another form can be returned to the trustee. Thus, GBG links updated data with the original study database and informs the site about their patients.

Study report

We accept participants from most GBG trials for early breast cancer. Currently, over 12,000 participants from 20 trials and 450 sites are included in this registry.

Publications

1. von Minckwitz G, Steffen J, Wiest W et al. Patient Self-reported Outcome for Long-term Follow up of Early Breast Cancer Trials. *Eur J Cancer*. 2012; 48, suppl 1, S52
2. von Minckwitz G, Steffen J, Costa S et al. Quality of patient-reported outcome for long-term survival of early breast cancer trials. *J Clin Oncol*. 2016; 34:15_suppl, e18121-e18121

We encourage all study centers and practices to enter patients from eligible trials into the registry. We thank all sites that already have entered patients into the registry and have contributed to this important project so far.

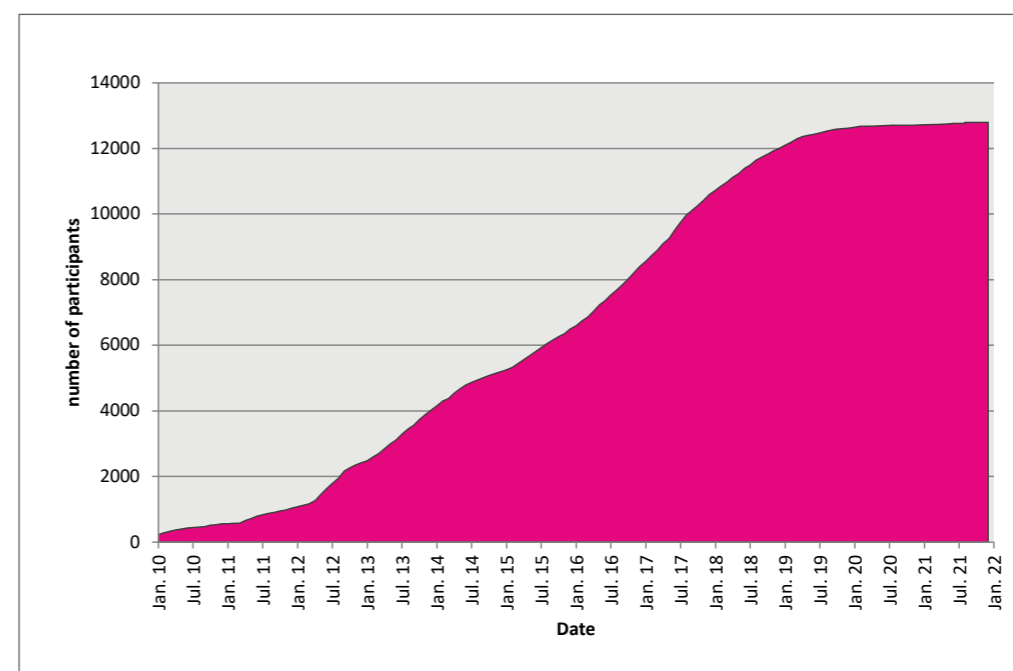


Figure 1: Recruitment into PSRO-registry as of 30th November 2021

COLLABORATING STUDY GROUPS:



SPONSOR:
GBG Forschungs GmbH

PARTNER:
As data trustee we partnered with the Center for Clinical Studies at the University of Cologne. Zentrum für Klinische Studien (ZKS), Universität Köln



GBG 29: Breast Cancer in Pregnancy (BCP)

Prospective and Retrospective Registry Study of the German Breast Group (GBG) for Diagnosis and Treatment of Breast Cancer in Pregnancy compared to Young Non-Pregnant Women

NCT00196833

BCP (BIG 03-02) is a long-time retrospective/prospective multicenter, international registry that will recruit pregnant breast cancer patients and non-pregnant young women.

Background

Breast cancer in pregnancy is regarded as a rare coincidence. However, about 7% of the women diagnosed with breast cancer are younger than 40 years with a small increase in the incidence in the last years (Eisemann N. et al. Geburtshilfe Frauenheilkd. 2013; DeSantis C et al. CA Cancer J Clin. 2011). The median age of first pregnancy in Germany is 30 years (according to the federal statistical office). Since the incidence of breast cancer under the age of 40 is rising and women tend to delay pregnancy into later reproductive years the coincidence of pregnancy and breast cancer is increasing. Little is known about the incidence of breast cancer in pregnancy in Germany and Western Europe.

Therefore, in 2003 the German Breast Group launched a registry which was extended throughout Europe and worldwide (Breast International Group), to systematically investigate breast cancer during pregnancy and to increase the evidence for treatment options.

With an amendment of the original study proto-

col, it is now possible to also include a non-pregnant control cohort of women diagnosed with breast cancer at or below the age of 40 years. Those can be matched to the pregnant breast cancer patients as controls treated in everyday clinical practice.

All patients with histologically confirmed breast cancer who are pregnant, as well as patients of 40 years or younger with histologically confirmed breast cancer who are not pregnant and have given informed consent for data collection and biomaterial collection can be entered into the registry. Retrospective participants can be entered without an informed consent as long as the data are captured anonymously.

Study objectives

The BCP study primarily aims to assess the fetal outcome 4 weeks after delivery. Secondary endpoints will include maternal outcome of pregnancy, tumor stage at presentation and biological characteristics, breast cancer therapy, type of surgery, mode of delivery (vaginal vs. caesarean), outcome of the new-born 5 years after diagnosis, and outcome of breast cancer 5 years after diagnosis.

In addition, the registry allows investigation of translational research questions, using tumor specimen as well as placenta tissue from patients with breast cancer during pregnancy.

Study report

As of 31st December 2021, a total of 3,086 patients have been registered, 2,711 in Germany (674 pregnant and 2,037 non pregnant women).

A recent evaluation of the outcome of breast cancer patients treated with chemotherapy during pregnancy compared with non-pregnant controls in cooperation with INCIP (International Network on Cancer, Infertility and Pregnancy) revealed that pregnancy-induced alternations in chemotherapy concentration do not seem to affect maternal prognosis. After a median follow-up of 66 months, the observed disease-free survival and overall survival were comparable for pregnant and non-pregnant patients. These results support initiation of chemotherapy for breast cancer during pregnancy when indicated according to clinical guidelines [1]. A translational research project developed from the BCP registry aimed to investigate the tumor biology and immunology of pregnant breast cancer patients and the impact of pregnancy on the immunological characteristics of the breast cancer. Results were recently presented at the SABCS 2021. Heterogeneity of immunomarker expression was detected in the entire cohort of pregnant breast cancer patients which might be related to the specific immunological situation during pregnancy. Subgroup analyses showed a significantly higher expression of TIGIT, a specific T cell immunoreceptor, in patients with T1/2 compared to those with T3/4 tumor stage, which might be a sign of the initial anti-tumor response with activation of T- and NK-cells that decreases during tumor progression. These preliminary results are hypothesis generating and warrant further research to evaluate the impact of the described heterogeneity in a matched non-pregnant patient cohort [2].

Publications

1. Amant F, Nekljudova V, Maggen C, et al. Outcome of breast cancer patients treated with chemotherapy during pregnancy compared with non-pregnant controls. J Clin Oncol 39, no. 15_suppl (May 20, 2021) 515-515.
2. Galas K, Gleitsmann M, Rey J, et al. Immunological markers in patients with breast cancer occurring during pregnancy – results from GBG BCP study. Cancer Res. 2021; abstract 767.

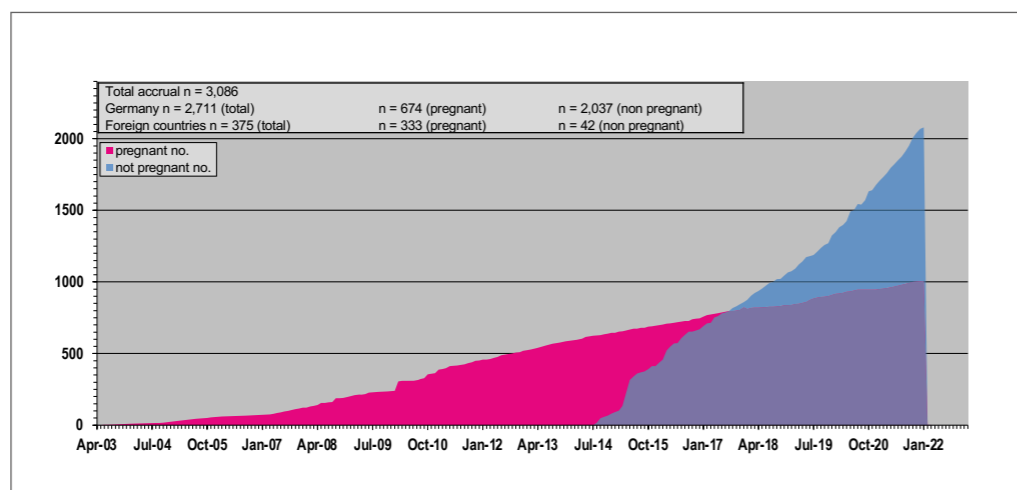


Figure 1: BCP recruitment as of 31st December 2021

Thanks to all participating sites and practices that have entered their patients into the registry and have supported this important research so far. We would kindly like to remind all study centers to provide biomaterial which is urgently needed to answer translational research questions.

COLLABORATING STUDY GROUPS:



SPONSOR:

The project was initially supported by the BANSS-Foundation and German Cancer Consortium (DKTK)

STUDY CHAIR:

Prof. Dr. Sibylle Loibl
German Breast Group,
Neu-Isenburg

Follow-up Activities

| | |
|--------------------------------------|----|
| Patient Self-Reported Outcome (PSRO) | 74 |
| General Follow-up Database and eCRF | 74 |
| Current Trials in Follow-up | 74 |
| Neoadjuvant | |
| GBG 96: GeparDouze | 75 |
| GBG 90: GeparOLA | 75 |
| GBG 88: GeparX | 75 |
| GBG 81: BRIGHTNESS | 76 |
| GBG 77: KATHERINE | 76 |
| Post-neoadjuvant | |
| GBG 78: Penelope ^B | 77 |
| Adjuvant | |
| GBG 91: TAMENDOX | 78 |
| GBG 87: PALLAS | 78 |
| GBG 82: OLYMPIA | 78 |
| GBG 67: APHINITY | 79 |
| Metastatic | |
| GBG 97: AMICA | 79 |
| GBG 94: PATINA | 80 |
| GBG 85: AURORA | 80 |
| Surgical | |
| GBG 101: TAXIS | 81 |
| GBG 75: INSEMA | 81 |

Follow-up Activities 2021

Long-term follow-up of early breast cancer trials is considered highly important as treatment efficacy might increase, maintain, or decrease over time and to understand and document late or chronic toxicities. This might result in a different assessment of the overall patient benefit of an investigational treatment strategy as compared to the initial assessment when the primary endpoint has read out. However, collection of data over a long time period is often not feasible due to the logistical and financial burden for study sites and sponsors

Patient Self-Reported Outcome (PSRO)

To improve follow-up and reduce the workload for the trial sites, we developed a concept to use patient self-reported outcome (PSRO) registry for long term follow-up in the GBG early breast cancer trials. Detailed information on the PSRO registry can be found on page 68.

Current Trials in Follow-up

The follow-up status of the GBG trials is presented in Table 1

| Trial | | N (patients) | PSRO patients | FU Completeness |
|---------|------------|--------------|---------------|-----------------|
| GBG-033 | GAIN | 2,994 | 1,012 | 65% |
| GBG-066 | GeparSixto | 588 | 338 | 66% |
| GBG-068 | GAIN-2 | 2,857 | 2,280 | 75% |
| GBG-069 | GeparSepto | 1,203 | 792 | 72% |
| GBG-070 | Dafne | 65 | 52 | 63% |
| GBG-074 | Genevieve | 333 | 205 | 58% |
| GBG-075 | Insema | 5,194 | 2,865 | 75% |
| GBG-084 | GeparOcto | 945 | 732 | 74% |
| GBG-088 | GeparX | 768 | 585 | 66% |
| GBG-089 | GeparNuevo | 174 | 133 | 77% |
| GBG-090 | GeparOla | 106 | 64 | 57% |

Table 1: Status of the GBG trials in follow-up as of December 2021 (FU-completeness according to Clark, Lancet 2002;359:1309)

General Follow-up Database and eCRF

Follow-up documentation across different studies and a long time is a significant burden for study sites due to different systems, case report forms (CRFs), schedules and procedures. To mitigate this, we developed a unique general follow-up database to document follow-up for all trials with the same electronic Case Report Form (eCRF). This eCRF is simplified as much as possible to collect only the basic information necessary for analysis of the long-term endpoints of our neoadjuvant and adjuvant trials. All these items can be collected during routine care without trial specific examinations. Results from the PSRO are also entered into this database.

While we desire to increase follow-up completeness for all of our studies, we would like to draw special attention on selected studies that are planned to be analyzed and/or published in the near future.

Neoadjuvant studies



GeparDouze (GBG 96, NSABP B-59, NCT03281954)

is an international, multicenter, prospective, randomized, double-blind, phase III trial that has recruited 1,550 patients worldwide.

This trial of neoadjuvant and adjuvant administration of atezolizumab/placebo in patients with high-risk triple-negative breast cancer aims to evaluate the efficacy and safety of neoadjuvant administration of atezolizumab/placebo with a sequential regimen of weekly paclitaxel with every-3-week carboplatin followed by neoadjuvant administration of atezolizumab/placebo with epirubicin or doxorubicin/cyclophosphamide (EC/AC). After surgery patients will reinitiate atezolizumab/placebo as adjuvant therapy to complete one year of treatment.

GeparDouze is a collaborative study conducted by NSABP Foundation, Inc. in partnership with the German Breast Group. Study recruitment was completed in May 2021 with a total of 978 patients enrolled in Europe (805 patients in Germany). Patients are now receiving adjuvant therapy or are in the follow-up period. The first interim analysis of event-free survival (EFS) is expected at end of 2022.

For timely analysis of the primary study endpoints, we would like to encourage all participating sites to provide regular follow-up data for their patients.



GeparOLA (GBG 90, NCT 02789332)

is a multicenter, prospective, randomized open-label phase II study that has recruited 107 patients.

The study evaluated the efficacy of paclitaxel

and olaparib in comparison to paclitaxel and carboplatin followed by epirubicin/cyclophosphamide as neoadjuvant chemotherapy in patients with HER2-negative early breast cancer and homologous recombination deficiency (HRD) patients with deleterious *BRCA1/2* tumor or germline mutation and/or HRD score high. The addition of olaparib to paclitaxel was well tolerated and resulted in a pCR rate of 55.1% (90%CI 44.5%-65.3%). However, this was not sufficient to exclude the predefined pCR rate of 55% in the olaparib + paclitaxel arm. Subgroup analyses revealed higher pCR rates in the olaparib group compared to the carboplatin group with regards to hormone receptor-positive tumors, patients younger than 40 years and patients with HRD score high, *BRCA1/2* wildtype (Fasching et al. Ann Oncol 2020).

Analyses on further exploratory endpoints and translational research are ongoing and we urgently need follow-up to produce long-term results for this important trial.



GeparX (GBG 88, NCT 02682693)

is a multicenter, prospective, 2x2 randomized, open-label phase IIb study that has recruited 780 patients.

The study investigated efficacy and safety of adding denosumab to anthracycline/taxane-containing neoadjuvant chemotherapy and of 2 different nab-paclitaxel regimens (weekly x 12 vs d1, d8 qw3 x 4). The addition of denosumab to neoadjuvant chemotherapy did not increase the pCR rate (41% with denosumab vs 43% without denosumab, p=0.582) while the weekly schedule of nab-paclitaxel resulted in significantly higher pCR rates than given d1,8 q22 (45% vs 39%, respectively, p=0.062, to the significance level of $\alpha=0.1$) in early breast cancer. Weekly nab-paclitaxel resulted in higher rates of serious adverse events and treatment discontinuations mainly due to adverse events (Blohmer et al. Cancer Res 2020). Among predefined subgroups, particularly patients receiving epirubicin/cyclophosphamide every two weeks and

patients receiving denosumab benefitted from the weekly nab-paclitaxel schedule. A high RANK expression was associated with significantly higher pCR rates, an effect that was pronounced in patients with luminal breast cancer. However, a clinical benefit of denosumab in relation to RANK expression could not be shown (Link et al. Ann Oncol 2020).

For timely analysis of time-to-event endpoints, which is planned after 248 iDFS events occurred, we would like to encourage all participating sites to provide follow-up data for their patients or to transfer them to the self-reported outcome register.



BRIGHTNESS (GBG 81, NCT 02032277) is a multicenter, double-blind, placebo-controlled, randomized phase III trial that has globally recruited 634 patients (55 patients in Germany).

The study compared paclitaxel plus carboplatin plus Poly(ADP-ribose) polymerase (PARP) inhibitor veliparib with paclitaxel plus carboplatin and with paclitaxel alone, each followed by standard neoadjuvant chemotherapy with doxorubicin/cyclophosphamide in triple-negative breast cancer (TNBC) patients. Overall, an addition of veliparib to neoadjuvant chemotherapy did not increase the pCR rate in the breast and lymph nodes in TNBC patients. In contrast, the addition of carboplatin to paclitaxel resulted in a significant improvement in pCR rates compared to paclitaxel alone. The increased toxicity of carboplatin with or without veliparib did not impact the delivery of neoadjuvant chemotherapy (Loibl et al. Lancet Oncol. 2018). Long-term results after a median follow-up of 4.5 years confirmed that only the addition of carboplatin to paclitaxel has an impact on pCR and event-free survival (EFS). Stratified analysis of OS demonstrated no statistically significant benefit of carboplatin (Loibl et al. Ann Oncol. 2021). A prespecified secondary analysis on TNBC subtyping in the BRIGHTNESS trial revealed high pCR rates in basal-like and immunomodulatory

subsets. The benefit of carboplatin regarding pCR was seen across all molecular subtypes (Filho OM et al JAMA Oncol. 2021). A recent matched cohort study of patients with germline *BRCA* (*gBRCA*) mutated TNBC demonstrated no significant difference in pCR between *gBRCA* and non-*gBRCA* patients when carboplatin with or without veliparib was added to the neoadjuvant chemotherapy (Filho OM et al. NPJ Breast Cancer. 2021).

The BRIGHTNESS study is now in follow-up with patients being followed for 10 years. We would like to encourage all participating sites to provide follow-up data for their patients.



KATHERINE (GBG 77, NCT 01772472) is a randomized, multicenter, open-label phase III study that has recruited 1,487 patients.

The trial investigated whether adjuvant T-DM1 was more effective than trastuzumab in patients with HER2-positive primary breast cancer who received neoadjuvant chemotherapy including trastuzumab and had residual invasive disease after surgery.

Interim analyses showed a significantly improved invasive disease-free survival (iDFS) with adjuvant T-DM1 compared to trastuzumab. Safety data were consistent with the known safety profile of T-DM1, with more adverse events associated with T-DM1 than with trastuzumab alone (von Minckwitz et al. N Engl J Med 2019).

Additional safety and efficacy exploratory analyses of factors potentially associated with i) the higher rates of peripheral neuropathy and thrombocytopenia observed with T-DM1; ii) efficacy implications of the numerically higher rate of central nervous system (CNS) recurrence as the first invasive disease-free survival event observed in the T-DM1 arm; iii) efficacy in patients treated with non-anthracycline (AC) versus AC-based neoadjuvant chemotherapy; and iv) mutually exclusive, particularly high-risk patient cohorts were recently conducted. The results of these subgroup analyses were generally consis-

tent with the findings in the primary study. T-DM1 treatment provides benefit in all subgroups analyzed, including small tumors and particularly high-risk tumors and does not increase the overall risk of CNS recurrence. Neoadjuvant chemotherapy had a minimal impact on safety (Mamounas et al. Ann Oncol. 2021).

Further analyses are to follow in 2022 for this important study and we therefore encourage all participating sites to provide follow-up data for their patients.

Post-neoadjuvant studies



Penelope^B (GBG 78, NCT 01864746) is a prospective, international, multicenter, randomized, double-blind, placebo-controlled, post-neoadjuvant phase III study that has recruited 1,250 patients.

The study evaluated the addition of the CDK4/6 inhibitor palbociclib as postneoadjuvant treatment for HER2-/HR+ patients with high relapse risk after neoadjuvant chemotherapy (NACT). The addition of one year palbociclib to endocrine therapy in Penelope-B did not improve invasive disease-free survival (iDFS). No new safety signals were observed (Loibl et al. J Clin Oncol 2021). Subgroup analyses of 616 premenopausal women revealed no difference in iDFS between palbociclib and placebo overall. However, in the small subgroup of patients treated with tamoxifen + gonadotropin-releasing hormone analogue (GnRHa), a tendency for a better iDFS with palbociclib was found, with no additional side effects compared to the combination with aromatase inhibitor + GnRH (Marmé et al. J Clin Oncol 2021). An evaluation of health economic properties of palbociclib in Penelope^B found that one year of palbociclib added to endocrine therapy is not likely to be cost-effective in women with residual invasive disease after NACT (Galaktionova et al. Ann Oncol 2021). Analyses of patient-reported outcomes showed that global quality of life was generally maintained

during Penelope^B in both treatment arms. Slight differences, in terms of global health status, physical functioning and fatigue, statistically favored the placebo arm but none met published clinically meaningful thresholds (García-Sáenz et al. Ann Oncol 2021).

Within the large translational program, gene expression profiling in 906/1250 post-NACT surgical residual tumor tissue samples (HTG Molecular Diagnostics Inc.) revealed that the small group of patients with a luminal-B tumor after NACT (n=64) potentially derived a benefit from palbociclib (numerically, not statistically significant) (Denkert et al. J Clin Oncol 2021). This analysis was later extended to include a cohort of 540 paired pretherapeutic and post-NACT samples and the results were presented at the SABCS 2021. It could be shown that a switch from high-risk (in particular luminal-B) to low-risk molecular subtypes (in particular luminal-A) is common in neoadjuvant therapy of luminal tumors. The adaptation of luminal high-risk tumors to chemotherapy-induced stress is crucial for the clinical outcome and molecular defined tumor subtypes might not be as stable as originally thought (Denkert et al. Cancer Res 2021). The incidence of mutations in *gBRCA1/2* and other breast cancer (BC) disposition genes and their impact on patient outcome in Penelope^B was analyzed and results were presented at the SABCS 2021. This case-cohort analysis of 442 patients revealed that patients with mutations in *gBRCA1/2* or other BC disposition genes had a comparable outcome to non-carriers overall and irrespective of treatment. This is the largest investigation of BC predisposition genes in HR+ patients to date (Loibl et al. Cancer Res 2021).

We would like to thank all participating sites for their ongoing dedication and tremendous efforts taken on this important trial. We encourage all participating sites to provide further follow-up data for their patients since analysis of overall survival and an update on iDFS is planned.

Adjuvant studies



TAMENDOX (GBG 91, IKP275, NCT03931928)

is a prospective, multicenter, single-blinded, three treatment arms, placebo-controlled, pharmacogenetics/pharmacokinetic phase II study that has recruited 248 patients.

The study aimed to evaluate the supplementation of tamoxifen with low dose (Z)-endoxifen to overcome the impaired bioactivation of tamoxifen to its active metabolite (Z)-endoxifen in patients with compromised CYP2D6 activity. TAMENDOX is currently being analyzed by the sponsor IKP. Publication of the results is planned for 2022.

We would like to thank the centers for their commitment in recruiting and documentation as well as the excellent support of the monitoring despite the difficult conditions of the pandemic situation.



PALLAS (GBG 87, NCT 02513394)

is a multicenter, prospective, international, randomized, open-label, adjuvant phase III study that has recruited 5,760 patients worldwide.

The trial was designed to determine if the addition of two years of palbociclib to adjuvant endocrine therapy improves invasive disease-free survival (iDFS) over endocrine therapy alone in patients with HR+/HER2- early-stage breast cancer. At the planned second interim analysis (at a median follow-up of 23.7 months), the futility boundary was crossed. The addition of 2 years of adjuvant palbociclib to adjuvant endocrine therapy did not improve iDFS compared with adjuvant endocrine therapy alone (Mayer et al. *Lancet Oncol* 2021). This result was con-

firmed at the final analysis of the PALLAS trial at a median follow-up of 31 months (Gnant et al. *J Clin Oncol* 2021). Results on the quality of life and symptom severity in PALLAS were presented at SABCs 2021. No clinically significant differences in either patient-reported quality of life or symptom severity were found, resuming that the addition of palbociclib in the adjuvant breast cancer setting did not contribute to increased symptom burden within this survivorship population (Naughton et al. *Cancer Res* 2022). Long-term follow-up and additional clinical and translational analyses to explore the effect of palbociclib are ongoing.

We would like to thank all participating sites for their tremendous efforts taken on this important trial. The follow-up of patients will continue for at least 10 years from trial entry and we encourage all participating sites to provide follow-up data for their patients.



OLYMPIA (GBG 82, NCT 02032823)

is a multicenter, double-blind, parallel group, placebo-controlled, randomized phase III trial that has recruited 1,836 patients.

The OLYMPIA study investigated for the first time the efficacy of olaparib compared with placebo in an adjuvant/post-neoadjuvant approach in patients with germline *BRCA1/2* mutations and high-risk HER2-negative early breast cancer. Analysis of the primary endpoint showed that adjuvant olaparib following completion of local treatment and neoadjuvant or adjuvant chemotherapy significantly improved invasive and distant disease-free survival compared to placebo. The adverse event profile of olaparib was similar to previous reports and limited effects on global patient-reported quality of life were reported (Tutt et al. *N Engl J Med* 2021). The full protocol-specified patient-reported outcome analyses were recently presented at the SABCs 2021 showing that increased treatment-emergent symptoms with olaparib were small and resolved after treatment. Quality of life scores were similar in olaparib and placebo

treated patients and slowly improved during the 24 months after (neo)adjuvant chemotherapy (Ganz et al. *Cancer Res* 2022).

It is planned to analyze and publish further time-to-event endpoints in 2028. Therefore, we would encourage all participating sites to provide follow-up data for their patients.



APHINITY (GBG 67, NCT 01358877)

is an adjuvant, prospective, two-arm, randomized, multicenter, international, double-blind, placebo-controlled phase III trial that has recruited 4,805 patients.

The study compared safety and efficacy of a combination therapy with two anti-HER2 agents (trastuzumab and pertuzumab) in addition to chemotherapy in the adjuvant setting, compared to chemotherapy and trastuzumab alone. Addition of pertuzumab significantly improved the rates of invasive disease-free survival (iDFS) when it was added to trastuzumab and chemotherapy. Diarrhea was more common with pertuzumab than with placebo (von Minckwitz et al. *N Engl J Med* 2017). The recently published pre-planned second interim OS and descriptive updated iDFS analysis with 74 months median follow-up confirmed an iDFS benefit from adding pertuzumab to standard adjuvant therapy for patients with node-positive HER2-positive early breast cancer while a modest OS benefit did not reach statistical significance (Piccart et al. *J Clin Oncol* 2021). Data on the cardiac safety of the dual anti-HER2 blockade with pertuzumab plus trastuzumab within APHINITY were reported at ASCO 2021. While the dual blockade was not associated with an increased risk of cardiac events compared to placebo and trastuzumab alone, the use of anthracycline-based chemotherapy increased the risk of cardiac events. Therefore, non-anthracycline chemotherapy may be considered particularly in patients with other cardiovascular risk factors (de Azambuja et al. *J Clin Oncol* 2021). New results of a large translational project using Blueprint RNA sequencing, an 80-gene molecular subtyping test

that classifies breast tumors as Basal-, Luminal- or HER2-subtype, have recently been reported at SABCs 2021. Blueprint subtype was evaluated as a biomarker for predicting response to trastuzumab-containing neoadjuvant chemotherapy with or without pertuzumab in a large nationwide cohort of patients and confirmed previous results that the benefit of adding pertuzumab to (neo)adjuvant trastuzumab-based chemotherapy seems most pronounced in patients with a molecularly defined single-activated HER2-subtype. In other subtypes, pathological complete response rates and long-term outcomes are worse overall and no clear benefit of pertuzumab was seen, although tests for interaction between pertuzumab treatment and Blueprint subtype were not significant (Liefwaard MC et al. *Cancer Res* 2022).

APHINITY has a long follow-up period (until 10 years after the randomization of the last patient, which is around September 2023), and we would like to remind participating sites to provide regular follow-up data to avoid later delays in the study analysis.

Metastatic studies



AMICA (GBG 97, NCT03555877)

is a multicenter, prospective, open-label, phase II study that has recruited 56 patients in Germany.

AMICA primarily aimed to estimate the median progression-free survival (PFS) with 95% confidence interval (CI) of an anti-hormonal maintenance therapy with ribociclib after 1st line chemotherapy at the discretion of the investigator (e.g. taxanes, capecitabine, vinorelbine, anthracycline). Secondary objectives were to determine the median overall survival with 95% CI, to describe safety, treatment compliance and clinical benefit rate and to evaluate patient reported outcomes. Biomarkers predictive for response to treatment will be analyzed. The study was amended (after recruitment of 37 patients) from a randomized into a one-armed

trial due to slow accrual, thereby reducing the initially planned sample size of 150 to 95. AMICA has stopped recruitment at the end of 2021 due to poor accrual as recommended by the Independent Data Monitoring Committee (IDMC) with 56 of the planned 95 patients recruited.

We are thanking all participating centers for their commitment and efforts so far. For timely analysis of the primary endpoint, which is planned in 2022, we would like to encourage all participating sites to continue to support the AMICA study by providing the follow-up data and remaining biomaterial for their patients.



PATINA (GBG 94, AFT-38, NCT02947685) is a collaborative study conducted by Alliance Foundation Trials (AFT), LLC in partnership with the German Breast Group (GBG) and supported by AFT, LLC. This is an international, multicenter, randomized, open-label, phase III trial evaluating the efficacy and safety of palbociclib plus anti-HER2 therapy plus endocrine therapy versus anti-HER2 therapy plus endocrine therapy after induction treatment for HR-positive/HER2-positive metastatic breast cancer.

The primary objective of PATINA is to demonstrate that the combination of palbociclib with anti-HER2-based therapy plus endocrine therapy is superior to anti-HER2-based therapy plus endocrine therapy alone in prolonging progression-free survival. Key secondary objectives are measures of tumor control, overall survival, safety and quality of life.

Between July 2018 and May 2021, 34 patients were enrolled in Germany. Enrollment was completed in QII 2021 worldwide and the last patient last visit is expected for April 2026. The study is now in follow-up period.

For timely analysis of the primary endpoint, we would like to encourage all participating sites to provide regular follow-up data for their patients.



AURORA (GBG 85, NCT02102165)

is an exploratory, multinational, collaborative molecular screening program aiming to recruit and collect globally biomaterial from more than 1,000 metastatic breast cancer patients.

The main objectives of AURORA are to better understand the genetic aberrations in metastatic breast cancer and to discover the mechanisms of response or resistance to therapy, and to ultimately identify the right therapy for each individual patient. At the same time, patients with genetic aberrations that are being targeted by new drugs in development will be offered the possibility to participate in clinical trials, when approved and available in their countries.

Recruitment was set on hold in March 2021 with 1,160 patients included in the study. Follow-up is ongoing. Genomic and transcriptomic analyses performed on 318 patients with metastatic breast cancer who were enrolled by February 28, 2018 in the AURORA program were recently published. For these analyses, matched primary and metastatic samples (252 for targeted gene sequencing, 152 for RNA sequencing and 67 for single nucleotide polymorphism arrays) were used. Results showed that metastatic samples were enriched in ESR1, PTEN, CDH1, PIK3CA, and RB1 mutations; MDM4 and MYC amplifications; and ARID1A deletions. An increase in clonality was observed in driver genes such as ERBB2 and RB1. Intrinsic subtype switching occurred in 36% of cases. Luminal A/B to HER2-enriched switching was associated with TP53 and/or PIK3CA mutations. High tumor mutational burden was associated with shorter time to relapse in HR-positive/HER2-negative breast cancers. ESCAT tier I/II alterations were detected in 51% of patients and matched therapy was used in 7% (Aftimos et al. Cancer Discov 2021). Additional integrative analyses of matched samples collected within the AURORA program are ongoing.

We are thanking all participating centers for their commitment and efforts so far.

Surgical studies



TAXIS (GBG101, OPBC-03, SAKK 23/16, IBCSG 57-18, ABCSG-53)

is an international, multicenter, randomized phase III trial to evaluate the optimal treatment for breast cancer patients with confirmed nodal disease at first diagnosis in terms of surgery and radiotherapy that has recruited 32 patients.

The trial was designed to show that tailored axillary surgery (TAS) and axillary radiotherapy are non-inferior to axillary lymph node dissection (ALND) in terms of disease-free survival (DFS) of breast cancer patients with positive nodes at first presentation. Secondary objectives include assessment of quality of life, overall survival, breast cancer-specific survival, time to local recurrence, time to regional recurrence, time to distant recurrence, reported morbidity outcomes (lymphedema and decreased range of shoulder motion), adverse events, late radiotherapy-related adverse events, and surgical site infections.

TAXIS recruitment started in August 2019 in Germany. Recruitment was temporarily stopped at the end of 2020, and it is planned to re-open the trial for recruitment in Germany in QI 2022. Data on a pre-specified subproject to study the difference in surgical extent between TAS and ALND and to quantify the extent of tumor load reduction by TAS was recently published. This report included 296 patients from the early stage of patient accrual and was able to show that TAS selectively reduced the tumor load in the axilla and remained much less radical than ALND (Weber et al. Breast 2021).

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients



INSEMA (GBG 75, NCT 02466737)

is a prospective, multicenter, randomized, surgical trial that has recruited 5,542 patients in Germany and Austria.

The trial aims to compare the invasive disease-free survival after breast-conserving surgery between patients who received no axillary surgery versus patients who received sentinel lymph node biopsy (SLNB) (first randomization) and between node positive patients who received SLNB alone versus patients with completion of axillary lymph node dissection (cALND) (second randomization).

Follow-up for this surgical trial is ongoing and analysis of the primary endpoint invasive disease-free survival is planned for 2024. Data on patient-reported outcomes in INSEMA were presented at the SABCS 2021. Patient-reported outcomes were assessed at baseline (pre-surgery) and at 1, 3, 6, 12, and 18 months after final axillary surgery. Questionnaire completion response remained high throughout the trial with over 60% at all time points. There were significant differences for breast and arm symptom scores favoring the no SLNB group in all post-baseline assessments. Patients in the SLNB group had improved arm symptoms and functioning compared to those receiving cALND (Gerber et al. Cancer Res 2022).

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the INSEMA study by providing regular follow-up data or transferring participants to the patient self-reported outcome registry (PSRO, Patienten-Selbstauskunft, GBG 71).



Completed Studies

| | |
|--------------------|----|
| GBG 89: GeparNuevo | 84 |
| GBG 86: DESIREE | 86 |



GBG 89: GeparNuevo

A randomized phase II study to investigate the addition of PD-L1 antibody durvalumab to a taxane-anthracycline containing chemotherapy in triple-negative breast cancer

NCT02685059

GeparNuevo is a multicenter, prospective, randomized, double-blinded, placebo controlled phase II study that has recruited 174 patients from 28 sites in Germany.

Background

Chemotherapy remains standard of care for primary triple-negative breast cancer (TNBC). Immunotherapy seems very attractive for TNBC given the rather high pre-existing immunogenicity reflected by stromal tumor infiltrating lymphocytes (sTILs) that are predictive and prognostic in this breast cancer subtype (Adams et al. *Oncoimmunology* 2015, Adams et al. *JAMA Oncol* 2018, Denkert et al. *Lancet Oncol* 2018). Checkpoint inhibitors prolonged survival in melanoma, lung cancer and in PD-L1-positive metastatic TNBC (Chae et al. *J Immunother Cancer* 2018, Schmid et al. *N Engl J Med* 2018). Recent data from the randomized, phase III KEYNOTE-522 trial showed that treatment with neoadjuvant pembrolizumab plus chemotherapy versus placebo plus chemotherapy, followed by adjuvant pembrolizumab versus placebo significantly improved pathological complete response (pCR) rate and event-free survival with manageable toxicities in patients with early-stage TNBC (Schmid et al. *N Engl J Med* 2020,

Schmid et al. *Cancer Res* 2021, Abstract GS1-01). A phase I study showed an increased clinical response and suggested a correlation with PD-L1 expression (Emens et al. *JAMA Oncol* 2019). Furthermore, the PD-L1 inhibitor durvalumab has demonstrated efficacy in bladder and lung cancer (Powles et al. *JAMA Oncol* 2017, Antonia et al. *N Engl J Med* 2017). The GeparNuevo study aimed to investigate in a proof of concept study whether the addition of durvalumab increases the pCR rate and whether TILs or PD-L1 expression predict response to durvalumab.

Study design and objectives

GeparNuevo primarily aimed to compare the pCR (ypT0 ypN0) rates of neoadjuvant treatment of sequential, nab-paclitaxel followed by epirubicin and cyclophosphamide (EC) +/- the PD-L1 antibody durvalumab in patients with early TNBC.

Secondary objectives included assessment of pCR rates per arm separately for the stratified subpopulations and according to other pCR definitions, breast conservation rates, toxicity and compliance, time-to-event outcomes such as invasive disease-free survival (iDFS), and overall survival (OS) in both arms, and quality of life. Moreover, a broad translational program was included to examine and compare pre-specified molecular markers and gene expression signatures such as tumor infiltrating lymphocytes, PD-1, PD-L1, and Ki-67 on core biopsies before chemotherapy, after the window phase and on surgical tissue after the end of chemotherapy.

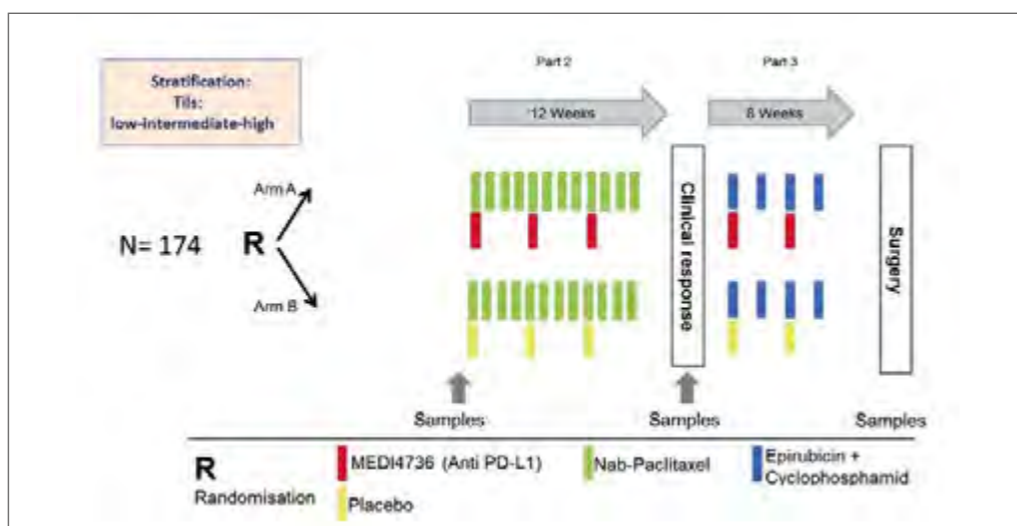


Figure 1: GeparNuevo study design

Study report

GeparNuevo randomized a total of 174 patients between June 2016 and September 2017 from 28 sites in Germany. A total of 117 patients participated in the monotherapeutic window phase which was terminated based on the IDMC (Independent Data Monitoring Committee) consideration indicating an average of 49 days from diagnosis to the start of the 1st chemotherapy as a delay to start of definitive treatment. All randomized patients completed treatment.

The addition of durvalumab to anthracycline/taxane based chemotherapy led to a moderate increase in pCR rate by absolute 9%. A durvalumab effect was seen only in the window cohort (pCR 61.0% vs 41.4%, OR=2.22 [95%CI 1.06-4.64], p=0.035; interaction p=0.048). More patients in the durvalumab arm experienced thyroid dysfunctions compared with the placebo arm. Other immune-related effects were rare and observed in both arms. One patient developed a hypophysitis on durvalumab. No patient died during the study [1]. Long-term results after a median follow-up of 43.7 months demonstrated that durvalumab added to neoadjuvant chemotherapy in TNBC significantly improved survival (3-year iDFS: 85.6% with durvalumab vs 77.2% with placebo, HR=0.48 [95%CI 0.24-0.97], stratified log-rank p=0.036) despite a modest pCR increase, suggesting an activation of anti-tumor immunity. No new serious safety findings were reported [2]. Within the translational biomarker program, mRNA signatures were evaluated to

predict response to neoadjuvant PD-L1 inhibition in combination with chemotherapy in early TNBC. A total of 162 patients with available formalin-fixed, paraffin-embedded tissue samples were included in the analysis. The immune-associated signatures identified were associated with better pCR after chemotherapy, but they might be of limited use for the prediction of response to additional immune checkpoint blockade [3].

Publications

- Loibl S, Untch M, Burchardi N, et al. A randomised phase II study investigating durvalumab in addition to an anthracycline taxane-based neoadjuvant therapy in early triple-negative breast cancer: clinical results and biomarker analysis of GeparNuevo study. *Ann Oncol*. 2019 Aug 1;30(8):1279-1288.
- Loibl S, Schneeweiss A, Huober J, et al. Durvalumab improves long-term outcome in TNBC: results from the phase II randomized GeparNUEVO study investigating neoadjuvant durvalumab in addition to an anthracycline/taxane based neoadjuvant chemotherapy in early triple-negative breast cancer (TNBC). *J Clin Oncol* 2021; 39:15_suppl.506.
- Sinn BV, Loibl S, Hanusch CA, et al. Immune-related Gene Expression Predicts Response to Neoadjuvant Chemotherapy but not Additional Benefit from PD-L1 Inhibition in Women with Early Triple-negative Breast Cancer. *Clin Cancer Res*. 2021;27:2584-2591.

We are thanking all participating centers for their commitment and efforts.

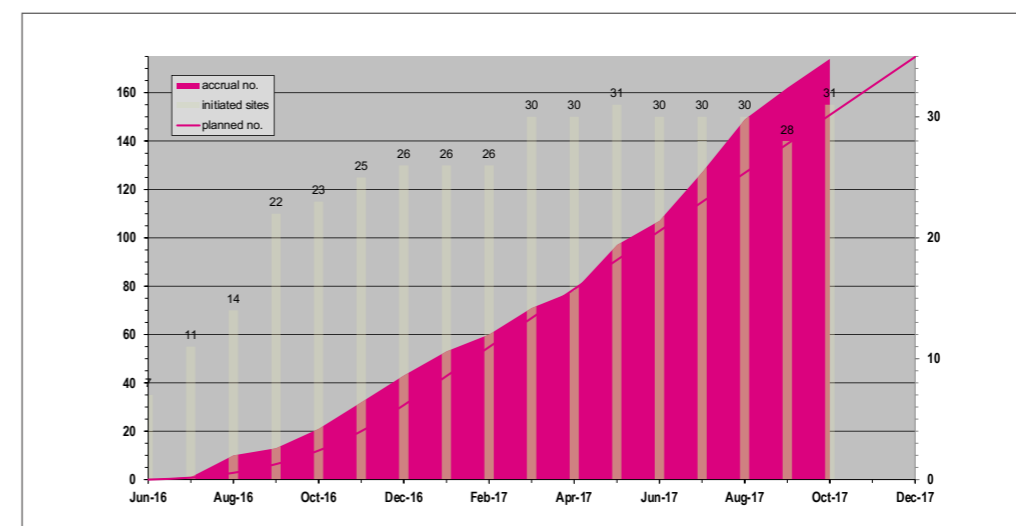


Figure 2: GeparNuevo final recruitment as of 1st October 2017

COLLABORATING
STUDY GROUPS:



SPONSOR:
GBG Forschungs GmbH

STUDY CHAIR:
Prof. Dr. Sibylle Loibl
German Breast Group,
Neu-Isenburg
Prof. Dr. Andreas Schneeweiss
Nationales Centrum für
Tumorerkrankungen
Universitäts-Klinikum,
Heidelberg
Prof. Dr. Michael Untch
Helios Klinikum Berlin-Buch,
Berlin

GBG 86: DESIREE

A multicenter, randomized, double-blind, phase II study to evaluate the tolerability of an induction dose escalation of everolimus in patients with metastatic breast cancer

NCT 02387099

DESIREE is a multicenter, double-blind, randomized phase II trial that has recruited 160 patients from 29 sites in Germany.

Background

The BOLERO-2 study demonstrated a clinical meaningful benefit for patients who received everolimus in addition to exemestane and who progressed during/after a non-steroidal aromatase inhibitor (NSAI) (Baselga N Engl J Med 2012) and led to approval of everolimus in this indication. However, experience from routine use as well as from the BOLERO-2 and other studies have shown a high rate of everolimus induced stomatitis especially during the first 12 weeks of treatment. Especially outside the clinical trial setting this is a leading cause for treatment discontinuation not related to tumor progression.

In the non-responder part (setting III) of the neoadjuvant GeparQuinto study, everolimus

was given as salvage treatment in combination with paclitaxel for patients without response to 4 cycles epirubicin/cyclophosphamide +/- bevacizumab. A dose-escalation schema was implemented successfully to improve the tolerability of everolimus when given in combination with cytotoxic agents (von Minckwitz Ann Oncol 2011; von Minckwitz Ann Oncol 2014).

The DESIREE study compared the cumulative rate of stomatitis episodes grade ≥ 2 (WHO's oral toxicity scale (OTS)) at 12 weeks after start of treatment using a conventional (EVE 10 mg) and a dose escalation schema (EVE esc) of everolimus in combination with exemestane in patients with HR+/HER2- metastatic breast cancer and progression or relapse after NSAI.

Study design and objectives

DESIREE primarily aimed to compare the rate of stomatitis episodes grade ≥ 2 at 12 weeks after treatment start using a conventional or a dose escalation schedule of everolimus in combination with exemestane. Secondary objectives included the cumulative rate of stomatitis episodes grade ≥ 2 at 24 weeks after treatment start, incidence of any grade stomatitis episodes at 12 and 24 weeks after treatment start, rate of patients on 10 mg of everolimus daily at 12 and 24 weeks,

clinical benefit rate (CBR) at 24 weeks, safety with regard to other organ signs and symptoms, time to onset of stomatitis grade ≥ 2 , relative total dose intensity (RTDI) for everolimus and quality of life (QoL) using the FACT-B questionnaire. Potential biomarkers predicting safety and compliance will be determined at a later time.

Study report

Between June 2015 and October 2020, 160 patients were randomized and 156 started therapy (EVE esc: 80 patients, EVE 10 mg: 76 patients) in the DESIREE study. The study met its primary endpoint: the dose escalation schema of everolimus over three weeks can be successfully implemented in postmenopausal patients with HR-positive/HER2-negative metastatic breast cancer to reduce the incidence of high-grade stomatitis in the first 12 weeks of treatment. Within 24 weeks of treatment, the incidence of stomatitis episodes grade ≥ 2 was numerically lower in the EVE esc arm, but there was not significant difference between the two arms anymore [1]. It is important to note that there was a numerical (not statistically significant)

difference of -7.8% in the CBR and 14.1% more patients with progressive diseases in the EVE esc arm at 24 weeks, favouring the standard everolimus administration schedule. Differences in the patient characteristics might have influenced this, however we cannot completely rule out if the EVE esc schedule has impaired the efficacy vs the standard administration without dose escalation. Toxicity reported in the DESIREE study was in line with the known safety profile of everolimus and exemestane, without new safety concerns. The use of a dose escalation regimen did not lead to significant differences in dose reductions, interruptions and discontinuations, resulting in a similar median RTDI between arms. QoL was comparable between the two treatment arms.

Publications

- Loibl S, Schmidt M, Lübke K, et al. A multicenter, randomized, double-blind, phase II study to evaluate the tolerability of an induction dose escalation of everolimus in patients with metastatic breast cancer (mBC) (DESIREE). Ann Oncol 2021; 32 (suppl_5): S1283-S1346.

We are thanking all participating centers for their commitment and efforts.

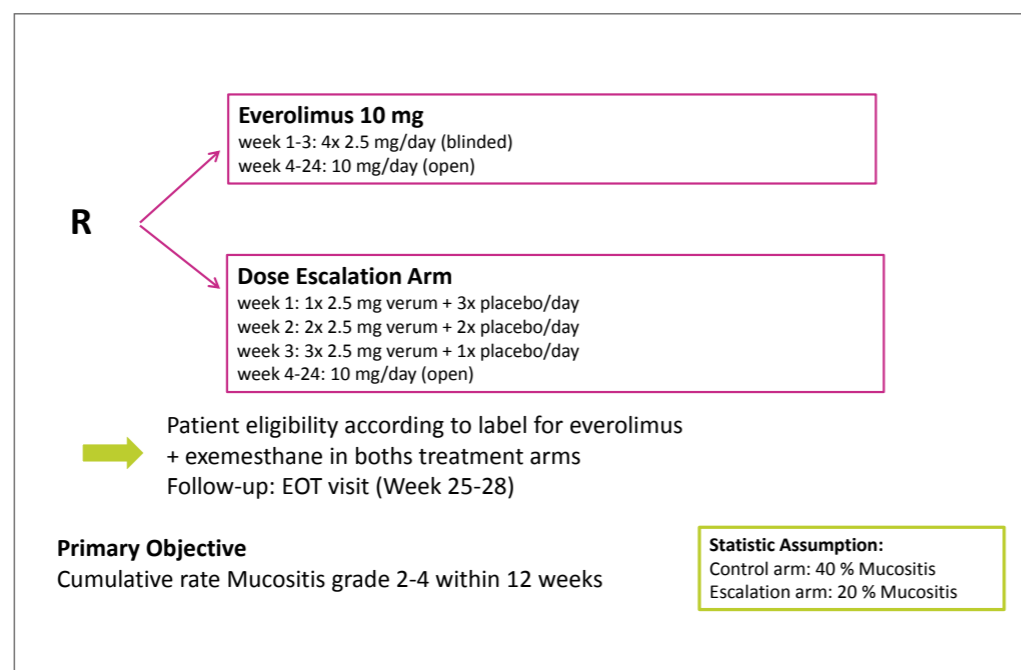


Figure 1: DESIREE study design

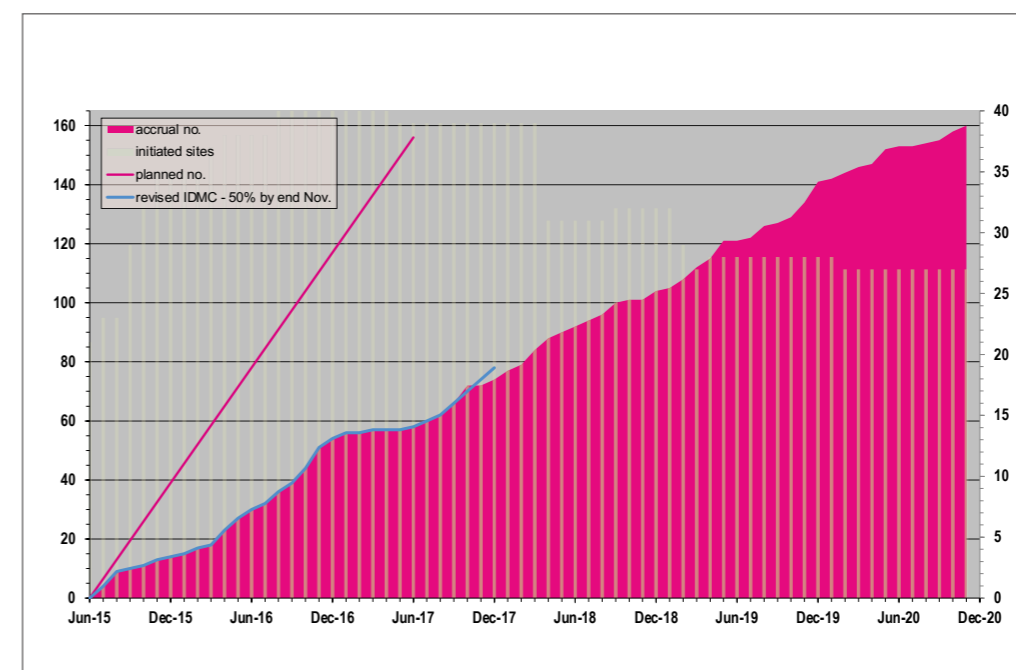


Figure 2: DESIREE final recruitment as of 27th October 2020

COLLABORATING
STUDY GROUPS:



SPONSOR:
GBG Forschungs GmbH

STUDY CHAIR:
Prof. Dr. Sibylle Loibl
German Breast Group,
Neu-Isenburg



Translational Research & Biobanking

| | |
|--------------------------------------|----|
| Central Pathology and GBG Tumor Bank | 90 |
| New Research Activities | 90 |
| Update on ongoing projects | 91 |

Translational Research & Biobanking

Central Pathology and GBG Tumor Bank

The Institute of Pathology at the University of Marburg has expanded its digital slide repository and image analysis infrastructure in 2021. Currently, over 70,000 whole slide images (WSIs) from tissues

stained with hematoxylin and eosin (H&E) and breast cancer biomarkers (e. g. ER, PgR, HER2, Ki67) are available from former central pathology assessments and current translational research projects. WSIs and digitalized tissue microarrays (TMAs) are used for quantitative tissue-based biomarker analysis, which is performed semi-automatically with different software solutions, using machine learning and cell segmentation approaches.

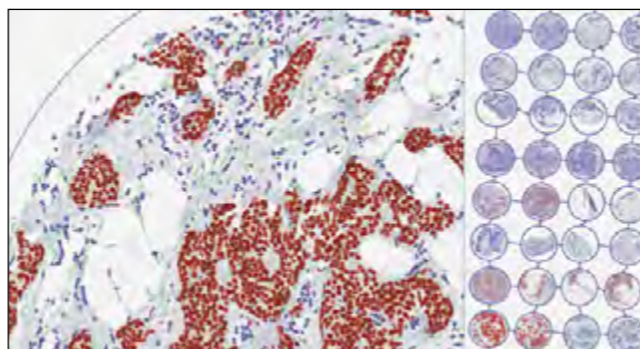


Figure 1: Biomarker analysis on TMA. Detection of different cell types and positive biomarker staining was performed semi-automatically.

New Research Activities

SATURN³

An interdisciplinary research network to address tumor heterogeneity – supported by BMBF grant

SATURN³ stands for "Spatial and Temporal Resolution of Intratumoral Heterogeneity in 3 hard-to-treat Cancers" (breast cancer, colorectal cancer and pancreatic cancer). The German Federal Ministry of Education and Research (BMBF) is funding SATURN³ for 5 years as part of the initiative "Nationale Dekade gegen Krebs".

The aim of the SATURN³ consortium is to address intratumor heterogeneity (ITH), which may be the cause for therapy resistance and the development of metastatic clones. For this purpose, it is first necessary to characterize ITH in patients using innovative tissue sampling schemes, then to functionally explore the underlying mechanisms driving therapy resistance and metastasis, and eventually to validate biomarkers and novel therapeutic strategies within clinical settings.

Nine subprojects (SP1 to SP9) will organize recruitment of patients and biomaterial sampling, multi-omics analyses, data modeling, data management, and clinical translation to validate emerging results.

Prof. Dr. Sibylle Loibl as the lead of SP7 (Clinical Translation) will be responsible for the implementation of the clinical translation and GBG will participate with their longstanding expertise on clinical study protocols, biomaterial collection and biomarker validation.

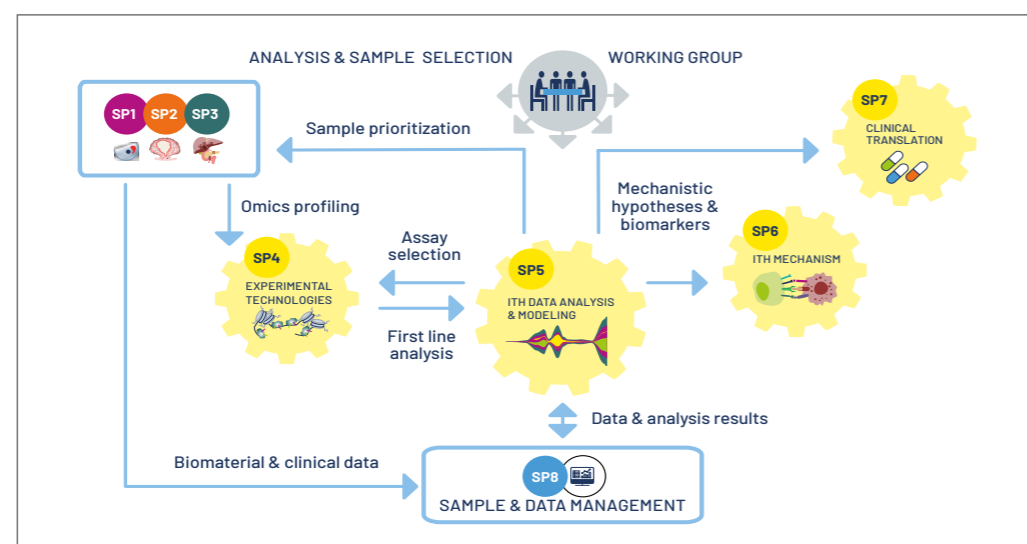


Figure 2: Saturn³ flowchart

Coordinator:

Jens Siveke, West German Cancer Center, University Hospital Essen

Co-Coordinator:

Andreas Trumpp, German Cancer Research Center, Heidelberg

Co-Coordinator:

Wilko Weichert, Technical University Munich

Subproject Leaders:

SP1 (Breast Cancer):

Andreas Schneeweiss, University Hospital Heidelberg

SP2 (Colorectal Cancer):

Florian Greten, Georg-Speyer-Haus, Frankfurt

SP3 (Pancreatic Cancer):

Christiane Bruns, University Hospital Cologne

SP4 (Experimental Technologies):

Karsten Rippe, German Cancer Research Center, Heidelberg

SP5 (Data Analysis & Modeling):

Oliver Stegle, German Cancer Research Center, Heidelberg

SP6 (ITH Mechanisms):

Barbara Grüner, University Hospital Essen

SP7 (Clinical Validation):

Sibylle Loibl, German Breast Group, Neu-Isenburg

SP8 (Sample & Data Management):

Melanie Börries, University Hospital Freiburg

SP9 (Project Management):

Jens Siveke, University Hospital Essen

Update on ongoing projects

ONCOBIOME, a project within EU framework "Horizon 2020"

Horizon 2020 (H2020) is the biggest EU research and innovation program with funding available over 7 years. The proposal "ONCOBIOME" from Prof. Laurence Zitvogel (Institute Gustave Roussy, Paris) has been positively evaluated and GBG is one of the 16 participating partners throughout the EU. The aim of the 5-year running project is to determine the relationship between intestinal microbial signatures and the prognosis and treatment resistance in four common cancer entities (breast, colon, lung and melanoma).

The GBG participates with sample collections (tumor tissue and stool sample) as well as expertise in clinical translational research. Starting with amendment 1, the stool sample collection was introduced in the study protocol of GeparDouze. Before start of therapy, stool samples are collected in a special conservation medium and stored frozen at -20°C. Next generation sequencing of the stool samples to identify cancer-relevant microbial species is conducted at the University of Trento, Italy (Prof. Nicola Segata).

An expression analysis of pre-therapeutic FFPE tumor samples by HTG EdgeSeq is performed at the Institute of Pathology at the University of Marburg (Prof. Denkert), as well as evaluation of stromal TILs (tumor infiltrating lymphocytes).

The rationale for harnessing the gut microbiome in support of cancer therapy and the progress of clinical trials testing this new therapeutic paradigm in cancer patients were highlighted in a recent publication (Daillère et al. Oncoimmunology 2020).

RAD51 predict, a project within the ERA PerMed consortium

This project aims to clinically validate a RAD51 predict test, designed by the experimental therapeutics research group of VHIO (Val d'Hebron, Barcelona), led by Dr. Violeta Serra. RAD51 is a biomarker indicating DNA repair functionality of the tumor. The test aims to identify patients with genetic alterations leading to homologous repair deficiency (HRD), who can benefit from therapies with PARP-inhibitors. GBG supports the project by providing the well-characterized clinical cohorts of GeparSixto and GeparOla, thus making a significant contribution to the clinical validation of the test. First results were recently published. Tissue microarrays (TMAs) of pre-therapeutic core biopsies from 133 triple-negative breast cancer (TNBC) patients from GeparSixto study were measured by immunofluorescence to evaluate the RAD51-, BRCA1 and γ H2AX-scores. In primary TNBC, the RAD51 test is highly concordant with tBRCA mutation and genomic HRD score. Furthermore, RAD51 independently predicts clinical benefit (pCR) from adding carboplatin to NACT in TNBC (Llop-Guevara et al. Ann Oncol 2021).

New proposals may also be submitted by groups that are currently not represented in any GBG subboard. <https://www.gbg.de/de/forschung/trafo.php>

FURTHER INFORMATION:

Dr. Bärbel Felder

Translational Research

Phone: +49 6102 7480-217

Fax: +49 6102 7480-440

trafo@GBG.de

GBG Study Finder 2022*

Early Breast Cancer

| Operative Studies (M0) | |
|--|---|
| Operable node-positive breast cancer: <ul style="list-style-type: none"> Most suspicious lymph node clipped AJCC/UICC stage II-III Eligible for primary axillary lymph node dissection or sentinel lymph node biopsy procedure | TAXIS** Tailored axillary surgery with or without axillary lymph node dissection followed by radiotherapy. All patients will receive breast/chest wall and regional nodal irradiation. Patients without axillary lymph node dissection will receive additional irradiation of the axilla. |
| Operable HER2-positive or triple-negative breast cancer: <ul style="list-style-type: none"> cT1c-T3 prior to neoadjuvant systemic therapy (NAST) and cN0/iN0 Standard NAST with radiological complete response | EUBREAST-01 Omission of sentinel lymph node biopsy in patients with radiologic and pathologic complete response in the breast after NAST. All patients with confirmed breast pCR after lumpectomy will be selected for the single study arm leading to omission of any axillary treatment. |
| (Neo)adjuvant Studies (M0) | |
| Operable triple-negative breast cancer: <ul style="list-style-type: none"> Stage II-III Pathological tumor size ≥ 2 cm if pN0 | ALEXANDRA Arm A: Adjuvant chemotherapy with 12x paclitaxel weekly followed by EC/AC q2w + atezolizumab q2w followed by atezolizumab monotherapy q3w (total duration of atezolizumab will be one year) Arm B: Chemotherapy alone |
| Operable HR-positive / HER2-negative breast cancer: <ul style="list-style-type: none"> Age ≥ 70 years; Stage II-III Adjuvant chemotherapy required and feasible | APPALACHES Arm A: Palbociclib 2 years + standard adjuvant endocrine therapy ≥ 5 years Arm B: Adjuvant chemotherapy followed by standard adjuvant endocrine therapy ≥ 5 years |
| HER2-negative breast cancer, non-pCR after neoadjuvant chemotherapy (NACT) <ul style="list-style-type: none"> HR-negative (TNBC) or HR-positive with CPS-EG score ≥ 3 or 2 and ypN+ At least 16 weeks of taxane-based chemotherapy | SASCIA Arm A: Sacituzumab govitecan 8 cycles d1,8 q3w Arm B: Treatment of physician's choice (8 cycles capecitabine or platinum-based chemotherapy or observation) In patients with HR-positive breast cancer, endocrine-based therapy will be administered according to local guidelines. |
| HER2-positive breast cancer, non-pCR after NACT <ul style="list-style-type: none"> cT4, cN0-3 or cT1-3, cN2-3 at first diagnosis or cT1-3, cN0-1 at first diagnosis with ypN1-3 after NACT An interval of ≤ 12 weeks between the date of last surgery and the date of randomization At least 16 weeks chemotherapy, including at least 9 weeks of trastuzumab (\pm pertuzumab) and at least 9 weeks of taxane-based chemotherapy | TruDy/DESTINY-B05 Arm A: Trastuzumab deruxtecan 14 cycles d1 q3w Arm B: Trastuzumab emtansine (T-DM1) 14 cycles d1 q3w |
| HER2-positive, HR-positive breast cancer <ul style="list-style-type: none"> cT1c-T3 prior to neoadjuvant treatment Centrally confirmed <i>PIK3CA</i> mutation (tumor) BMI ≤ 30 | GeparPIPPa** Arm A: Endocrine therapy in combination with ready-to-use fixed-dose combination of pertuzumab and trastuzumab s.c. (PH-FDC SC) q3w and inavolisib (6 cycles) Arm B: Endocrine therapy and PH-FDC SC q3w (6 cycles) |

Metastatic Breast Cancer

| All subtypes | |
|--|---|
| Brain metastases of breast cancer | Brain Metastases in Breast Cancer (BMBC) Retrospective and prospective registry designed to collect tumor characteristics of the primary and metastatic tumor as well as treatment data and biomaterial from patients diagnosed with brain metastases of breast cancer. |
| HER2-negative Breast Cancer | |
| HER2-negative and HR-positive metastatic breast cancer : <ul style="list-style-type: none"> 1st systemic therapy for the treatment of metastatic breast cancer Patients with only asymptomatic oligometastases of the bone as the only site of metastatic disease are excluded | PADMA Endocrine therapy + palbociclib versus mono-chemotherapy +/- endocrine maintenance therapy Possible mono-chemotherapies (Physician's choice): <ul style="list-style-type: none"> Capecitabine p.o. Epirubicin i.v. Paclitaxel i.v. Vinorelbine i.v. |

Breast Cancer in Special Situations

| Pregnancy and Young Women | |
|---|---|
| <ul style="list-style-type: none"> Patients with breast cancer during pregnancy Non-pregnant women with breast cancer < 40 years M1 possible | Breast Cancer in Pregnancy (BCP) Prospective and retrospective registry study for the diagnosis and treatment of breast cancer in pregnancy compared to young non-pregnant women. |
| Prophylaxis | |
| <ul style="list-style-type: none"> Women with a confirmed or likely deleterious <i>BRCA1</i> germline mutation Age ≥ 25 years and ≤ 55 years No evidence of breast cancer No preventive breast surgery planned No previous history of breast or ovarian cancer | BRCA-P** Study to determine the preventive effect of denosumab on breast cancer in women carrying a <i>BRCA1</i> germline mutation: Denosumab 120 mg s.c. every 6 months vs placebo s.c. every 6 months |

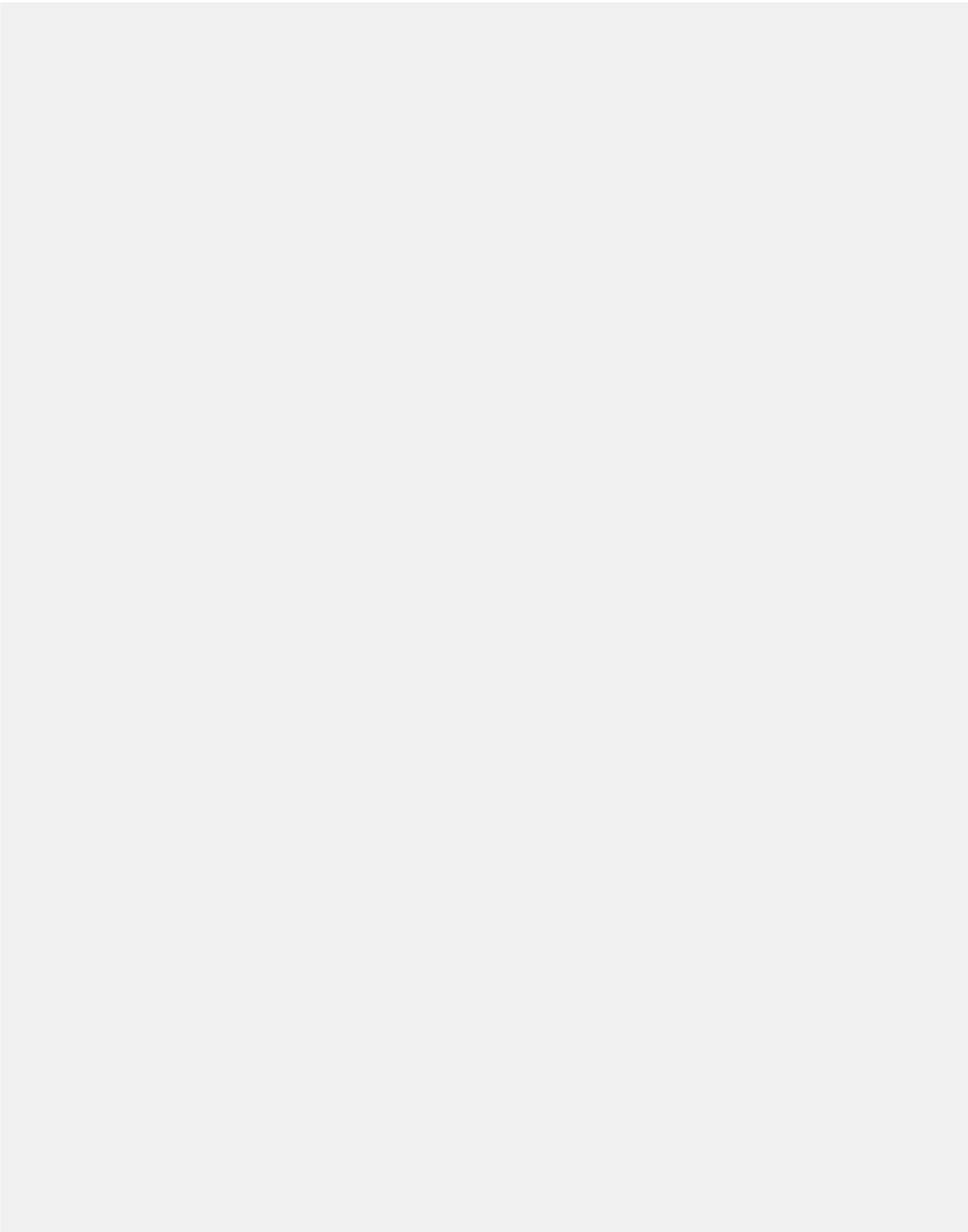
Follow-Up

| Long-term Safety and Efficacy | |
|---|---|
| <ul style="list-style-type: none"> Former GBG study participants in Germany | Patient self-reported outcome registry (PSRO) Collection of long-term safety and efficacy parameters of former GBG study participants from prospective clinical trials. Data reporting by the patient via questionnaire. |
| <ul style="list-style-type: none"> Former GBG study participants other countries | ETERNITY^B Registry for collection of long-term safety and efficacy parameters of former GBG study participants from prospective clinical trials. Data collection and documentation is performed by study site. |

* Further studies are currently in planning. Please refer to www.gbg.de

** Planned start of recruitment Q1-II/2022

A series of horizontal lines for writing notes, located in the central column of the page.





Impressum:

GBG Forschungs GmbH
Martin-Behaim-Strasse 12
63263 Neu-Isenburg
GERMANY
www.GBG.de

