



GERMAN
BREAST
GROUP

Heilung durch Innovation, Kompetenz und Partnerschaft

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Annual Scientific Report

2018



Heilung durch Innovation, Kompetenz und Partnerschaft

Annual
Scientific
Report

2018

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Introduction

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1. About the German Breast Group

The German Breast Group (GBG), a leading cooperative study group in the field of breast cancer in Germany, provides the comprehensive management of clinical trials in all major therapeutic categories: prevention, neoadjuvant, adjuvant, and palliative. The vision of the GBG is best described as healing by innovation, competence and partnership, from the protocol design and feasibility assessments to the final study report. Through project management in combination with the expert data management and statistical analyses, the GBG delivers consistent high-quality results in order to improve treatment therapies of cancer patients and their quality of life.

The main focus of the GBG is on the investigator initiated trials (IIT). These are clinical studies based on the work of doctors conducting research and are focused on the optimization of therapy and the overall improvement of its quality, unlike industrial studies which are typically affected by approval and marketing aspects.

The GBG currently manages over 40 clinical trials. All services provided by GBG are to the highest standard of the International Conference on Harmonisation of Good Clinical Practice (ICH-GCP1998) and if necessary regulatory requirements. We offer a comprehensive range of services, including:

- Idea and Conception of Study Design
- Clinical Project Management
- Clinical Monitoring
- Data Management
- Biometric and Statistics
- External Documentation
- Translational Research
- Biobanking
- Pathological Central Laboratory
- Continuous Medical Education
- Medical Writing
- Sponsorship
- Quality Control

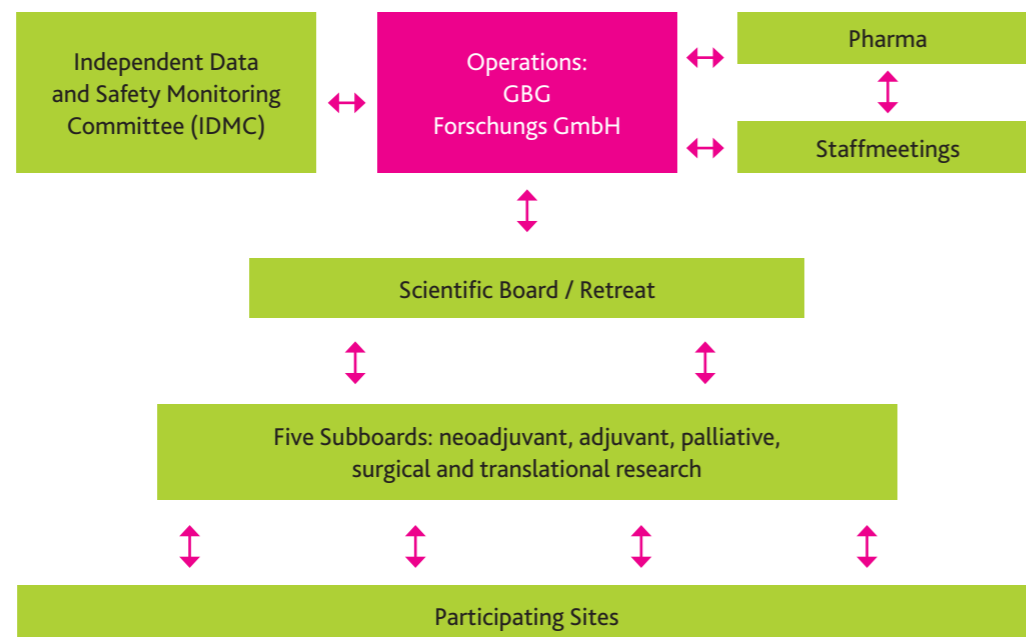


Figure 1: Structure of the German Breast Group

2. Infrastructure of the German Breast Group

Participating sites

Participating sites are actively recruiting sites. An official membership is not required, however any physician who takes part in our trials automatically becomes a member of the study group. Usually, most of our investigators work in gynecological institutions such as university clinics, general hospitals, specialist practices and general practices. For several years an increasing number of gynecologic and medical oncologists have been taking part in our trials, thus enriching the trial conception with their knowledge.

Recruitment of patients

Patients are recruited through the participating sites which provide detailed information on the GBG studies to the patient. This way, all existing uncertainties are clarified and an absolute transparency on the conduct of clinical trials can be ensured. Patients are treated according to the latest scientific findings and are carefully controlled and monitored. Thanks to the clinical trials, breast cancer therapies are nowadays carried out on the highest possible standard.

The annual patient recruitment is shown in figure 2.

Subboards

Five subboards were active during the last year in the fields of neoadjuvant, adjuvant, palliative, and surgical therapy as well as in the field of translational research. Members of the subboards are all well-known professionals, experienced in treating breast cancer patients and active in the field of breast cancer research and clinical studies. When a subboard decides to launch a new study, the GBG Forschungs GmbH plans, organizes and manages the study, in line with the GBG's belief

that a clinical study must be directly related to the potential improvement of the therapy and its benefits for the patient. Thus, a strict quality monitoring is essential and is ensured by following the GBG in-house standard operating procedures (SOP). The members of the subboards meet once a year face-to-face and 3 times via telephone conferences. Our subboards have been active discussing current studies, research results and further innovative study designs.

The members of our subboards in 2018 are shown below:

Neoadjuvant

- Prof. Dr. J. U. Blohmer, Berlin
- Prof. Dr. C. Denkert, Berlin
- Prof. Dr. P. Fasching, Erlangen
- Dr. C. Hanusch, München
- Prof. Dr. J. Huober, Ulm
- Prof. Dr. Ch. Jackisch, Offenbach
- Dr. T. Link, Dresden
- Prof. Dr. S. Loibl, Neu-Isenburg
- Prof. Dr. G. von Minckwitz, Neu-Isenburg
- PD Dr. K. Rhiem, Köln
- Prof. Dr. A. Schneeweiss, Heidelberg
- Prof. Dr. M. Untch, Berlin

Adjuvant

- Prof. Dr. W. Janni, Ulm
- Prof. Dr. S. Loibl, Neu-Isenburg
- Prof. Dr. F. Marme, Heidelberg
- Prof. Dr. V. Möbus, Frankfurt am Main
- Prof. Dr. T. Reimer, Rostock
- Dr. M. Reinisch, Essen
- Dr. S. Schmatloch, Kassel
- Prof. Dr. M. Schmidt, Mainz
- PD Dr. B. Sinn, Berlin
- Prof. Dr. E. Stickeler, Freiburg
- Prof. Dr. M. Untch, Berlin

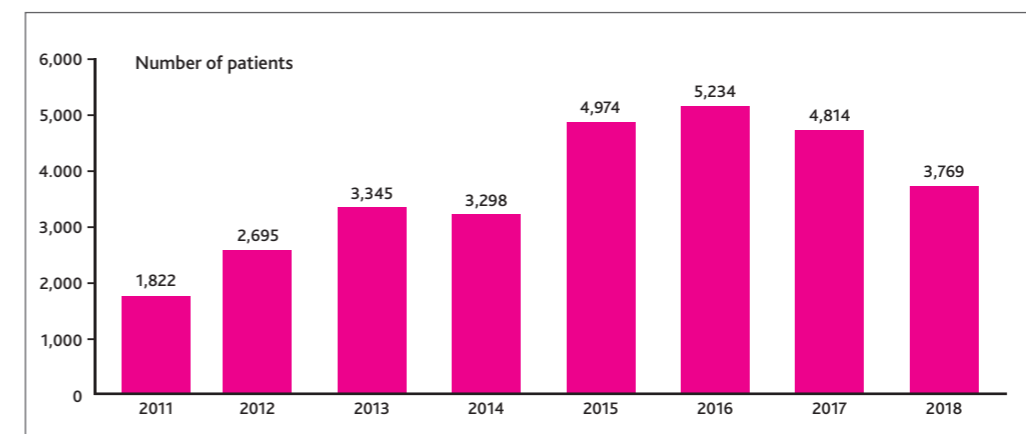


Figure 2: Annual recruitment of patients

Palliative

PD Dr. T. Decker, Ravensburg
 Prof. Dr. C. Denkert, Berlin
 Prof. Dr. S. Loibl, Neu-Isenburg
 Dr. K. Lübke, Hannover
 Prof. Dr. C. Mundhenke, Kiel
 Prof. Dr. V. Müller, Hamburg
 PD Dr. M. Schmidt, Mainz
 Dr. J. Seitz, Heidelberg
 Prof. Dr. M. Thill, Frankfurt am Main

Surgical

Dr. B. Ataseven, Essen
 Prof. Dr. C. Denkert, Berlin
 Prof. Dr. B. Gerber, Rostock
 PD Dr. M. Golatta, Heidelberg
 Prof. Dr. M. Hahn, Tübingen
 PD Dr. J. Heil, Heidelberg
 Dr. D. Krug, Heidelberg
 Prof. Dr. T. Kühn, Esslingen
 Prof. Dr. S. Loibl, Neu-Isenburg

Translational Research

Prof. Dr. C. Denkert, Berlin
 Prof. Dr. P. Fasching, Erlangen
 PD Dr. T. Karn, Frankfurt am Main
 Prof. Dr. S. Loibl, Neu-Isenburg
 PD Dr. M. van Mackelenbergh, Kiel
 Prof. Dr. F. Marme, Heidelberg
 Prof. Dr. V. Müller, Hamburg
 PD Dr. C. Schem, Hamburg
 Prof. Dr. E. Stickeler, Aachen

The Independent Data and Safety Monitoring Committee (IDMC)

As early as in 2006, the GBG established the Independent Data and Safety Monitoring Committee (IDMC) to ensure continual improvement of working processes in clinical trials, in-house observation, monitoring and consultation.

The IDMC reviews all GBG sponsored trials regarding:

1. Objectives, the scientific impact of the findings and adverse events (AE, SAE, non-breast cancer deaths) of ongoing trials,
2. All major modifications to the trial protocol (including accrual goals),
3. The interim and final efficacy analysis of trials, when the protocol-specified number of recruited patients or events has been reached.

Staff Meetings

Staff meetings are conducted on a regular basis, either at the GBG headquarters or via telephone conferences, to ensure sufficient information transfer between the responsible study project managers, study chairs and representatives of the supporting pharmaceutical companies.

3. Cooperations with other study groups

The GBG maintains outstanding cooperative relations with peer national and international study groups, including:

ABCSG:
 Austrian Breast & Colorectal Cancer Study Group



AFT:
 Alliance Foundation Trials



AGO:
 Arbeitsgemeinschaft Gynäkologische Onkologie



AGO-B:
 Breast Study Group



ANZBCTG:
 Australia and New Zealand Breast Cancer Trials Group



BIG:
 Breast International Group



BOOG:
 Borstkanker Onderzoeksgroep Nederland



CCTG:
 Canadian Cancer Trials Group



CECOG:
 Central European Cooperative Oncology Group



CIRG:
 Cancer International Research Group



CRUK:
 Cancer Research UK



CTI:
 Cancer Trials Ireland



CTRU:
 Clinical Trials Research Unit



DKG:
 Deutsche Krebsgesellschaft



Fondazione Michelangelo:
 Scientific organization based in Italy



GEICAM:
 Grupo Español de Investigación del Cáncer de Mama



IBCSG:
 International Breast Cancer Study Group



ICCG:
 International Collaborative Cancer Group



ICR CTSU:
 The Institute of Cancer Research



IKP Stuttgart:
 Dr. Margarete Fischer-Bosch-Institut für Klinische Pharmakologie



JBCRG:
 Japan Breast Cancer Research Group



NOGGO:
 Nord-Ostdeutsche Gesellschaft für Gynäkologische Onkologie



NSABP:
 National Surgical Adjuvant Breast and Bowel Project



PrECOG, LLC:
 Cancer Clinical Trials Research Company, US



SBG:
 Scandinavian Breast Cancer Group



SOLTI:
 Grupo Español de Estudio Tratamiento y otras Estrategias Experimentales en Tumores Solidos



UCBG:
 French breast cancer intergroup UNICACER



UNICANCER:
 UNICANCER Group, France



Universitätsklinikum Hamburg-Eppendorf



Universität Rostock



UZL:
 University Hospital of Leuven



WSG:
 Westdeutsche Studiengruppe



4. Publications in 2018

Timely publication of study results is a prerequisite for all clinical trials. GBG is responsible for an unbiased and independent release of all study results and the subsequent, related translational research projects.

Our research reports were published in leading scientific journals like the New England Journal of Medicine, The Lancet, Journal of Clinical Oncology, The Lancet Oncology, Journal of the National Cancer Institute, Annals of Oncology, European Journal of Cancer, Breast Cancer Research and Treatment and others.

Our studies are constantly presented as oral presentations, poster discussions or posters at international congresses such as ASCO, SABCS, ESMO and DGS.

Peer-review articles, reviews and congress contributions in 2018 are listed in 4.1., 4.2. and 4.3.

4.1. Peer-reviewed articles in 2018

- Schneeweiss A, Möbus V, Tesch H, Hanusch C, Denkert C, et al. Intense dose-dense epirubicin, paclitaxel, cyclophosphamide versus weekly paclitaxel, liposomal doxorubicin (plus carboplatin in triple-negative breast cancer) for neoadjuvant treatment of high-risk early breast cancer (GeparOcto-GBG 84): A randomized phase III trial. *Eur J Cancer*. 2019 (manuscript accepted 2018);106:181-192.
- Bischoff J, Barinoff J, Mundhenke C, Bauer-schlag DO, Costa S-D, et al. A randomized phase II study to determine the efficacy and tolerability of two doses of eribulin plus lapatinib in trastuzumab-pretreated patients with HER-2-positive metastatic breast cancer (E-VITA). *Anti-Cancer Drugs* 2018 (manuscript accepted 2018).
- von Minckwitz G, Huang CS, Mano MS, Loibl S, Mamounas EP, et al. Trastuzumab Emtansine for Residual Invasive HER2-Positive Breast Cancer. *N Engl J Med*. 2018 Dec 5. [Epub ahead of print]
- Matikas A, Foukakis T, Moebus V, Greil R,

Bengtsson NO, et al. Dose tailoring of adjuvant chemotherapy for breast cancer based on hematologic toxicities: Further results from the prospective PANTHER study with focus on obese patients. *Ann Oncol*. 2018 Oct 24 [Epub ahead of print]

- Lambertini M, Moore HCF, Leonard RCF, Loibl S, Munster P, et al. Gonadotropin-Releasing Hormone Agonists During Chemotherapy for Preservation of Ovarian Function and Fertility in Premenopausal Patients With Early Breast Cancer: A Systematic Review and Meta-Analysis of Individual Patient-Level Data. *J Clin Oncol*. 2018; 36:1981-1990.
- Loibl S, Weber KE, Timms KM, Elkin EP, Hahnen E, et al. Survival analysis of carboplatin added to an anthracycline/taxane-based neoadjuvant chemotherapy and HRD score as predictor of response - final results from GeparSixto. *Ann Oncol*. 2018;29:2341-2347.
- Liedtke C, Kolberg HC, Kerschke L, Görllich D, Bauerfeind I, et al. Systematic analysis of parameters predicting pathological axillary status (ypN0 vs. ypN+) in patients with breast cancer converting from cN+ to ycN0 through primary systemic therapy (PST). *Clin Exp Metastasis*. 2018;35:777-783.
- Stevic I, Müller V, Weber K, Fasching PA, Karn T, et al. Specific microRNA signatures in exosomes of triple-negative and HER2-positive breast cancer patients undergoing neoadjuvant therapy within the GeparSixto trial. *BMC Med*. 2018;16:179.
- Francis PA, Pagani O, Fleming GF, Walley BA, Colleoni M, et al; SOFT and TEXT Investigators and the International Breast Cancer Study Group. Tailoring Adjuvant Endocrine Therapy for Premenopausal Breast Cancer. *N Engl J Med*. 2018; 379:122-137.
- Lambertini M, Di Maio M, Pagani O, Curigliano G, Poggio F, et al. The BCY3/BCC 2017 survey on physicians' knowledge, attitudes and practice towards fertility and pregnancy-related issues in young breast cancer patients. *Breast*. 2018;42:41-49.

- Witzel I, Laakmann E, Weide R, Neunhöffer T, Park-Simon TJ, et al. Treatment and outcomes of patients in the Brain Metastases in Breast Cancer Network Registry. *Eur J Cancer*. 2018;102:1-9.
- Nekljudova V, Loibl S, von Minckwitz G, Schneeweiss A, Glück S, et al. Trial-level prediction of long-term outcome based on pathologic complete response (pCR) after neoadjuvant chemotherapy for early-stage breast cancer (EBC). *Contemp Clin Trials*. 2018 71:194-198.
- Wu L, Shi W, Long J, Guo X, Michailidou K, et al. A transcriptome-wide association study of 229,000 women identifies new candidate susceptibility genes for breast cancer. *Nat Genet*. 2018; 50:968-978.
- Fasching PA, Loibl S, Hu C, Hart SN, Shimelis H, et al. *BRCA1/2* Mutations and Bevacizumab in the Neoadjuvant Treatment of Breast Cancer: Response and Prognosis Results in Patients With Triple-Negative Breast Cancer From the GeparQuinto Study. *J Clin Oncol*. 2018; 36:2281-2287.
- von Waldenfels G, Loibl S, Furlanetto J, Machleidt A, Lederer B, et al. Outcome after neoadjuvant chemotherapy in elderly breast cancer patients - a pooled analysis of individual patient data from eight prospectively randomized controlled trials. *Oncotarget*. 2018;9(20):15168-15179.
- Loibl S, Weber K, Huober J, Krappmann K, Marmé F, et al. Risk assessment after neoadjuvant chemotherapy in luminal breast cancer using a clinico-molecular predictor. *Clin Cancer Res*. 2018; 24:3358-3365.
- Untch M, von Minckwitz G, Gerber B, Schem C, Rezai M, et al. Survival Analysis After Neoadjuvant Chemotherapy With Trastuzumab or Lapatinib in Patients With Human Epidermal Growth Factor Receptor 2-Positive Breast Cancer in the GeparQuinto (G5) Study (GBG 44). *J Clin Oncol*. 2018; 36:1308-1316.
- Engel C, Rhiem K, Hahnen E, Loibl S, Weber KE, et al. Prevalence of pathogenic *BRCA1/2* germline mutations among 802 women

with unilateral triple-negative breast cancer without family cancer history. *BMC Cancer*. 2018; 18:265.

- Loibl S, O'Shaughnessy J, Untch M, Sikov WM, Rugo HS, et al. Addition of the PARP inhibitor veliparib plus carboplatin or carboplatin alone to standard neoadjuvant chemotherapy in triple-negative breast cancer (BrightNess): a randomized, phase 3 trial. *Lancet Oncol*. 2018; 19:497-509.
- Ingle JN, Kalari KR, Wickerham DL, von Minckwitz G, Fasching PA, et al. Germline genome-wide association studies in women receiving neoadjuvant chemotherapy with or without bevacizumab. *Pharmacogenet Genomics*. 2018;28:147-152.
- Möbus V, Jackisch C, Lück HJ, du Bois A, Thomssen C, et al. Ten-year results of intense dose-dense chemotherapy show superior survival compared with a conventional schedule in high-risk primary breast cancer: final results of AGO phase III iddEPC trial. *Ann Oncol*. 2018;29:178-185.
- Bidard FC, Michiels S, Riethdorf S, Mueller V, Esserman LJ, et al. Circulating Tumor Cells in Breast Cancer Patients Treated by Neoadjuvant Chemotherapy: A Meta-analysis. *J Natl Cancer Inst*. 2018; 110:560-567.

4.2. Peer-reviewed reviews in 2018

- Denkert C, Loibl S, Budczies J, Wienert S, Klauschen F. [Standardized determination of tumor-infiltrating lymphocytes in breast cancer: A prognostic marker for histological diagnosis]. *Der Pathologe*. 2018; 39:520-531.
- Klauschen F, Müller KR, Binder A, Bockmayr M, Hägele M, et al. Scoring of tumor-infiltrating lymphocytes: From visual estimation to machine learning. *Semin Cancer Biol*. 2018;52(Pt 2):151-157.
- Tancredi R, Furlanetto J, Loibl S. Endocrine Therapy in Premenopausal Hormone Receptor Positive/Human Epidermal

Growth Receptor 2 Negative Metastatic Breast Cancer: Between Guidelines and Literature. *Oncologist*. 2018; 23:974-981.

- Duchnowska R, Loibl S, Jassem J. Tyrosine kinase inhibitors for brain metastases in HER2-positive breast cancer. *Cancer Treat Rev*. 2018;67:71-77.

4.3. Congress contributions in 2018

SABCS:

San Antonio Breast Cancer Symposium, 4-8 December 2018, San Antonio, Texas, USA

Geyer Jr. CE, Huang C-S, Mano MS, Loibl S, Mamounas EP, et al. Phase III study of trastuzumab emtansine (T-DM1) vs trastuzumab as adjuvant therapy in patients with HER2-positive early breast cancer with residual invasive disease after neoadjuvant chemotherapy and HER2-targeted therapy including trastuzumab: primary results from KATHERINE. *SABCS 2018*; GS1-10, oral presentation.

Loibl S, Sinn BV, Karn T, Untch M, Treue D, et al. mRNA signatures predict response to durvalumab therapy in triple negative breast cancer (TNBC)- Results of the translational biomarker programme of the neoadjuvant double-blind placebo controlled GeparNuevo trial. *SABCS 2018*; PD5-13, poster discussion.

Sinn BV, Loibl S, Karn T, Untch M, Kunze CA, et al. Pre-therapeutic PD-L1 expression and dynamics of Ki-67 and gene expression during neoadjuvant immune-checkpoint blockade and chemotherapy to predict response within the GeparNuevo trial. *SABCS 2018*; PD5-05, poster discussion.

Seiler S, Schmatloch S, Reinisch M, Neunhöffer T, Schmidt M, et al. Cancer management and outcome of very young non-pregnant patients with breast cancer diagnosed at 40 years or younger- GBG 29. *SABCS 2018*; P1-17-07, poster.

Huober J, Schneeweiss A, Blohmer J-U, Denkert C, Tesch H, et al. Factors predicting relapse in early breast cancer patients with a pathological complete response after neoadjuvant therapy - Results of a pooled analysis based on the GBG meta-database. *SABCS 2018*; P2-08-01, poster.

Villegas SL, Lederer B, Untch M, Holms F, Ulmer H-U, et al. Similarities between low hormone receptor positive and hormone receptor negative breast cancer: An analysis of 4366 patients from multicenter clinical trials. *SABCS 2018*; P2-08-10, poster.

Karn T, Denkert C, Sinn BV, Weber K, Nekljudova V, et al. Single-cell profiling identifies hypoxic carcinoma cells as source of an immunosuppressive VEGFA metagene. *SABCS 2018*; P3-10-01, poster.

Massa C, Schneeweiss A, Karn T, Hanusch CA, Blohmer J-U, et al. Immunomonitoring of triple negative breast cancer patients undergoing neoadjuvant therapy with durvalumab - Results from the prospectively randomized GeparNuevo trial. *SABCS 2018*; P4-06-01, poster.

Witzel ID, Riecke K, Laakmann E, Weide R, Neunhoeffer T, et al. Validation of different prognostic scores in breast cancer patients with brain metastases of the BMBC registry (GBG-79). *SABCS 2018*; P4-08-26, poster.

Finn RS, Turner NC, Liu Y, Rugo HS, Loibl S, et al. Biomarker analysis of CDK 4/6 and endocrine pathways in hormone-receptor positive (HR+) advanced breast cancer (ABC) bone only disease patients: A joint analysis of PALOMA-2 and PALOMA-3 studies. *SABCS 2018*; P6-18-03, poster.

Metzger O, Mandrekar S, Loibl S, Mundhenke C, Seiler S, et al. PATINA: A randomized, open label, phase III trial to evaluate the efficacy and safety of palbociclib + anti-HER2 therapy + endocrine therapy (ET) vs. anti-HER2 therapy + ET after induction treatment for hormone receptor positive (HR+)/HER2-positive metastatic breast cancer (MBC). *SABCS 2018*; OT3-02-07, poster.

Geyer, Jr. CE, Loibl S, Rastogi P, Seiler S, Costantino JP, et al. A randomized double-blind phase III clinical trial of neoadjuvant chemotherapy (NAC) with atezolizumab or placebo in patients (pts) with triple negative breast cancer (TNBC) followed by adjuvant atezolizumab or placebo: NSABP B-59/GBG 96-GeparDouze. *SABCS 2018*; OT3-05-01, poster.

Ignatiadis M, McArthur H, Bailey A, Martinez J-L, De Azambuja E, et al. ALEXANDRA/

IMpassion030: A phase III study of standard adjuvant chemotherapy with or without atezolizumab in early triple negative breast cancer. *SABCS 2018*; OT3-05-02, poster.

O'Leary B, Lira ME, Huang S, Deng S, Xie T, et al. Longitudinal ctDNA sequencing using an expanded genomic panel in the PALOMA3 trial of palbociclib plus fulvestrant. *SABCS 2018*; PD2-02, poster discussion.

Polley M-YC, Dickler MN, Johnston S, Goetz MP, de la Haba J, et al. A clinical calculator to predict disease outcomes in women with hormone receptor-positive advanced stage breast cancer treated with first-line endocrine therapy. *SABCS 2018*; P2-07-05, poster.

ESMO:

European Society for Medical Oncology, 19-23 October, 2018, Munich, Germany

Untch M, Jackisch C, Schneeweiss A, Schmatloch S, Aktas B et al. Impact of nab-paclitaxel dose reduction on survival of the randomized phase III GeparSepto trial comparing neoadjuvant chemotherapy of weekly nab-paclitaxel (nP) with solvent-based paclitaxel (P) followed by anthracycline/cyclophosphamide for patients with early breast cancer (BC). *Ann Oncol 2018*;29, suppl_8, mdy270.185, 188PD, poster discussion.

Reinisch M, Seiler S, Hauzenberger T, Schmatloch S, Strittmatter H-J, et al. Final analysis of the Male-GBG54 study: A prospective, randomized multi-centre phase II study evaluating endocrine treatment with either tamoxifen +/- gonadotropin releasing hormone analogue (GnRHa) or an aromatase inhibitor + GnRHa in male breast cancer patients. *Ann Oncol 2018*;29, suppl_8, mdy424.007, 273PD_PR, poster discussion.

Fasching PA, Laible M, Weber KE, Wirtz RM, Denkert C, et al. Validation of the MammaTyper® pathological complete response (pCR)-score as a predictor for response after neoadjuvant chemotherapy (NACT) in patients with early breast cancer (BC). *Ann Oncol 2018*;29, suppl_8, mdy269.166, 168P, poster.

Fasching PA, Laible M, Weber KE, Wirtz RM, Denkert C, et al. Evaluation of the MammaTyper® as a molecular predictor for pathological complete response (pCR) after neoadjuvant chemotherapy (NACT) and outcome in patients

with different breast cancer (BC) subtypes. *Ann Oncol 2018*;29, suppl_8, mdy270.222, 227P, poster.

Pohl E, Schneeweiss A, Hauke J, Moebus V, Furlanetto J et al. Germline mutation status and therapy response in patients with triple-negative breast cancer (TNBC): Results of the GeparOcto study. *Ann Oncol 2018*;29, suppl_8, mdy270.238, 243P, poster.

Loibl S, Metzger O, Mandrekar S J, Mundhenke C, Seiler S et al. PATINA: A Randomized, Open Label, Phase III Trial to Evaluate the Efficacy and Safety of Palbociclib + Anti-HER2 Therapy + Endocrine Therapy (ET) vs. Anti-HER2 Therapy + ET after Induction Treatment for Hormone Receptor Positive (HR+)/HER2-Positive Metastatic Breast Cancer (MBC). *Ann Oncol 2018*;29, suppl_8, mdy272.357, 369TIP, poster.

Decker T, Denkert C, Lübke K, Müller V, Mundhenke C, et al. Anti-hormonal maintenance treatment with or without the CDK4/6 inhibitor ribociclib after first line chemotherapy in hormone receptor positive/HER2 negative metastatic breast cancer: A phase II trial (AMICA) GBG 97. *Ann Oncol 2018*;29, suppl_8, mdy272.352, 364TIP, poster.

Cristofanilli M, Slamon DJ, Ro J, Bondarenko I, Im S-A, et al. Overall survival (OS) with palbociclib plus fulvestrant in women with hormone receptor-positive (HR+), human epidermal growth factor receptor 2-negative (HER2-) advanced breast cancer (ABC): Analyses from PALOMA-3. *Ann Oncol 2018*;29, suppl_8, mdy424.009, LBA2_PR, poster.

Lambertini PM, Maio MD, Poggio F, Pagani O, Curigliano G, et al. Physicians' knowledge, attitudes and practice towards fertility and pregnancy-related issues in *BRCA*-mutated breast cancer (BC) patients (pts): Results from the BCY3/BCC 2017 survey. *Ann Oncol 2018*;29, suppl_8, mdy300.009, 1692P, poster.

Hui R, Pearson A, Cortes Castan J, Campbell C, Poirot C, et al. Lucitanib for the treatment of HR+ HER2- metastatic breast cancer (MBC) patients (pts): Results from the multicohort phase II FINESSE trial. *Ann Oncol 2018*;29, suppl_8, mdy272.281, 289PD, poster discussion.

Day C, Middleton D, Loibl S. Application of CDK4/6 inhibitors in practice: Effect of on-line education on clinician competence and confidence. *Ann Oncol* 2018;29, suppl_8, 343P, poster.

ECI:

5th European Congress of Immunology, 2-5 September, 2018 Amsterdam, Netherlands

Massa C, Mueller A, Schneeweiss A, Hanusch C, Huober J, et al. Immunomonitoring of triple negative breast cancer patients undergoing neoadjuvant therapy (GBG89, Geparnuevo trial). *ECI* 2018;P.B1.03.10, poster.

DGS:

Deutsche Gesellschaft für Senologie, 29 June-1 July, 2018, Stuttgart, Germany

Decker T, Barinoff, J, Furlanetto J, Denkert C, Lübke K, et al. Anti-hormonal maintenance treatment with/without the CDK4/6 inhibitor ribociclib after 1st line chemotherapy in HR+/HER2- metastatic breast cancer: a phase II trial (AMICA) GBG 97. *DGS* 2018; P073, poster.

Loibl S, Jackisch C, Seiler S, Rastogi P, Blohmer J-U, et al. A Randomized, Double-Blind, Phase III Trial of Neoadjuvant Chemotherapy with Atezolizumab/Placebo in Patients with Triple-Negative Breast Cancer Followed by Adjuvant Continuation of Atezolizumab/Placebo (GeparDouze). *DGS* 2018; P071, poster.

Thill M, Seiler S, Decker T, Denkert C, Lübke K, et al. A randomized, open-label, phase IV study evaluating palbociclib plus endocrine treatment versus a chemotherapy-based treatment in patients with hormone receptor-positive, HER2-negative metastatic breast cancer (PADMA). *DGS* 2018; P072, poster.

Furlanetto J, Thode C, Huober J, Denkert C, Bassy M, et al. Changes in hormone levels (E2, FSH, AMH) and fertility of young women treated with neo-/adjuvant chemotherapy (CT) for early breast cancer (EBC). *DGS* 2018; P076, poster.

Kümmel S, von Minckwitz G, Vladimirova V, Nekljudova V, Wimberger P, et al. Investigating Denosumab as an add-on neoadjuvant treatment for RANK/L-positive or RANK/L-negative primary breast cancer and two different nab-Paclitaxel schedules - 2x2 factorial design (GeparX). *DGS* 2018; P029, poster.

Reimer T. Update INSEMA-Studie. *DGS* 2018; oral presentation.

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4.4. GBG-Publications Grading System

To set internal publication goals and to measure our own success, we established our GBG in-house grading system as follows:

- 7 GBG points for preparation or final publication in a high quality peer-reviewed journal with an impact factor greater than 5,
- 5 GBG points for publication preparation or final publication in a journal with an impact factor of less than 5,
- 3 GBG points for an oral presentation or poster discussion,
- and 2 GBG points for a poster presentation at an international congress.

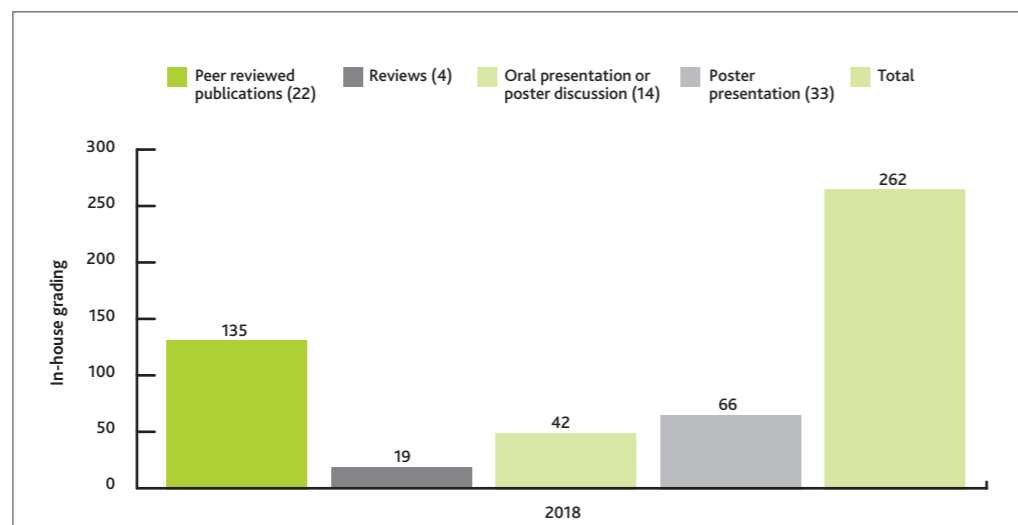


Figure 3: Overview of GBG's in-house grading for publications in 2018

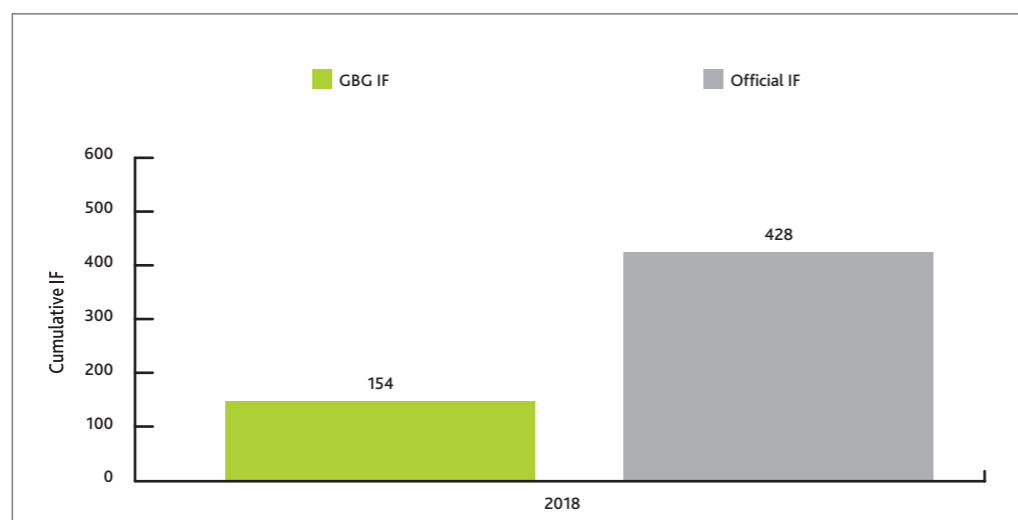


Figure 4: GBG and official Impact Factor (IF) in 2018

4.5. Guideline for Authorship

In order to guarantee a maximum of transparency when assigning the co-authorship we have established internal GBG guideline for authorship. The details are listed below:

General Rules

- Important positions: 1st author, senior author, corresponding author
- Shared authorship for 1st and 2nd author, if applicable
- Separate rules for:
 - Main publication on primary endpoint
 - Publications on secondary endpoints
 - Translational research publications
- No honorary authorships
- Author positions can be transferred to a junior person, if also involved in the study

What to do before submission

- Select journal
- Ask potential authors for their interest to become co-author
- Present proposed list of authors to subboard / protocol board
- Circulate manuscript amongst authors
- Collect COI

Publication on secondary endpoints / retrospective analyses

- 1st author: „project“ leader
- Subboard / protocol board members according to score for this sub-project*
- Best recruiters for this sub-project
- Biometrician
- PI or group chairman (if involved in sub-project)

Score for Authors (will be used to select and rank co-authors)

1 point for every fulfilled criteria:

- Regular participating in TCs and meetings of Subboard and/or Protocol board
- Protocol writing
- Recruitment among best 3rd of participating sites
- Statistical Analysis Plan development
- Manuscript preparation
- In time response to emails concerning the trial and the manuscript (within 4 weeks)
- In time response for COI (within 2 weeks) (negative point for subsequent publications)

Publication on primary endpoint

- 1st author: PI (or Co-PI group 1)
- Subboard / protocol board members according to Score*
- Best recruiters
- Biometrician,
- Senior author (Co-PI group 2, or group chairman)
- Addendum with study team, subboard / protocol board member, and all other recruiters with 3+ patients as „on behalf of the study groups“

Publications on translational research project

- Project leader (should prepare manuscript)
- Involved team member of this TRAFo project
- TRAFo board / protocol board members*
- Biomaterial provider
- 1-2 local pathologists providing most tumor tissue
- Biometrician
- PI (if involved in TRAFo project)

GeparNUEVO study (ASCO 2018)

4.6. Oral and poster presentations

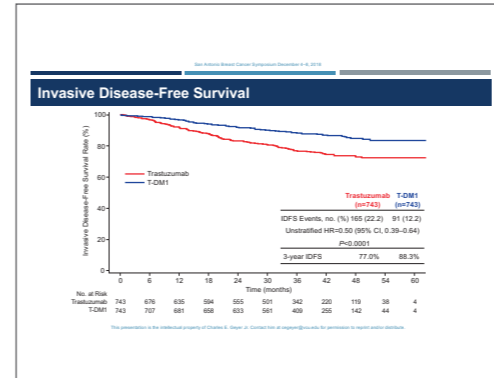
KATHERINE study (SABCS 2018)

Main Baseline Characteristics

	Durvalumab N=88 (N%)	Placebo N=86 (N%)	Overall N=174 (N%)
Age (yrs), median (range)	49.5 (25.0, 74.0)	49.5 (23.0, 76.0)	49.5 (23.0, 76.0)
ctDNA	3 (3.4)	3 (3.5)	10 (5.7)
ctN	27 (30.7)	27 (31.4)	54 (31.0)
Stage IIIA and higher	56 (63.6)	57 (66.3)	113 (64.9)
IS	74 (84.1)	71 (82.6)	145 (83.3)
TLs			
low (0-10%)	34 (38.6)	32 (37.2)	86 (37.9)
intermediate (11-59%)	42 (47.7)	41 (47.7)	83 (47.7)
high (>60%)	12 (13.6)	13 (15.1)	25 (14.4)
Durvalumab/placebo alone (window)	59 (67.0)	58 (67.4)	117 (67.2)

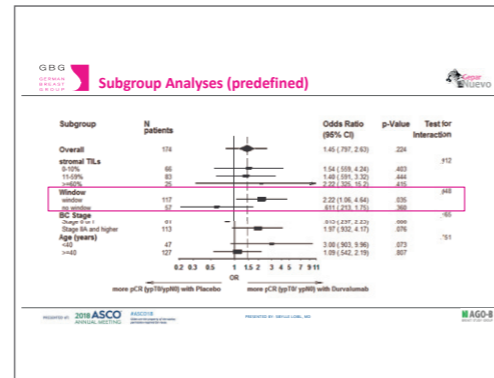
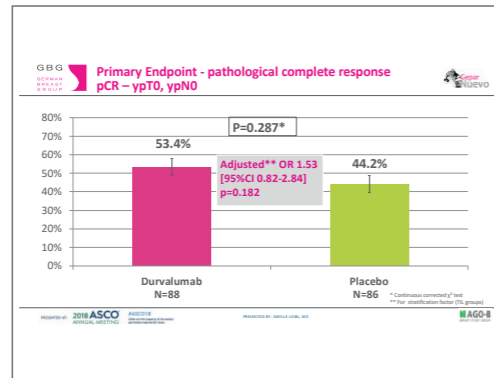
Immune Related Toxicities (any grade)

	Durvalumab N=82*	Placebo N=82*	Overall N=174
Hepatotoxicity	7 (7.6)	6 (7.3)	13 (7.5)
Dermatitis	13 (14.1)	12 (14.6)	25 (14.4)
Hypophysitis	1 (1.1)	0 (0.0)	1 (0.6)
Pneumonitis	1 (1.1)	1 (1.2)	2 (1.1)
Hypothyroidism	6 (6.5)	2 (2.4)	8 (4.6)
Hyperthyroidism	7 (7.6)	0 (0.0)	7 (4.0)
Neuropathy	5 (5.4)	7 (8.5)	12 (6.9)
Neuropathy, high grade	3 (3.3)	4 (4.9)	7 (4.0)



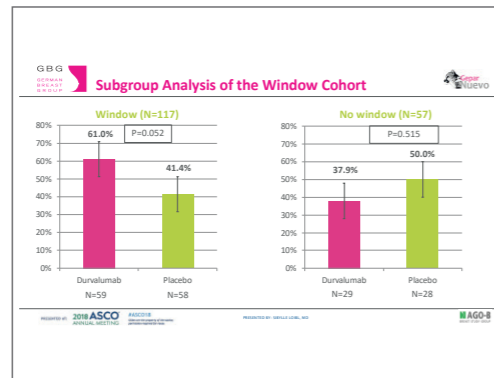
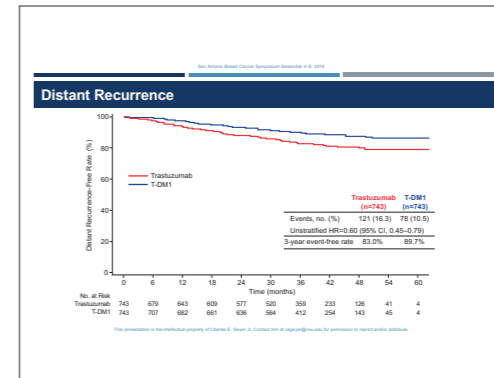
IDFS Subgroup Analysis (1)

Group	Total N	Trastuzumab (n=74)	T-DM1 (n=74)	Hazard Ratio	95% CI	T-DM1 Better
All	148	77.0	88.3	0.50	(0.38-0.66)	**
Primary tumor stage at definitive surgery						
ypT0	1111	68.8	85.3	0.47	(0.33-0.66)	**
ypT1	195	80.2	79.0	0.94	(0.37-2.40)	
ypT1-2	412	68.0	62.1	0.50	(0.33-0.76)	**
ypT2-4	1074	80.7	80.7	0.88	(0.78-1.00)	
Residual invasive disease at definitive surgery						
No	1106	79.9	87.7	0.48	(0.37-0.61)	**
Yes	200	61.0	69.0	0.54	(0.21-1.31)	**
No invasive disease after preoperative therapy	692	83.7	83.0	0.93	(0.28-3.11)	
No invasive disease after surgery	707	80.0	82.0	0.84	(0.28-2.65)	**



IDFS Subgroup Analysis (2)

Group	Total N	Trastuzumab (n=74) IDFS	T-DM1 (n=74) IDFS	Hazard Ratio	95% CI	T-DM1 Better
All	148	77.0	88.3	0.50	(0.38-0.66)	**
Primary tumor stage at definitive surgery						
ypT0	637	83.6	88.3	0.68	(0.44-1.05)	**
ypT1-2	509	74.3	81.5	0.50	(0.33-0.75)	**
ypT3-4	338	84.1	86.3	0.68	(0.38-1.24)	**
ypT4	23	50.0	70.0	0.28	(0.01-1.17)	**
Residual invasive disease at definitive surgery						
ypT0	679	83.9	89.9	0.48	(0.36-0.71)	**
ypT1	453	75.0	82.9	0.48	(0.32-0.73)	**
ypT2	189	68.2	61.1	0.43	(0.24-0.77)	**
ypT3-4	47	45.8	52.0	0.71	(0.35-1.42)	**
ypT4	118	58.7	66.1	0.67	(0.33-1.36)	**
Residual disease <1 cm with negative axillary lymph nodes						
ypT0	331	85.3	90.0	0.60	(0.33-1.12)	**
ypT1	25	83.9	100.0	<0.01	(0.00-NE)	**
ypT2	308	69.9	88.7	0.60	(0.43-0.86)	**
ypT3-4	112	79.7	86.0	0.43	(0.22-0.85)	**



Summary and Conclusion

- The addition of durvalumab increases the pCR rate numerically in primary TNBC patients (53% vs 44%; p=0.287; adjusted p=0.182).
- However, pCR rate was clinically significantly higher in the following preplanned subgroups of patients:
 - patients who started with durvalumab prior to chemotherapy (window cohort: 61.0% vs 41.4%)
 - patients with stage IIIa and higher TNBC (55.4% vs 38.6%)
 - patients <60 years (69.2% vs 42.9%)
- Addition of durvalumab was well tolerated.
- Durvalumab should be further investigated in patients with primary TNBC.
- Induction therapy with durvalumab seems beneficial.
- Further exploratory and translational research is ongoing.

Safety Overview

	Trastuzumab n=720	T-DM1 n=740
Number of patients with at least one, n (%)		
Grade >3 AEs	111 (15.4)	180 (25.7)
Serious AEs	58 (8.1)	94 (12.7)
AE leading to treatment discontinuation	15 (2.1)	133 (18.0)
AE with fatal outcome*	0	1 (0.1)

*Fatal AE was intracranial hemorrhage diagnosed after a fall with platelet count of 55,000.

KATHERINE Summary and Conclusions

- Adjuvant T-DM1 demonstrated both a statistically significant and clinically meaningful improvement in IDFS compared with trastuzumab
 - Unstratified HR=0.50; 95% CI 0.39-0.64; p<0.0001
 - 3-year IDFS rate improved from 77.0% to 88.3% (difference=11.3%)
- Benefit of T-DM1 was consistent across all key subgroups including HR status, extent of residual invasive disease, and single or dual HER2-targeted neoadjuvant therapy.
- The safety data were consistent with the known manageable toxicities of T-DM1, with expected increases in AEs associated with T-DM1 compared to trastuzumab.
- Additional follow-up will be necessary to evaluate the effect of T-DM1 on OS.
- The KATHERINE data will likely form the foundation of a new standard of care in this population and increase the use of neoadjuvant therapy in HER2-positive EBC.

Department of Gynecology, Universitätsklinikum Erlangen, Germany; BioNtech Diagnostics GmbH, Mainz, Germany; German Breast Group (GBG) Forschung/GBG, Neu-Ulm, Germany; STRATIFER Molecular Pathology GmbH, Cologne, Germany; Institute of Pathology, Charité Berlin Mitte, Berlin, Germany; Elisabethinen Krankenhaus, Kassel, Germany; Universitätsklinikum Jena, Germany; ...

Background

Pathological complete response (pCR) after neoadjuvant chemotherapy (NACT) in early breast cancer (BC) is strongly associated with superior long term disease-free survival (DFS). Even though the prognostic impact of pCR may vary for different BC subtypes the achievement of pCR remains an important factor in clinical decision making.

Patients and Methods

Total RNA was extracted from formalin-fixed paraffin-embedded (FFPE) tumor core needle biopsy samples of BC patients (pts) enrolled in the single arm phase II TECHNO trial and the randomized phase III PREPARE trial. MammaTyper®, a molecular in vitro diagnostic RT-qPCR test, was used to assess the mRNA expression of ERBB2 (HER2), ESR1 (estrogen receptor, ER), PGR (progesterone receptor, PR) and MKI67 (Ki-67) genes from which a predefined continuous score was calculated.

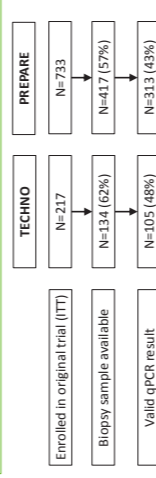


Figure 1. Availability of patients for analysis

Results

Table 1. Characteristics of samples in the analysis (N=418)

Table with 4 columns: Parameter, Category, N (%), N (%). Rows include Age, ER IHC, PR IHC, HER2 IHC/ISH, Tumor grading, T-stage, N-stage, Histological subtype, Therapy, and pCR (pT0/pY0).

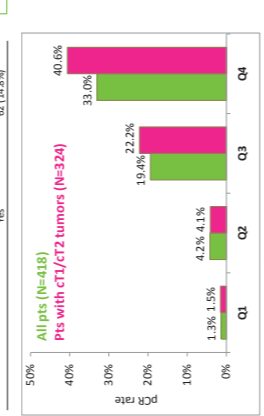


Figure 2. pCR rates per predefined quartile cut-offs from discovery cohort

Performance in all patients: From PREPARE and TECHNO valid qPCR results were available for 418 of 553 pts. Of those, 14.8% had a pCR. Analyzing pCR rates according to the pCR score, pts with a low score had a pCR in 3.1% and pts with a high pCR score had a pCR in 25.1% of the cases.

Performance in subgroups: Focusing on patients with CT1/CT2 tumors pCR rate in pts with a low score was 3.0% and 30.2% in patients with a high score. Corresponding AUC for the score was 0.805 [95%CI 0.747-0.864]. pCR rates according to the pCR score quartiles (quartile cut-offs as determined in the discovery study) for all patients and the CT1/CT2 subgroup are shown in Figure 2, and pCR scores according to molecular subgroups are shown in Figure 3.

Performance in combination with IHC and clinical parameters: The univariate odds ratio (OR) for binary classification of CT1-2 tumors into low and high according to the cut-off 42 was 13.84 [95% CI 5.34-35.86], p<0.0001. The binary classifier remained significant in (post-hoc) multivariate analysis including binary IHC results of ER, PR and HER2 (OR=7.55, [95% CI 2.51-22.66], p=0.0003) and also after further adding clinical variables to the model (age, tumor size, nodal status, grading, therapy) (OR=5.58, [95% CI 1.64-19.04], p=0.0060).

Prognosis: In the subgroup of pts with CT1/CT2 tumors and no pCR the mRNA based score (low vs. high) was significantly associated with DFS and overall survival (OS) with samples with low score values having better outcomes (Figure 4).

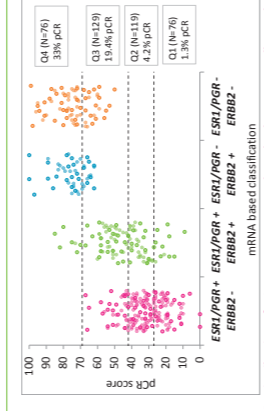


Figure 3. Distribution of pCR score per mRNA based subtype over all samples (N=418)

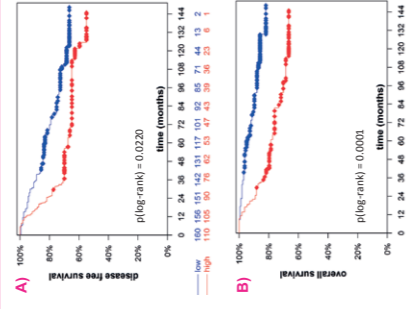


Figure 4. DFS (A) and OS (B) in CT1/CT2 patients with no pCR according to pCR score low/high

Conclusions

Herein we validated a pre-defined score and cut-off based on highly standardized mRNA measurements of ERBB2 (HER2), ESR1 (ER), PGR (PR) and MKI67 (Ki-67) by MammaTyper® for prediction of pathological complete response (pCR) after neoadjuvant chemotherapy (NACT) based on the pre-treatment biopsy. The score allows detection of patients with a low probability of pCR for whom a different treatment than NACT or additional postneoadjuvant treatment may be considered.

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Final analysis of the Male-GBG54 study: A prospective, randomised, multi-centre, phase II study evaluating endocrine treatment with either tamoxifen +/- gonadotropin releasing hormone analogue (GnRH) or an aromatase inhibitor + GnRH in male breast cancer patients

Mattia Reinisch¹, Sabine Sailer^{2,3}, Tanja Hauszberger⁴, Sabine Schmalloch⁵, Hans-Joachim Strittmatter⁶, Dirk-Christian Zahn⁷, Christian Thode⁸, Christian Jackisch⁹, Volker Moebius¹⁰, Toralf Reimer¹¹, Bruno Sinn¹², Frederik Marmé¹³, Wolfgang Janni¹⁴, Axel Kantschke¹⁵, Michael Rudowitski¹⁶, Valentina Nekljudova¹⁷, Gunter von Minckwitz¹⁸, Sibylle Lobitz¹⁹

Background

Over 90% of male patients (pts) with breast cancer (BC) have a hormone receptor (HR) positive BC. Although tamoxifen is recommended as standard of care, there is a lack of data regarding efficacy and safety of the standard endocrine therapy and of alternatives. Due to low incidence of male BC, therapy strategies are extrapolated from principles established for the treatment of female BC and no prospectively randomized study in male BC pts has been conducted so far.

Materials and Methods

In the phase II Male trial (NCT01638247), pts were randomized to receive either tamoxifen 20 mg/day per os (p.o.) or tamoxifen 20mg/day p.o. + GnRH analogue (a) subcutaneous (s.c.) every 3 months (q3m) or exemestane (AI) 25 mg/day p.o. + GnRH a.s.c. q3m for 6 months as (neoadjuvant or metastatic therapy (figure 6)). Male BC pts with a Karnofsky index >=80%, normal lipids and no history or evidence of prostate cancer were eligible. The primary objective was the 17-β-estradiol (E2) suppression in the 3 treatment arms after 3 months therapy. Secondary objectives were to determine the E2 suppression after 6 months, the level of different steroid hormones (testosterone, dihydrotestosterone (DHT), sexual hormone binding globulin (SHBG), FSH, LH, free Androgen index (FAI)). Changes in sexual function (International Index of Erectile Function- IIEF-5) and health related quality of life in aging men (aging male symptom score- AMS[®]) were measured by validated questionnaires. Reference values of the centrally tested hormonal parameters were presented elsewhere. Tissue and blood was collected for translational research.

The sample size of 48 evaluable pts was calculated for the Kruskal-Wallis test to have 80% power to detect at the 5% significance level a difference in median E2 decrease between 3 therapy groups based on the following assumptions: the mean E2 level at baseline (BL) of 25 ng/L with standard deviation of 8 ng/L, no change with tamoxifen alone after 3 months, 50% decrease with tamoxifen + GnRH and 80% decrease with AI + GnRH. Pts were analysed for hormone changes according to the intent-to-treat principle (as randomized).

Results

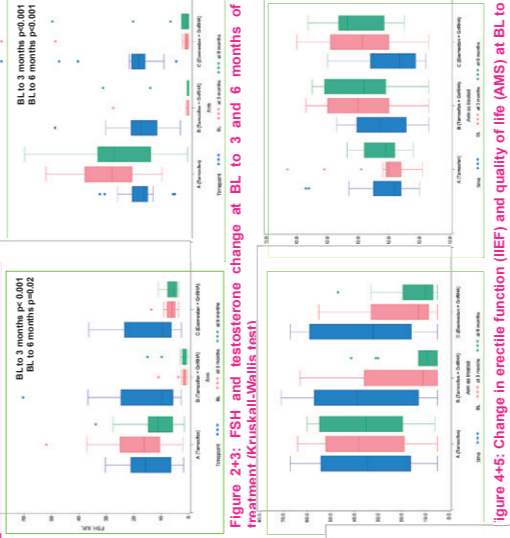


Figure 2-3: FSH and testosterone change at BL to 3 and 6 months of treatment (Kruskal-Wallis test)

Table 1: Main Baseline Characteristics. Columns: Parameter, Category, n (%), n (%), n (%), n (%). Rows include Age, Prior CTX, Tumor size, Nodal status, M, HER2 status, Missing.

Table 1: Main Baseline Characteristics

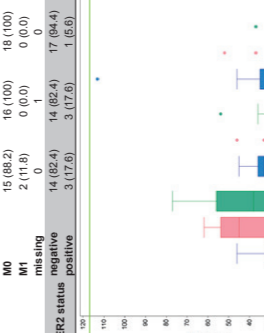


Figure 4-5: Change in erectile function (IIEF) and quality of life (AMS) at BL to 3 and 6 months of treatment



Figure 6: Study design of the Male Study

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Drug supply (Exemestane) was provided by Pfizer, Germany. The study was sponsored and supported by GBG and the Claudia von Schilling Foundation.

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New Study Concepts

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Interview with Prof. Dr. Marcus Schmidt, Coordinating Investigator of the ALEXANDRA/IMpassion030 trial in Germany

A phase III, multicenter, randomized, open-label study comparing atezolizumab (anti-pd-l1 antibody) in combination with adjuvant anthracycline/taxane-based chemotherapy versus chemotherapy alone in patients with operable triple negative breast cancer



Prof. Dr. Marcus Schmidt
Universitätsfrauenklinik Mainz

ALEXANDRA/IMpassion030 (BIG 16-05/AFT-27/WO39391) is an international, multicenter, randomized, open-label, controlled phase III trial to evaluate the efficacy, safety, and pharmacokinetics of adjuvant treatment with atezolizumab plus paclitaxel followed by dose-dense doxorubicin or epirubicin (investigator's choice) and cyclophosphamide (atezolizumab + T-AC/EC) compared with paclitaxel followed by dose-dense doxorubicin or epirubicin (investigator's choice) and cyclophosphamide alone (T-AC/EC) in patients with stage II-III triple-negative breast cancer (TNBC).

Primary objective: to evaluate the invasive disease-free survival (iDFS) of adjuvant atezolizumab + T-AC/EC compared with T-AC/EC alone in patients with TNBC.

1. What is the rationale for targeting TNBC with immunotherapeutic agents?

It is well accepted that the immune system plays an important role in breast cancer growth and progression, but also in tumor elimination. Tumor associated antigens (TAAs) can be recognized by the immune system and can evoke an immune response leading, under certain conditions, to tumor rejection. TNBC is known to be more immunogenic compared to other breast cancer

subtypes with tumor-infiltrating lymphocytes (TILs) playing an important prognostic and predictive role. Furthermore, TNBC has higher levels of a programmed cell death-ligand 1 (PD-L1) expression. Therapeutic blockade of the PD-L1 expression using immune checkpoint inhibitors (ICPi) like atezolizumab is expected to activate and to enhance tumor-specific T-cell responses, resulting in an improved antitumor activity. Thus, the ICPi like atezolizumab might tilt the balance in favor of the immune system especially in TNBC.

2. What are the advantages of combining atezolizumab with chemotherapy in patients with TNBC?

Used as a single agent, ICPi have only modest activity in TNBC. A reason for this might be that the mutational load in breast cancer is considerably lower compared with other malignant tumors like melanoma or non-small cell lung cancer (NSCLC). Chemotherapy has the potential to increase the mutational load and thereby generating more neoantigens in TNBC. Thus, combining ICPi like atezolizumab with chemotherapy is a promising approach. A recently published double-blind, randomized phase III study IMpassion 130 confirmed the clinical efficacy of atezolizumab in combination with nab-paclitaxel in previously untreated metastatic or unresectable locally advanced TNBC patients. The results of the study showed that the atezolizumab therapy combined with nab-paclitaxel significantly prolonged progression-free survival. Moreover, atezolizumab significantly prolonged overall survival in patients with PD-L1-positive tumors.

3. Are there any clinical data concerning safety profile of atezolizumab in TNBC?

In the pivotal phase III study (Impassion 130), adverse events (AE) occurred more often in the atezolizumab-nab-paclitaxel group. Grade 3 or 4 AE including serious adverse events (SAE) were also increased in patients treated with atezolizumab. Moreover, grade 3 or 4 AEs of special interest with a potential immune-related cause

were also increased in patients receiving atezolizumab (7.5 %w vs. 4.4 %w). Discontinuation of atezolizumab due to AEs was also increased (6.4 % vs. 1.4 %). However, these toxicities were manageable and consistent with the known safety profile of atezolizumab.

4. What are the future perspectives for treatment of TNBC?

As outlined above, the combination of atezolizumab with taxane-containing chemotherapy showed a remarkable efficacy in advanced TNBC. As a next logical step, atezolizumab combined with chemotherapy is investigated in early TNBC (Impassion 030). An exciting further study concept might be the combination of atezolizumab with poly-ADP-ribose-polymerase (PARP) inhibitors in TNBC harbouring a BRCA mutation since DNA damage should lead to increased immunogenicity.

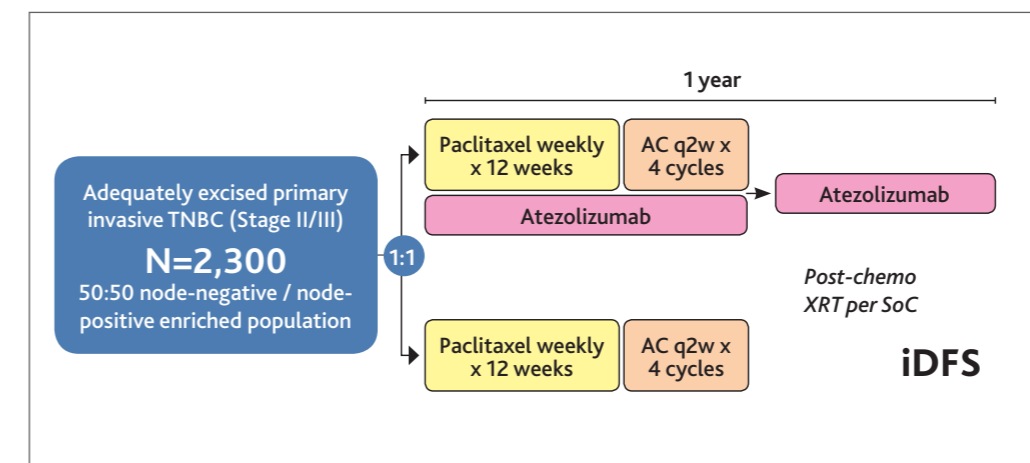


Figure 1: Study design of the ALEXANDRA/IMpassion030 study



Interview with Prof. Dr. Sibylle Loibl, International Principal Investigator of the GeparTreize trial

A phase III, randomized, open-label study investigating the addition of durvalumab to an anthracycline-taxane based chemotherapy in early-stage triple-negative breast cancer



Prof. Dr. Sibylle Loibl
Chair of the
German Breast Group,
GBG Forschungs GmbH,
Neu-Isenburg

GeparTreize is an international, multicenter, prospective, randomized, open-label, parallel-group phase III trial to evaluate the potential incremental efficacy and safety of neoadjuvant and adjuvant administration of durvalumab in early-stage triple-negative breast cancer (TNBC). Patients will be randomized to receive either a sequential regimen of weekly paclitaxel (with or without carboplatin weekly or once every three weeks, at the discretion of the investigator) followed by epirubicin/cyclophosphamide (EC) or doxorubicin/cyclophosphamide (AC) administered once every two or three weeks with durvalumab (IMP arm) or alone (non-IMP arm) in the neoadjuvant setting. Patients will then undergo surgery 3-6 weeks after the last chemotherapy application. Following recovery from surgery, patients will continue for another 12 months adjuvant therapy with durvalumab vs. no treatment.

Co primary objectives/endpoints:

- To compare pathological complete response (pCR) in the breast and axillary lymph nodes (pCR=ypT0/ypN0) rates between TNBC patients treated with durvalumab concurrently given to chemotherapy (weekly paclitaxel +/- carboplatin followed by EC or AC) vs. chemotherapy alone.
- To compare event-free survival rates between TNBC patients treated with durvalumab

concurrently given to chemotherapy (weekly paclitaxel +/- carboplatin followed by EC or AC) followed by adjuvant treatment with durvalumab vs. no study treatment (observation).

1. Could you consider the new immunotherapeutic approaches including checkpoint inhibitors and neoadjuvant immunotherapy as encouraging for the future treatment of triple-negative breast cancer (TNBC)?

To date no targeted agents are available to treat early-stage TNBC and chemotherapy is currently the only available systemic treatment option. TNBC often has a high amount of tumor infiltrating lymphocytes (TILs) correlating with improved outcome as well as an increased mutation frequency compared to other breast cancer subtypes. A combination of immune checkpoint inhibitors with chemotherapy for the treatment of TNBC holds great promise as shown in GeparNuevo. In this phase II trial conducted with early-stage TNBC patients, the addition of durvalumab, a fully human monoclonal antibody targeting programmed cell death ligand-1 (PD-L1), to anthracycline/taxane based chemotherapy increased the pCR rate by absolute 9 %, although this was not statistically significant.

Additionally, results from the phase II I-SPY2 trial suggested an increase in pCR by adding pembrolizumab from 20 % with paclitaxel-EC alone to 60 % with pembrolizumab plus standard therapy. The phase Ib KEYNOTE-173 study demonstrated a pCR rate with the addition of pembrolizumab of 50 % in the non-carboplatin cohort and 58 % in the carboplatin cohort. As no final conclusion can be drawn from these results, the combination of immunotherapy with chemotherapy should be further investigated in TNBC.

2. Could you further discuss the use of PD-1/PD-L1 inhibitors prior to start of chemotherapy for early-stage TNBC?

In the GeparNuevo study there was a cohort of patients who received durvalumab as a single agent 2 weeks prior to start of chemotherapy (window).

Interestingly, in this cohort a statistically significant higher pCR rate was observed in comparison to patients without induction therapy. These findings suggest that induction therapy with durvalumab seems to be beneficial for early-stage TNBC.

3. What needs special attention in the design of the GeparTreize study?

The GeparTreize is a prospective, randomized, open-label, phase III trial aiming to evaluate the efficacy and safety of durvalumab in addition to standard neoadjuvant chemotherapy after a priming dose of durvalumab followed by adjuvant therapy with durvalumab in TNBC. Patients will be randomly assigned to receive durvalumab plus neoadjuvant chemotherapy or chemotherapy alone. A sequential regimen of weekly paclitaxel with or without carboplatin followed by an anthracycline in combination with cyclophosphamide will be administered as neoadjuvant treatment. Moreover, the administration of carboplatin and anthracycline (doxorubicin or epirubicin) schedule is at the discretion of the investigator. Thus, with regard to chemotherapy, the study is designed to be as open as possible. Following surgery patients in the durvalumab arm will continue for another 12 months adjuvant therapy with durvalumab.

4. Are there any biomarkers identified that can be used to predict response to immune-checkpoint inhibitors in TNBC?

It was demonstrated that immune components such as stromal TILs are predictive and prognostic in TNBC. Biomarker exploratory analysis in the GeparNuevo showed a trend for increased pCR rates in PD-L1-positive tumors, which was significant for PD-L1-positive tumor-cells in the durvalumab arm ($p=0.045$) and for PD-L1-positive immune-cells in the placebo arm ($p=0.040$). We hope that GeparTreize could answer the question whether PD-L1 is an appropriate biomarker to select patients benefitting from PD-L1 inhibitors and the best way to assess it.

5. What are your expectations regarding the outcome of this trial?

Based on the very challenging design of GeparTreize trial we expect that the addition of durvalumab to neoadjuvant/adjuvant chemotherapy will result in a meaningful increase of pCR rate that could be translated into a survival benefit without a significant increase of toxicity.

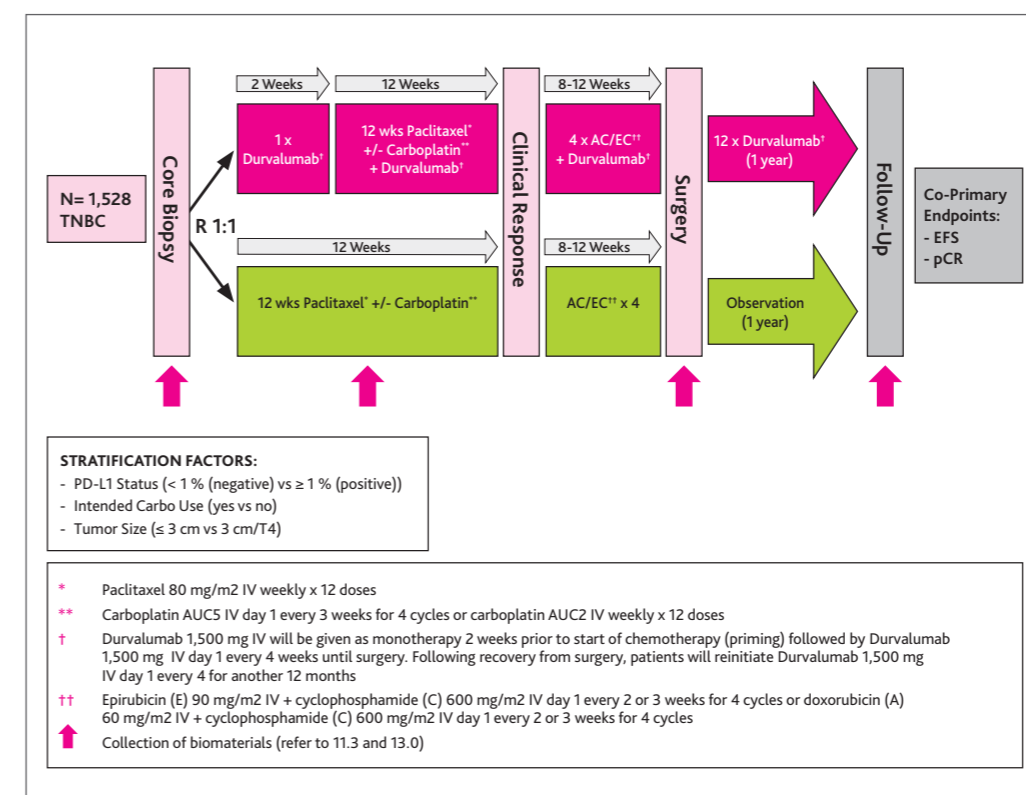


Figure 1: Study design of the GeparTreize study

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GBG 91: TAMENDOX

Genotype and phenotype guided supplementation of TAMoxifen standard therapy with ENDOxifen in breast cancer patients

TAMENDOX (IKP275) is a prospective, multi-center, single-blinded, three treatment arms, placebo-controlled, pharmacogenetics/pharmacokinetic phase II study that will recruit 504 patients from approximately 40 sites in Germany.

Background

The selective estrogen receptor modulator tamoxifen is a non-steroidal antiestrogen which was approved for the treatment of hormone-receptor positive breast cancer in the 1970s. Today tamoxifen is the sole labelled treatment for premenopausal patients but postmenopausal patients have the choice of an aromatase inhibitor (AI) for the inhibition of peripheral estrogen synthesis. Despite widespread use of AIs in postmenopausal patients and high-risk premenopausal patients (in combination with ovarian function suppression), tamoxifen remains a standard-of-care due to its high efficacy, tolerable toxicity profile and potential AI contraindications. While adjuvant endocrine therapy with tamoxifen reduces recurrences risk by half, approximately one third of patients will suffer from disease relapse (Early Breast Cancer Trialists' Collaborative Group (EBCTCG). Lancet 2011).

By integrating the new knowledge of the variable tamoxifen bioactivation into an individualized tamoxifen treatment scheme, improved efficacy could be gained by the supplementation of standard tamoxifen with individualized doses of (Z)-endoxifen (Z-4-hydroxy-N-desmethyl-tamoxifen), the major active metabolite of tamoxifen. Of note, the formation of (Z)-endoxifen is mainly catalyzed by the highly polymorphic CYP2D6 enzyme and depends on genetic variation of the encoding gene. About 8 % of the European population are CYP2D6 poor metabolizers (PM) due to the lack of functional alleles; heterozygous non-functional allele carriers and those homozygous for reduced-function alleles are termed intermediate metabolizers (IM) and make up ~40 % (Saladores et al. Expert Rev Mol Diagn 2013; Zanger et al. Pharmacol Ther 2013). Independent clinical studies demonstrated that genetically determined low (Z)-endoxifen levels predict higher relapse rates in pre- and postmenopausal women (Madlensky et al. Clin

Pharmacol Ther 2011; Saladores et al. Pharmacogenomics J 2015; Helland et al. Breast Cancer Res 2017). The available evidence has recently been addressed by the Clinical Pharmacogenetics Implementation Consortium (CPIC®) (Goetz et al. Clin Pharmacol Ther 2018). The concept TAMENDOX study is based on a different novel approach which pursues the supplementation of standard adjuvant tamoxifen (20mg/d) with only low doses of (Z)-endoxifen (up to 3 mg/d). In collaboration with Bayer, the doses used in this study have been calculated and validated by physiology-based pharmacokinetic (PBPK) modeling (Dickschen et al. Front Pharmacol 2012; Dickschen et al. Springerplus 2014). (Z)-endoxifen concentrations as found in normal metabolizers (EM) can be attained by IM and PM patients in this way. Evidence from in vitro modeling experiments of a premenopausal setting have already demonstrated that breast cancer cell killing can be improved by adding endoxifen to standard tamoxifen (Maximov et al. J Natl Cancer Inst 2014).

(Z)-Endoxifen is the major active metabolite of tamoxifen with an approximately 100 times higher affinity to the estrogen receptor α (ER- α) than tamoxifen itself. The primary pharmacodynamic mode of action is the antagonization of estrogen-bound ER, leading to the inhibition of estrogen-dependent genomic signalling and inhibition of tumor cell proliferation. A direct effect on the ER in humans has been demonstrated by PET/CT imaging in a phase I trial of (Z)-endoxifen dose escalation (40-300mg for 28 days) in patients with refractory ER-positive solid tumors, including breast: an average decline of 33 % radioactive-liganded ER has been found upon (Z)-endoxifen hydrochloride administration compared to baseline. These findings supported the strong binding of endoxifen to the ER and the feasibility of PET-based imaging as a pharmacodynamic biomarker for (Z)-endoxifen/ER binding in vivo. Tamoxifen remains an important endocrine treatment option for premenopausal patients and those postmenopausal patients with contraindications for AI. Nonetheless, the high long-term relapse rate presents a severe limitation in current treatment. Compromised bioactivation of tamoxifen to its active metabolite (Z)-endoxifen in patients with reduced CYP2D6 activity likely contributes to this limitation, as a 2-fold and 1.4-fold increased risk for disease recurrence for PM and IM patients

compared to EM patients has been observed. Thus, effective therapeutic (Z)-endoxifen levels can be achieved by supplementation of standard tamoxifen therapy with a low dose of (Z)-endoxifen.

The TAMENDOX trial is designed to show that (Z)-endoxifen supplementation in IM and PM patients will increase their steady state plasma concentrations of (Z)-endoxifen to the level found in patients without compromised metabolism, i.e. EM or ultrarapid metabolizers (UM). The trial is not designed to evaluate outcome measures of (Z)-endoxifen supplementation in tamoxifen treated patients.

Study design and objectives

TAMENDOX aims to evaluate the supplementation of tamoxifen with low dose (Z)-endoxifen to overcome the impaired bioactivation of tamoxifen to its active metabolite (Z)-endoxifen in patients with compromised CYP2D6 activity. Pre- and postmenopausal women with ductal carcinoma in situ (DCIS) or Stage I, IIA, IIB or IIIA invasive BC who have received at least three months standard tamoxifen treatment before baseline visit are eligible.

Tamoxifen treatment (20 mg/day) for at least three months in premenopausal and postmenopausal patients is mandatory prior to the start of the study, and will be continued during inter-

vention period without change of dosage. During the intervention, a daily oral dose of (Z)-endoxifen or placebo will be given according to CYP2D6 genotype or (Z)-endoxifen plasma concentrations (phenotype): group 1 (control group) will receive placebo independent of CYP2D6 genotype or (Z)-endoxifen plasma concentration; group 2 will receive (Z)-endoxifen dosed according to CYP2D6 "genotype" (i.e. genotype predicted IM or PM activity) or placebo (genotype predicted EM / UM), and group 3 will receive (Z)-endoxifen dosed according to (Z)-endoxifen steady state plasma concentrations (phenotype) at screening (i.e. ≤ 15 nM or > 15 and ≤ 25 nM) under tamoxifen treatment with 20 mg/day or placebo (> 25 nM). The intervention period will be 6 weeks to assure steady-state levels.

Primary objective is to increase (Z)-endoxifen steady-state concentrations in patients with compromised CYP2D6 activity to levels observed in patients with full CYP2D6 activity. The target concentration is > 32 nM.

Secondary objectives are 1) to increase (Z)-endoxifen steady state concentrations in patients with CYP2D6 genotype predicted PM activity to levels observed in patients with full CYP2D6 activity by supplementation with 3 mg/day (Z)-endoxifen (> 32 nM); 2) to increase (Z)-endoxifen steady state concentrations in

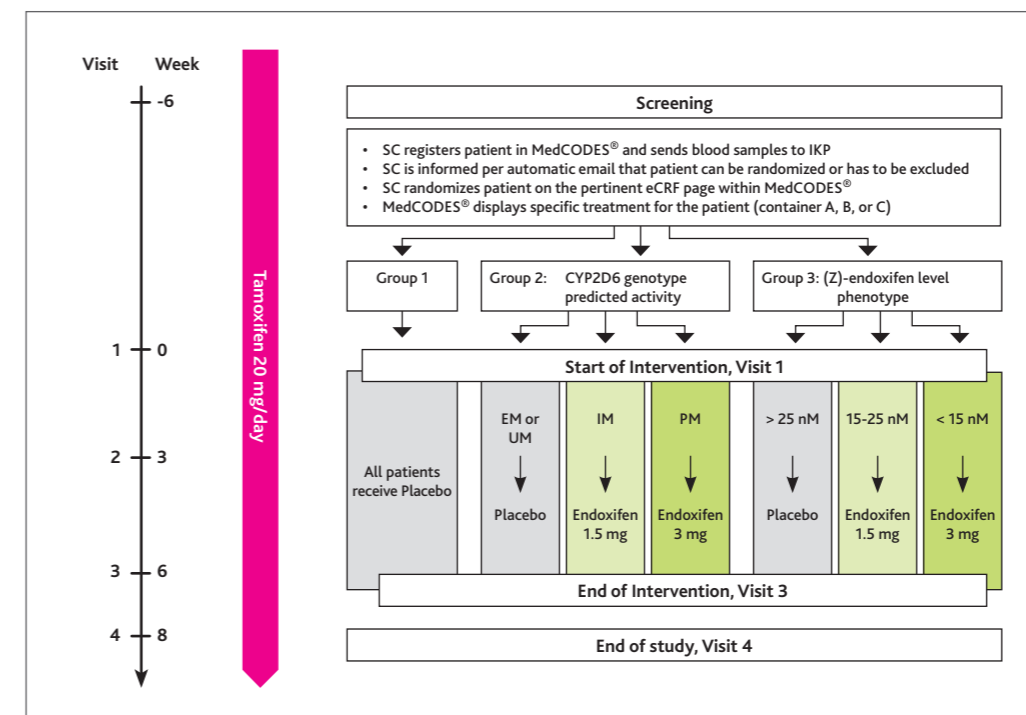


Figure 1: TAMENDOX study design

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patients with CYP2D6 genotype predicted IM activity to levels observed in patients with full CYP2D6 activity by supplementation with 1.5 mg/day (Z)-endoxifen (> 32 nM); 3) to increase (Z)-endoxifen steady state concentrations in patients with basal (Z)-endoxifen plasma levels ≤ 15 nM to levels observed in patients with full CYP2D6 activity by supplementation with 3 mg/day (Z)-endoxifen (> 32 nM); 4) to increase (Z)-endoxifen steady state concentrations in patients with basal (Z)-endoxifen plasma levels > 15 nM and ≤ 25 nM to levels observed in patients with full CYP2D6 activity by supplementation with 1.5 mg/day (Z)-endoxifen (> 32 nM); 5) to assess safety of

low dose (Z)-endoxifen supplementation; 6) to assess and compare steady state plasma levels of tamoxifen, desmethyltamoxifen, 4-hydroxytamoxifen, and possible other tamoxifen metabolites between the intervention groups and control group.

Study report:

TAMENDOX study protocol is expected to be approved by the regulatory authorities in the Q1/2019. The duration of the total study period from inclusion (screening visit) until end of study (visit 4) will be up to 14 weeks per patient. Patient recruitment is planned to last up to one year.

GBG 96: GeparDouze

A randomized, double-blind, phase III clinical trial of neoadjuvant chemotherapy with atezolizumab or placebo in patients with triple-negative breast cancer followed by adjuvant continuation of atezolizumab or placebo

NCT03281954

GeparDouze (NSABP B-59) is an international, multicenter, prospective, randomized, double-blind, phase III trial that will recruit 1,520 patients from up to 120 sites in approximately 4 countries within about 33 months.

Background

Triple-negative breast cancer (TNBC) is associated with relatively higher pathologic complete response (pCR) rate following neoadjuvant chemotherapy (NACT) and patients who achieved a pCR have a favorable prognosis (Liedtke C et al. J Clin Oncol 2008; Hahnen et al. JAMA Oncol 2017). However, women with residual TNBC following NACT have higher risk for recurrence than those with other subtypes of breast cancer (BC) (Cortazar P et al. Lancet 2014). Therefore, there is a compelling need to identify additional therapies to increase the percentage of patients with pCR and improve long term outcomes.

A relatively mature avenue of research has been the incorporation of additional agents such as carboplatin to standard anthracycline-based regimens in patients with stage II and III TNBC. In the neoadjuvant GeparSixto study, the pCR rate among patients with TNBC was increased from 36.9 % (95 % CI, 29.4-44.5) in patients not receiving carboplatin to 53.2 % (95 % CI 54.4-60.9) in patients receiving carboplatin ($p=0.005$) (von Minckwitz et al. Lancet Oncol 2014). In addition, the germline *BRCA1/2* mutations and RAD mutations as well as family history of breast and/or ovarian cancer could not identify patients most likely to benefit from carboplatin (Hahnen et al. JAMA Oncol 2017). Long-term survival analysis of GeparSixto study showed that after a median follow-up of 47.3 months, TNBC patients treated with carboplatin had a significantly longer disease-free survival than those without (HR 0.56; 95 % CI [0.34-0.93]; $p=0.024$) (Untch et al. Ann Oncol 2017). In the BrighTNess study a significant improvement of pCR was demonstrated in patients treated with carboplatin, veliparib and paclitaxel compared to patients receiving paclitaxel alone (53 % vs 31 %, $p < 0.001$) but not to those receiving

paclitaxel plus carboplatin (53 % vs 58 %, $p=0.36$) (Loibl S et al. Lancet Oncol 2018).

More recent approaches have been evaluating immune therapy with inhibitors of the programmed death-1 (PD-1)/programmed death-ligand 1 (PD-L1) interaction in combination with chemotherapy. One of these PD-1/PD-L1 inhibitors is atezolizumab, a humanized immunoglobulin (Ig) G1 monoclonal antibody. It targets PD-L1 and inhibits the interaction between PD-L1 and its receptors, PD-1 and B7.1 (also known as CD80), both of which function as inhibitory receptors expressed on T-cells. Atezolizumab is being studied as a single agent as well as in combination with chemotherapy, targeted therapy, and cancer immunotherapy. Results of the I-SPY2 trial (Nanda et al. J Clin Oncol 2017) demonstrated that the PD-1/PD-L1 inhibitors co-administered with chemotherapy can increase pCR over chemotherapy alone. The phase 1b study of atezolizumab and nab-paclitaxel in patients with metastatic TNBC also reported a very high response rate (Adams S et al. J Clin Oncol 2016).

Given these results, the GeparDouze trial aims to explore the efficacy and safety of neoadjuvant and adjuvant administration of atezolizumab/placebo in patients with high-risk TNBC. It is hypothesized that the cohort receiving atezolizumab will have a higher pCR rate, and this increased activity will result in improved event-free survival (EFS).

Study design and objectives:

GeparDouze aims to evaluate efficacy and safety of neoadjuvant/adjuvant administration of atezolizumab/placebo in TNBC patients with a sequential regimen of neoadjuvant atezolizumab/placebo administered with weekly paclitaxel and with every-3-week carboplatin followed immediately by neoadjuvant administration of atezolizumab/placebo with epirubicin or doxorubicin/cyclophosphamide (EC/AC). Patients will then undergo surgery. Following surgery, determination of pCR status and recovery from surgery, patients who did not discontinue atezolizumab/placebo due to toxicity during neoadjuvant therapy will resume the original randomized investigational therapy assignment and continue the therapy as adjuvant treatment until 1 year after initial dose of atezolizumab/placebo. Since activity of radiation therapy may also be augmented by inhibition of PD-1/PD-L1, radiation therapy, if indicated, should be co-administered with atezolizumab/placebo.



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This will allow for collection of safety data related to co-administration of atezolizumab with radiation therapy on a blinded, placebo-controlled trial.

Patients will be randomized in a 1:1 ratio to receive either neoadjuvant chemotherapy + atezolizumab 1,200 mg or placebo IV every 3 weeks followed by surgery and continuation of atezolizumab 1,200 mg or placebo IV as adjuvant therapy for 6 months. Stratification factors are region (North America; Europe), tumor size (1.1-3.0 cm; > 3.0 cm), EC/AC (q2w; q3w), and nodal status (positive; negative). Patients with primary cT1c-cT3 TNBC and centrally assessed hormone

receptor-status, HER2-status, Ki-67, and stromal tumor-infiltrating lymphocytes (sTILs) on core biopsy can be enrolled.

Co-primary objectives are 1) to determine whether the addition of atezolizumab to chemotherapy (weekly paclitaxel plus carboplatin followed by AC or EC) improves pCR in the breast and axilla (ypT0/Tis ypN0) and 2) to determine whether the addition of atezolizumab to chemotherapy followed by adjuvant atezolizumab improves EFS. Secondary objectives include assessment of other pCR definitions (ypT0/Tis and ypT0 ypN0); positive nodal status conversion rate; recurrence-free interval; overall

survival; distant disease-free survival; brain metastases-free survival and safety. Tertiary objectives are assessment of pCR (ypT0/Tis ypN0) and EFS in patients with deleterious germline BRCA mutation status. Furthermore, the GeparDouze study will also address translational research questions such as to evaluate the expression of PD-L1 and percentage of TILs as predictors for pCR and EFS; to evaluate percentages of TILs in patients with residual BC at surgery as a predictor for EFS; to investigate potential new biomarkers of response and resistance using baseline and on-therapy specimens; to evaluate serial circulating tumor DNA (ctDNA) as a predictive biomarker for pCR and EFS as well as an early predictor of recurrence.

Publications

1. Geyer CE, Loibl S, Rastogi P et al. NSABP B-59/GBG 96-GeparDouze: A randomized double-blind phase III clinical trial of neoadjuvant chemotherapy (NAC) with atezolizumab or placebo in Patients (pts) with triple negative breast cancer (TNBC) followed by adjuvant atezolizumab or placebo. J Clin Oncol 2018; 36:15_suppl, TPS603.
2. Loibl S, Jackisch C, Seiler S et al. A Randomized, Double-Blind, Phase III Trial of Neoadjuvant Chemotherapy with Atezolizumab/Placebo in Patients with Triple-Negative Breast Cancer Followed by Adjuvant Continuation of Atezolizumab/Placebo (GeparDouze). 38. Jahrestagung Deutsche Gesellschaft für Senologie 2018; TIP-poster.
3. Geyer CE, Loibl S, Rastogi P et al. A randomized double-blind phase III clinical trial of neoadjuvant chemotherapy (NAC) with atezolizumab or placebo in patients (pts) with Triple Negative Breast Cancer (TNBC) followed by adjuvant atezolizumab or placebo: NSABP B-59/GBG 96-GeparDouze. SABCS 2018; TIP-poster.

Study report:

GeparDouze recruitment started in December 2017. As of 31st December, there are 33 patients enrolled in the study. Follow-up of an additional 39 months after completion of accrual is planned to obtain 298 EFS events. The expected study duration is approximately 72 months [1-3].

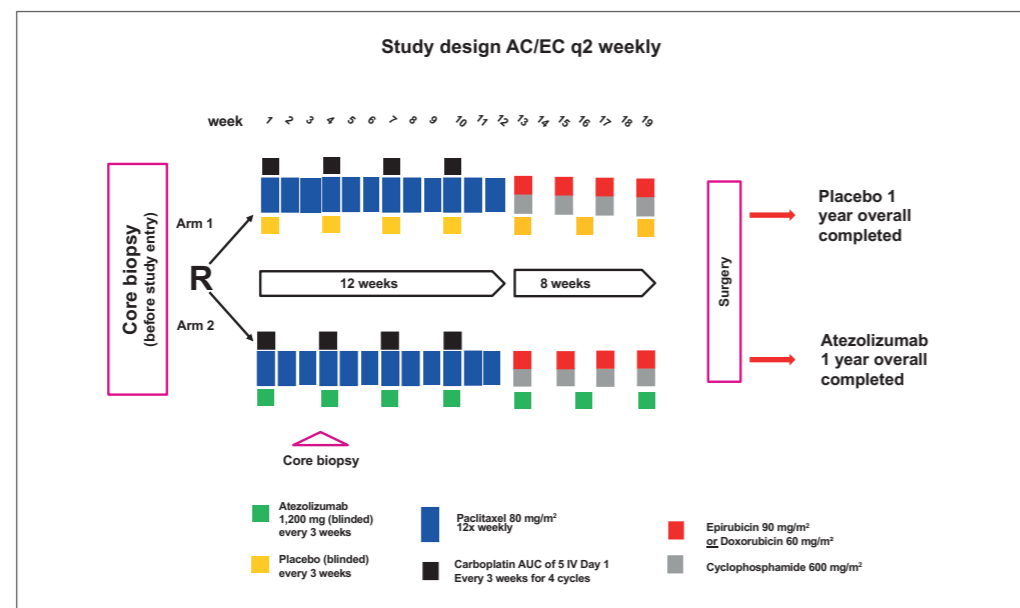
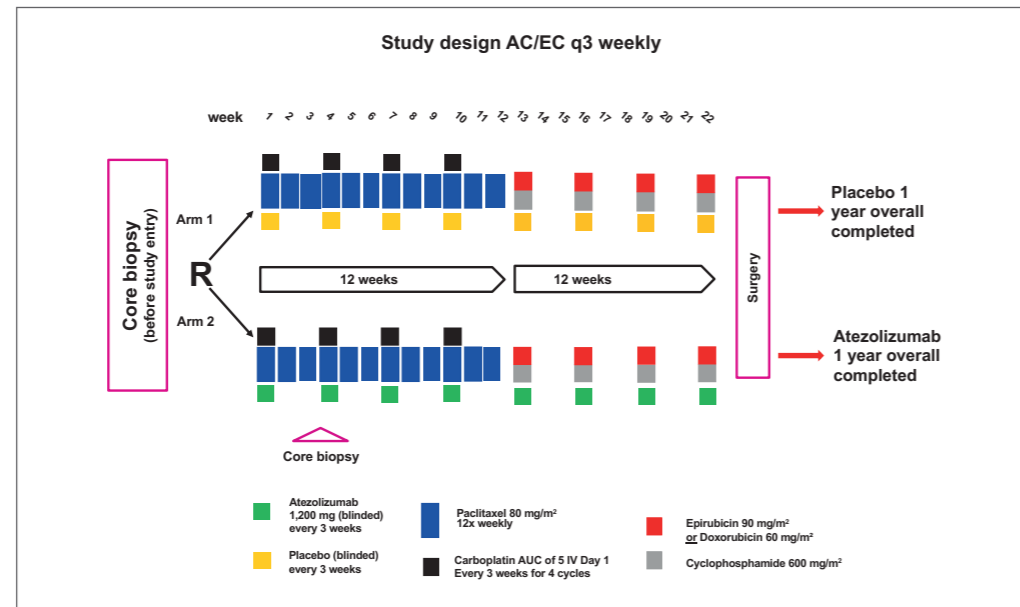


Figure 1: GeparDouze study design

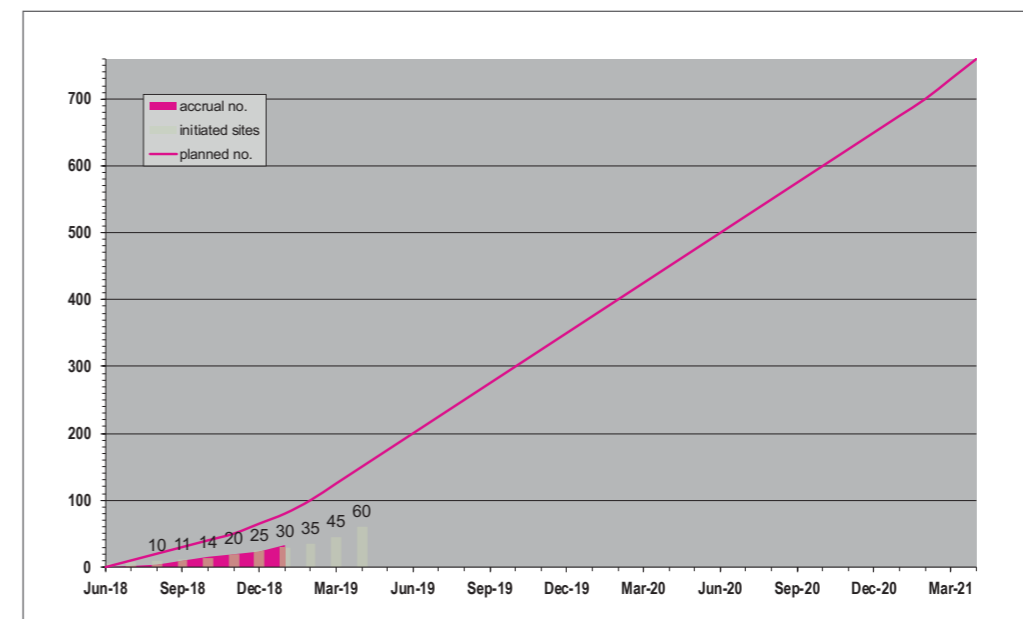


Figure 2: GeparDouze recruitment as of 31st December 2018

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients and by providing biomaterial in a timely manner.

COLLABORATING STUDY GROUPS:



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GBG 97: AMICA

Anti-hormonal maintenance treatment with or without the CDK4/6 inhibitor ribociclib after 1st line chemotherapy in hormone receptor positive / HER2-negative metastatic breast cancer: A phase II trial

NCT03555877

AMICA is a multicenter, prospective, randomized open-label, controlled phase II study that will recruit 150 patients from 20-30 sites in Germany within approximately 14 months.

Background

Dysregulation of the cell cycle is one of the hallmarks of cancer. The cyclin dependent kinases are a large family of serine / threonine kinases that have a crucial role in regulating cell cycle progression. For example, the cyclin dependent kinases 4 and 6 (CDK4/6) and their partner d-type cyclins control transition from G1 to S phase of the cell cycle by phosphorylating the retinoblastoma protein. Preclinical evidence demonstrated a synergistic inhibitory effect of CDK4/6 inhibitors and antiestrogens in hormone-receptor (HR) positive breast cancer (BC) cell lines. Ribociclib, a CDK4/6 inhibitor, is currently evaluated in various disease settings including phase III trials in metastatic breast cancer. While guidelines recommend endocrine therapy as a 1st line treatment in patients with HR-positive/HER2-negative metastatic BC, about 30 % of patients will receive chemotherapy.

A meta-analysis of 11 randomized trials has shown that longer duration of therapy is associated with PFS and overall survival (OS) (Gennari A. et al. J Clin Oncol. 2011). However, the duration of chemotherapy is frequently determined either by toxicities or by patients and physicians' preferences, resulting in treatment periods of less than 6 months. Moreover, although 1st line chemotherapy is effective in women with HR-positive/HER2-negative BC, PFS is around 6-8 months and 2nd or 3rd line treatments are by far less effective. Therefore, well tolerated maintenance treatments with the potential to prolong PFS and even OS are urgently needed. The phase III MONALEESA-2 trial has reported a significant improvement in PFS in 1st line metastatic BC when the CDK4/6 inhibitor ribociclib was added to letrozole (25.3 vs. 16.0 months; hazard ratio=0.57) (Hortobagyi GN et al. N Engl J Med. 2016). Maintenance treatment with anti-hormonal drugs is an accepted treatment strategy in everyday clinical practice (Sutherland S et al. Eur J Cancer. 2016; Rossi S et al. Future Oncol. 2016) but prospective data are lacking. Therefore, the AMICA study evaluates the impact of the addition of a CDK4/6 inhibitor to an anti-hormonal maintenance treatment of physicians' choice.

Study design and objectives

After at least 4 cycles of chemotherapy of physician's choice, patients with at least stable

disease will be randomized in a 2:1 ratio to receive endocrine maintenance therapy ± open label treatment with ribociclib therapy. Endocrine therapy, at the discretion of the investigator, could have already been started up to 4 weeks before randomization but not later than with first dose of ribociclib. Stratification factors for randomization will be: 1) previous endocrine treatment for metastatic disease (yes vs no); 2) involved sites (≤ 2 vs > 2); 3) best response under chemotherapy (response vs stable disease). In both study arms, treatment will be given until disease progression, unacceptable toxicity, or withdrawal of consent of the patient. AMICA primarily aims to evaluate the impact on PFS of an anti-hormonal maintenance therapy after 1st line chemotherapy at the discretion of the investigator (e.g. taxanes, capecitabine, vinorelbine, anthracycline) with or without the CDK4/6 inhibitor ribociclib. Secondary objectives are to evaluate the impact on OS and clinical benefit rate; to compare safety between the two arms; to compare treatment compliance between the two arms and to evaluate patient reported outcomes. Tertiary objectives are to evaluate biomarkers which might predict response to CDK inhibition and endocrine therapy using formalin-fix paraffin embedded (FFPE) metastatic tissue samples and blood (e.g. cyclines, RB expression, p27, p16 expression) as well as to assess the role of mutations, e.g. PIK3CA and ESR1 in circulating tumor DNA (ctDNA) [1].

An amendment of the study protocol (approved on 5th November, 2018) includes the following changes: a) inclusion of patients who had previously received a CDK4/6 inhibitor; b) permission of using herbal medication during study therapy; c) permission of surgery for primary tumor at the discretion of the investigator; d) exclusion of tamoxifen, one of the possible endocrine therapies, due to new data reported from the MONALEESA-7 trial [2].

Study report:

AMICA recruitment started in March 2018. As of 31st December 2018, there were 10 patients enrolled in the study. The expected study duration is 21 months.

Publications:

1. Decker T, Barinoff, J, Furlanetto J et al. Anti-hormonal maintenance treatment with/without the CDK4/6 inhibitor ribociclib after 1st line chemotherapy in HR+/HER2- metastatic breast cancer: a phase II trial (AMICA) GBG 97. 38. Jahrestagung Deutsche Gesellschaft für Senologie 2018; TIP-poster.
2. Decker T, Denkert C, Lübke K et al. Anti-hormonal maintenance treatment with or without the CDK4/6 inhibitor ribociclib after first line chemotherapy in hormone receptor positive/HER2 negative metastatic breast cancer: A phase II trial (AMICA) GBG 97. Ann Oncol 2018; 29 (suppl_8): 364TIP.

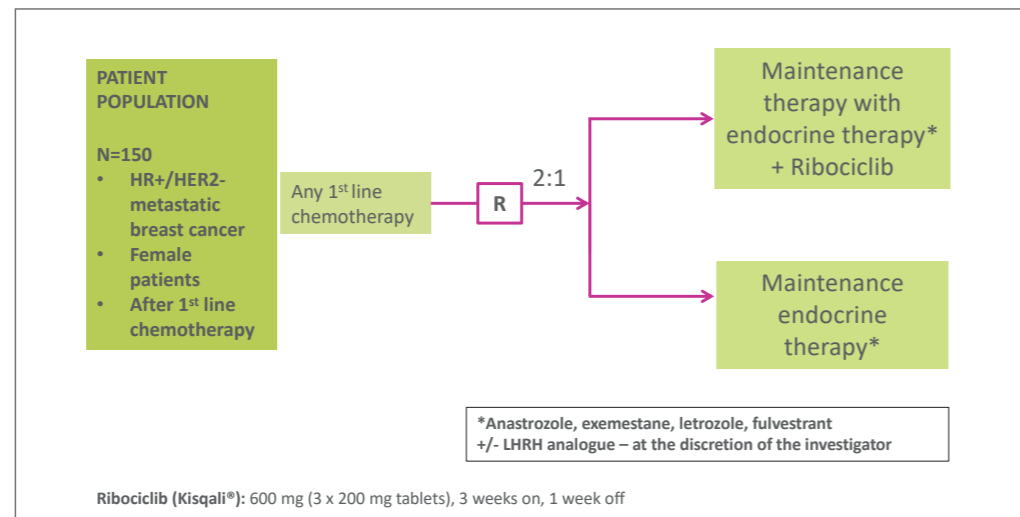


Figure 1: AMICA study design

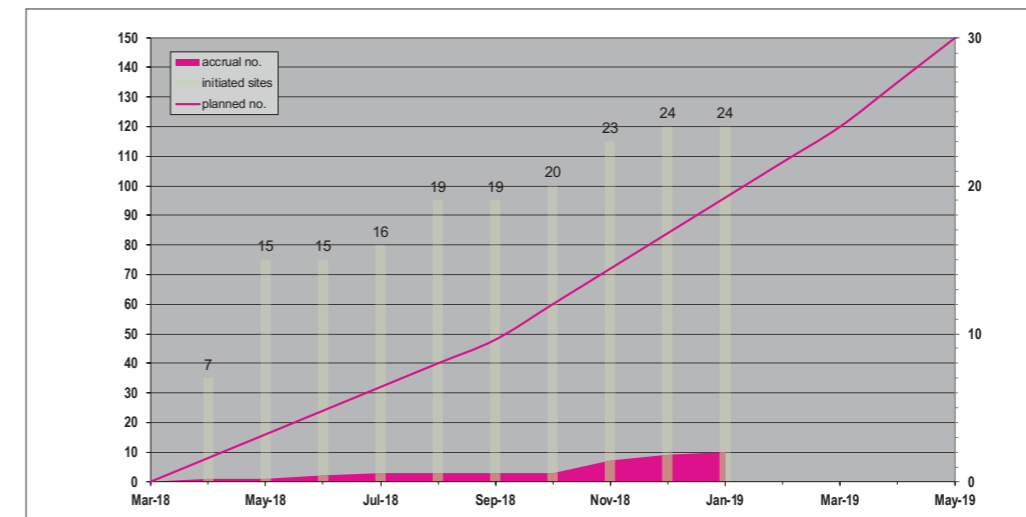


Figure 2: AMICA recruitment as of 31st December 2018

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients and by providing biomaterial in a timely manner.

COLLABORATING STUDY GROUPS:



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GBG 93: PADMA

A randomized, open-label, multicenter phase IV study evaluating palbociclib plus endocrine treatment versus a chemotherapy-based treatment strategy in patients with hormone receptor positive / HER2-negative metastatic breast cancer in a real world setting

NCT03355157

PADMA is an international, prospective, randomized, open-label, multicenter, controlled phase IV low intervention trial to test whether endocrine treatment with palbociclib is better than mono-chemotherapy +/- endocrine maintenance therapy as per treating physician's choice as first line therapy in advanced/metastatic breast cancer (MBC) that will be conducted in approximately 130 sites worldwide within approximately 36 months.

Background

Endocrine therapy is the recommended option for estrogen receptor (ER) positive / human epidermal growth factor receptor 2 (HER2) negative MBC as first-line therapy in the majority of patients except those with rapidly progressing, life-threatening disease, also known as visceral crisis (Cardoso F et al. Ann Oncol 2014; Gradishar et al. Natl Compr Canc Netw 2016; AGO guidelines 2016, www.ago-online.de). With the

novel CDK4/6 inhibitors in addition to either an aromatase inhibitor (AI) or fulvestrant the treatment landscape is changing rapidly. However, the data comparing endocrine therapy (ET) alone with chemotherapy (CT) are scarce and less convincing. Since palbociclib improves the efficacy of ET alone by about 50 %, the hypothesis is that palbociclib + ET is superior to mono-chemotherapy of physician's choice with or without ET maintenance therapy in time to treatment failure. However, due to rigid inclusion and exclusion criteria, limited number of treatment options, and strictly prescribed monitoring intervals the majority of clinical trials are done in an "artificial environment" and often do not mirror real world situation. Therefore, this trial is planned as low intervention real world trial to compare two treatment strategies that are commonly used options in real-world practice: a combination of palbociclib with ET versus a pre-planned CT strategy with or without ET maintenance until treatment failure. In real world, the majority of patients with MBC receive CT to obtain a quick response, although it has not been proven that a quick response achievement will be translated into a patients benefit (e.g., longer TTF). Therefore, a pre-planned analysis will investigate the association between investigator- assessed response assessed 3 months after randomization and patient benefit (measured by TTF).

The hypothesis of the study is that palbociclib + ET can show a significant improvement in time-to-treatment failure (TTF) over CT regimen (mono-chemotherapy with or without ET maintenance therapy). This will provide level 1 evidence from real world that palbociclib + ET is the first choice in MBC patients needing first-line therapy compared to CT with or without ET maintenance therapy. In addition, we assume that patient reported outcome (PRO) as measured by FACT-B, and a novel composite endpoint of well-being and healthcare utilization as measured by daily monitoring treatment impact (DMTI) will be improved with palbociclib + ET vs. CT regimen.

Study design and objectives:

Patients will be randomized in a 1:1 ratio to receive either ET with palbociclib or CT with or without endocrine maintenance therapy. Stratification factors for randomization will be: 1) hormone resistant (relapse on or within 12 months of end of adjuvant endocrine therapy) versus hormone sensitive (relapse beyond 12 months after end of endocrine therapy or de-novo metastatic HR-positive / HER2-negative breast cancer); 2) symptomatic (as defined per investigator) vs. asymptomatic (as defined by investigator). In both study arms, treatment will be given until disease progression, unacceptable

toxicity, or withdrawal of consent of the patient or change of initial treatment plan (either approximately six chemotherapy cycles followed by maintenance endocrine therapy or chemotherapy until disease progression). PADMA primarily aims to compare the time-to-treatment failure (TTF) for patients randomized to receive pre-defined chemotherapy treatment strategy versus those randomized to receive palbociclib and endocrine therapy. The TTF is defined as time from randomization until discontinuation of treatment due to disease progression, treatment toxicity, patient's preference, or death. Secondary objectives are to compare progression free survival (PFS), time to first subsequent treatment (TFST), time to first subsequent chemotherapy (TFSCT) and time to second subsequent treatment regimen (TSST) between treatment arms; to compare the overall survival between treatment arms 36 months after the first patient was randomized; to compare patient well-being and health care utilization by DMTI including sleep and activity levels, content with Quality of Life (QoL) and degree of bother by side-effects, number and duration of phone calls, and patient visits to investigator sites; to assess PRO measured by FACT-B; to compare time-to-deterioration in Trial Outcome Index-Physical/Functional/Breast (TOI-PFB derived from FACT-B); to compare

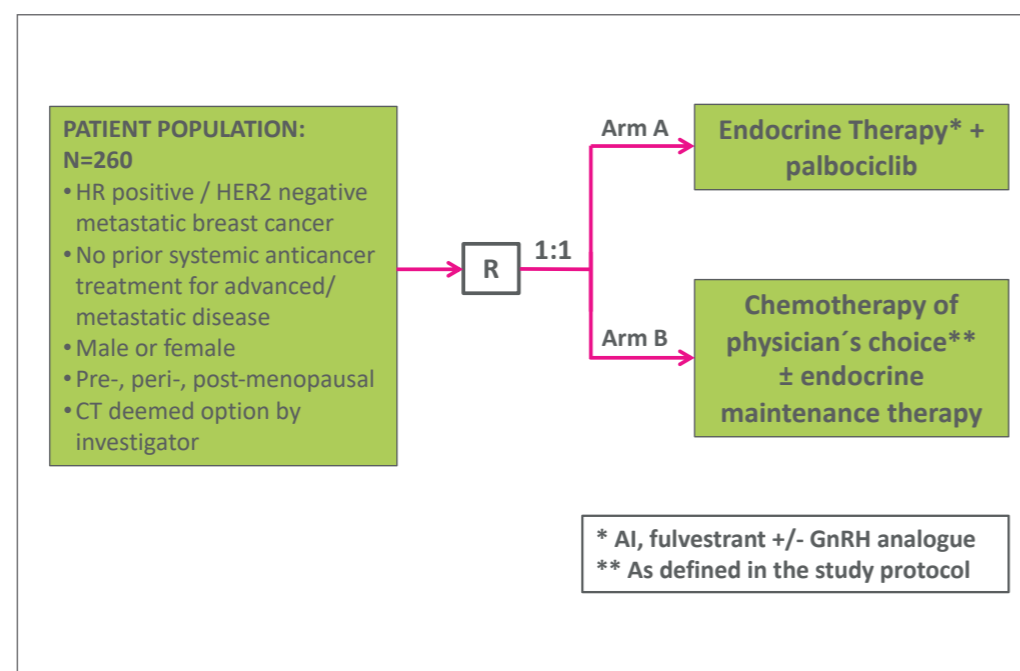


Figure 1: PADMA study design

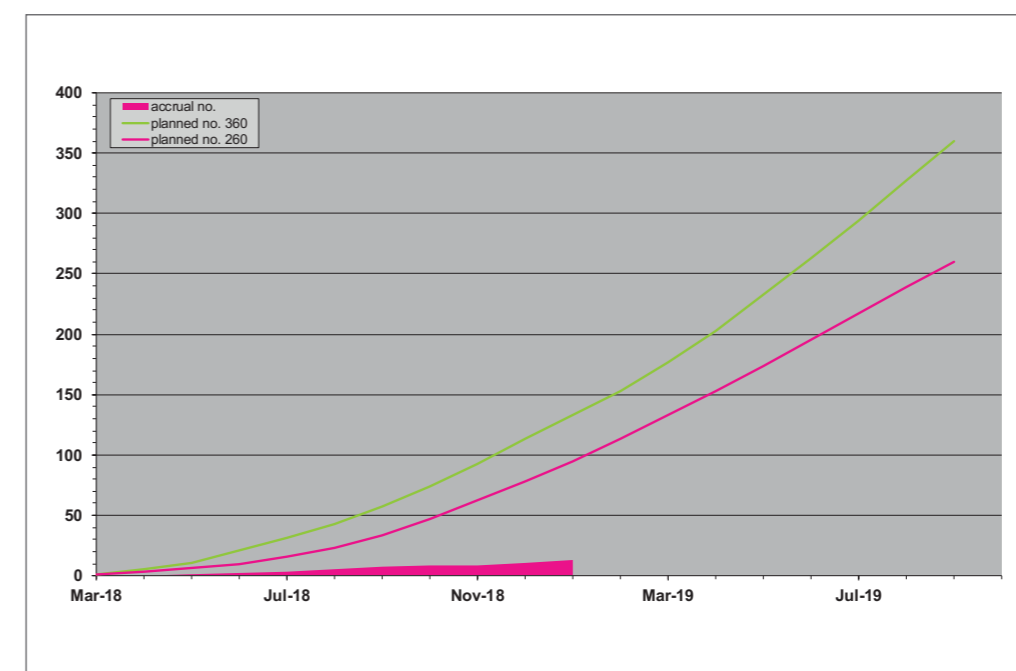


Figure 2: PADMA recruitment as of 31st December 2018

COLLABORATING
STUDY GROUPS:

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INTERNATIONAL
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safety, tolerability and treatment compliance between the two arms. Exploratory objectives include comparison of time to response as assessed by the investigator; comparison of duration of first subsequent treatment (DFST); investigation of association between investigator-assessed response measured 3 months after randomization and patient benefit (measured by TTF) [1]. Furthermore, the PADMA study will also address translational research questions such as an investigation of biomarkers (e.g., cyclins, RB expression, p27, p16 expression) which might predict the response to CDK inhibition in MBC as well as evaluation of circulating tumor DNA (ctDNA) at various time points (at start of therapy, throughout treatment and at end of treatment) to monitor tumor progression. The protocol has been amended in July 2018. The main changes of this protocol amendment 1 were a reduction of the number of planned patients, and the removal of the initially planned interim analysis and of an activity tracker monitoring sleep and activity levels, respectively.

Study report:

PADMA recruitment started in March 2018 in Germany (worldwide start is expected in Q1/2019). As of 31st December 2018, there are 13

patients enrolled in the study. The end of the study (i.e. last visit of the last patient randomized) is estimated for 2021 [1-3].

Publications:

1. Loibl S, Barinoff J, Decker T, et al. A randomized, open-label, multicentre, phase IV study evaluating palbociclib plus endocrine treatment versus a chemotherapy based treatment strategy in patients with hormone receptor positive/HER2-negative metastatic breast cancer in a real world setting. *J Clin Oncol* 2017;35 15_suppl.TPS1115).
2. Loibl S, Barinoff J, Seiler S, et al. A randomized, open-label, multi-center phase IV study evaluating Palbociclib plus endocrine treatment versus a chemotherapy-based treatment strategy in patients with hormone receptor-positive, HER2-negative metastatic breast cancer in a real world setting (PADMA). *Cancer Res* 2018;78(4 Suppl):OT3-05-04.
3. Thill M, Seiler S, Decker T et al. A randomized, open-label, phase IV study evaluating palbociclib plus endocrine treatment versus a chemotherapy-based treatment in patients with hormone receptor-positive, HER2-negative metastatic breast cancer (PADMA). 38. Jahrestagung Deutsche Gesellschaft für Senologie 2018; TIP-poster.

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by recruitment of the patients and by providing biomaterial in a timely manner.

GBG 94: PATINA

A randomized, open label, phase III trial to evaluate the efficacy and safety of palbociclib + Anti-HER2 therapy + endocrine therapy vs. Anti-HER2 therapy + endocrine therapy after induction treatment for hormone receptor positive (HR+)/HER2-positive metastatic breast cancer

NCT02947685

PATINA (AFT-38) is an international, multicenter, randomized, open-label, phase III trial testing the efficacy and safety of palbociclib + anti-HER2 therapy + endocrine therapy vs. anti-HER2 therapy + endocrine therapy after induction treatment for hormone receptor positive (HR+)/HER2-positive metastatic breast cancer that will recruit 496 patients worldwide (120 patients from approximately 30 sites in Germany) within 36 months.

PATINA is a collaborative study conducted by Alliance Foundation Trials (AFT), LLC in partnership with the German Breast Group (GBG) and supported by AFT, LLC.

Background

In light of the evolving breast cancer (BC) classification, HER2-positive BC has emerged as a separate disease entity and the development of therapies targeting the HER2 receptor has dramatically improved patient outcomes. During the first decade of trastuzumab use for advanced HER2-positive BC, a significant improvement in the understanding of the biology of HER2-positive disease led to the development and approval of novel anti-HER2 agents. In order to improve beyond the current standards, it is important to highlight the major limitations of available therapies: 1) patients with advanced disease inevitably develop resistance to anti-HER2 therapies; 2) tumor heterogeneity within HER2-positive BC is now evident and can be divided into two major subtypes according to the expression of hormone receptor (HR) status; 3) specific subsets of HER2-positive disease (e.g. somatic PIK3CA mutation) have a particularly unfavorable outcome when treated with conventional chemotherapy. Taken together these factors point to the need for clinical studies dedicated to specific subsets of HER2-positive BC.

The PATINA study is built on strong preclinical and clinical rationale demonstrating the benefits of palbociclib, a selective CDK4/6 inhibitor,

when given in combination with endocrine therapies (ET) and anti-HER2 therapies. The expectation is that the addition of palbociclib to the first-line treatment of HER2-positive/HR-positive disease will delay the onset of therapeutic resistance and ultimately prolong patient survival.

Study design and objectives:

PATINA primarily aims to demonstrate that the combination of palbociclib with anti-HER2 therapy plus endocrine therapy is superior to anti-HER2-based therapy plus endocrine therapy in prolonging progression-free survival (PFS) in participants with HR+/HER2-positive metastatic BC who have not received any prior treatment beyond induction treatment in this setting. Secondary objectives are to compare measures of tumor control (including PFS, overall response, clinical benefit rate, duration of response) between the treatment arms; to compare median overall survival (OS) at 3-years and 5-years between the treatment groups; to compare safety and tolerability between the treatment arms; to compare the incidence of central nervous system metastasis between the treatment arms; to compare patient reported time to symptom progression as assessed by the FACT-B TOI-PFB; to compare patient reported BC specific health related quality of life (HRQoL) and general health status. In addition, PATINA includes translational research objectives which will investigate the benefits of palbociclib in subsets of HER2-positive disease (e.g. *PIK3CA* mutant) [1].

The protocol has been amended in February 2018. Essential points of this amendment were (1) to clearly delineate between preliminary screening vs. randomization process, (2) a more detailed description of the specimen collection and storage for the Mastering Breast Cancer (MBC) Initiative, and (3) updates of the in- and exclusion criteria, respectively.

Translational research

Translational research will be performed to compare progression-free survival based upon investigator assessment of progression between patients in the two treatment arms in the subset of patients with tumors bearing a *PIK3CA* mutation. *PIK3CA* genotype will be assessed in circulating cell-free DNA (cfDNA). The exploratory objectives are to evaluate PFS and OS in genomically-defined BC subgroups based on pre-specified genomic assays; to evaluate base-

PATINA
A COLLABORATIVE STUDY SPONSORED
BY ALLIANCE FOUNDATION TRIALS, LLC

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line tumor- and blood-based markers as predictors of benefit from the addition of palbociclib to anti-HER2 therapy plus ET; to evaluate tumor- and blood-based markers at the time of disease recurrence for mechanisms of resistance to therapy; to compare serial levels of cfDNA in patients receiving anti-HER2 therapy plus ET versus anti-HER2 therapy plus ET plus palbociclib; to compare mutational profile/copy number variants obtained from tumor tissue to those measured in cfDNA; to determine the trough concentrations of palbociclib when given in combination with trastuzumab plus ET or trastuzumab plus pertuzumab plus ET; to determine trastuzumab and pertuzumab trough concentrations when given in combination with palbociclib plus ET; to explore correlations between palbociclib exposure and efficacy/ safety findings in this patient population.

Study report:

PATINA worldwide recruitment started in July 2017, and in Germany in July 2018, respectively. As of 31st December 2018, a total of 93 patients have been enrolled in the study worldwide of which there is currently no patient from Germany. Enrollment is targeted to be completed by June 2019 and the last patient last visit is expected for June 2024 [1-4].

Publications

1. Metzger O, Mandrekar S, Ciruelos E, et al. PATINA: A randomized open label phase III trial to evaluate the efficacy and safety of palbociclib 1 anti HER2 therapy 1 endocrine therapy vs anti HER2 therapy 1 endocrine therapy after induction treatment for hormone receptor positive, HER2-positive metastatic breast cancer. *Ann Oncol* 2017; 28 (suppl.5): 324 TiP.
2. Metzger O, Mandrekar S, Loibl S, Ciruelos E, Gianni L, et al. PATINA: A randomized open label phase III trial to evaluate the efficacy and safety of palbociclib + anti HER2 therapy + endocrine therapy vs anti HER2 therapy + endocrine therapy after induction treatment for hormone receptor positive, HER2 positive metastatic breast cancer. *Cancer Research* 2018; 78(4 Supplement):OT3-05-07.
3. Loibl S, Metzger O, Mandrekar SJ et al. PATINA: A randomized, open label, phase III trial to evaluate the efficacy and safety of palbociclib + Anti-HER2 therapy + endocrine therapy (ET) vs. anti-HER2 therapy + ET after induction treatment for hormone receptor positive (HR+)/HER2-positive metastatic breast cancer (MBC). *Ann Oncol* 2018; 29 (suppl_8):369 TiP.
4. Metzger O, Mandrekar S, Ciruelos E PATINA: A randomized, open label, phase III trial to evaluate the efficacy and safety of palbociclib + anti-HER2 therapy + endocrine therapy (ET) vs. anti-HER2 therapy + ET after induction treatment for hormone receptor positive (HR+)/HER2-positive metastatic breast cancer (MBC). *SABCS 2018; Abstract nr: OT3-02-07.*

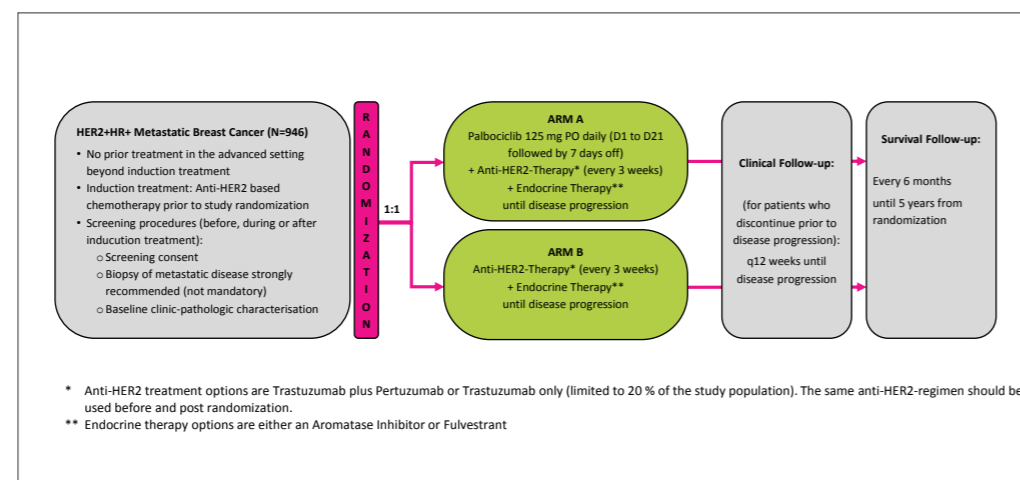


Figure 1: PATINA study design

GBG 95: ULTIMATE

A phase II trial testing durvalumab combined with endocrine therapy in patients with ER+/HER2- breast cancer eligible to neoadjuvant endocrine therapy and who present CD8+ T cell infiltration after 4–6 weeks exposure to immune-attractant

NCT02997995

ULTIMATE (UC-0140/1606; BIG 16-01) is an international, prospective, randomized, open-label, multicenter phase II trial testing aromatase inhibitors in combination with durvalumab in patients with CD8+ T cell infiltration (> 10% CD8+ T cells in the tumor) that will recruit 240 patients from approximately 35 sites worldwide (8 sites in Germany) within 36 months.

Background

Around 75% of breast cancers present an estrogen receptor (ER) expression. These patients are sensitive to endocrine therapy (ET). ER-positive breast cancer (BC) includes three groups of patients, i.e. ER-positive/HER2-positive (luminal B HER2); ER-positive/high proliferation (luminal B); ER-positive/low proliferation (luminal A). While the first two groups are sensitive to chemotherapy and ET, the luminal A subtype presents a lower chemosensitivity and a higher endocrine sensitivity. ET and chemotherapy have dramatically improved outcome of ER-positive BC, the mortality rates remain high when the patients present with a T2-4 BC. In a recent meta-analysis, the annual risk of relapse was 3% and 4% in patients with T2 and T3/4 BC respectively, translating in a 30 to 40% risk of relapse at 10 years (Dowsett M et al. *Lancet*. 2015). This data emphasizes the need to develop new therapies in this group of women. Durvalumab is a human monoclonal antibody (mAb) of the immunoglobulin G1 kappa (IgG1κ) subclass that inhibits binding of programmed cell death ligand 1 (PD-L1) expressed on tumor cells to its receptor programmed cell death 1 (PD-1) expressed on activated T-cells. This may overcome/prevent PD-L1-mediated inhibition/suppression of T-cell activation (Homet M et al. *Br J Cancer* 2015). Preclinical and clinical evidence has shown that anti-PD-L1 antibodies require CD8+ T-cells on the tumor site to work, and are unlocking an immunosuppressive signaling mediated by PD1 (Tumeh PC et al. *Nature* 2014). Several studies characterizing the immune landscape of ER-positive/HER2-negative BC have shown that this BC subtype is associated

with lower rate of lymphocytic infiltration, especially by CD8+ T-cells. In a study that included 2009 samples, the median infiltration by lymphocytes was 10 in ER-positive/HER2-negative BC as compared to 20 in triple negative BC (Loi S, et al. *J Clin Oncol*. 2013). In a pooled analysis of four studies, ER-positive/HER2-negative BC presented a lower rate of infiltration by CD8+ T-cells (Ali HR et al. *Ann Oncol* 2014). Interestingly, while associated with lower infiltration of T-cell, 71% of ER-positive/HER2-negative BC presented expression of PD-L1 (Arnedos M et al. *Ann Oncol* 2014, Abst 3510). Finally, analyses from The Cancer Genome Atlas (TCGA) data have suggested that the mutational profile of ER-positive/HER2-negative early BC is not different to the one observed in TNBC (Lefebvre, unpublished data).

Study design and objectives:

The trial includes two sequences: lymphocyte attraction (part 1) and lymphocyte activation (part 2). In the first part of the study patients will receive immune-attractant combined with exemestane for six weeks. After three weeks (+/- 3 days), a tumor biopsy will be performed. Patients having > 10% CD8+ cells in the tumor after 3 weeks and remain eligible will be included in the second part of the trial i.e. lymphocyte activation. In this second part, patients will receive durvalumab 1,500 mg q4w (equivalent to 20 mg/kg q4w) IV, combined with exemestane (25 mg daily), for six months. The pathological response will be checked by surgery. The second part includes two steps: in the first step 23 patients will be included and if 2 or more of them have a pathological complete response (pCR), additional 33 patients will be included in the second step. ULTIMATE primarily aims to assess the efficacy of durvalumab combined with exemestane in patients with CD8+ T cells on pathological response at surgery after a lymphocyte attraction phase. Secondary objectives are to evaluate the capacity of several "immune-attractants" approaches to increase CD8+ T cells in the tumor site; to assess the efficacy of six months durvalumab + exemestane combination therapy in ER-positive/HER2-negative BC presenting CD8+ T cells after 4-6 weeks exposure to immune-attractants on secondary endpoints; to evaluate whether durvalumab expands intratumor lymphocytes. Translational research objectives include assessment of the predictive value of mutational load and PD-L1 expression

ULTIMATE

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COLLABORATING STUDY GROUPS:



SPONSOR:

Alliance Foundation Trials

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Prof. Christoph Mundhenke
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on the efficacy of durvalumab/endocrine therapy; identification of predictive biomarkers for the efficacy of durvalumab/endocrine therapy; comparison of the efficacy of the immuneattractants on the primary endpoint.

Study report:

ULTIMATE recruitment worldwide started in February 2017 (in Germany it is expected to start in Q1/2019). Overall trial duration including follow-up will be 3 years.

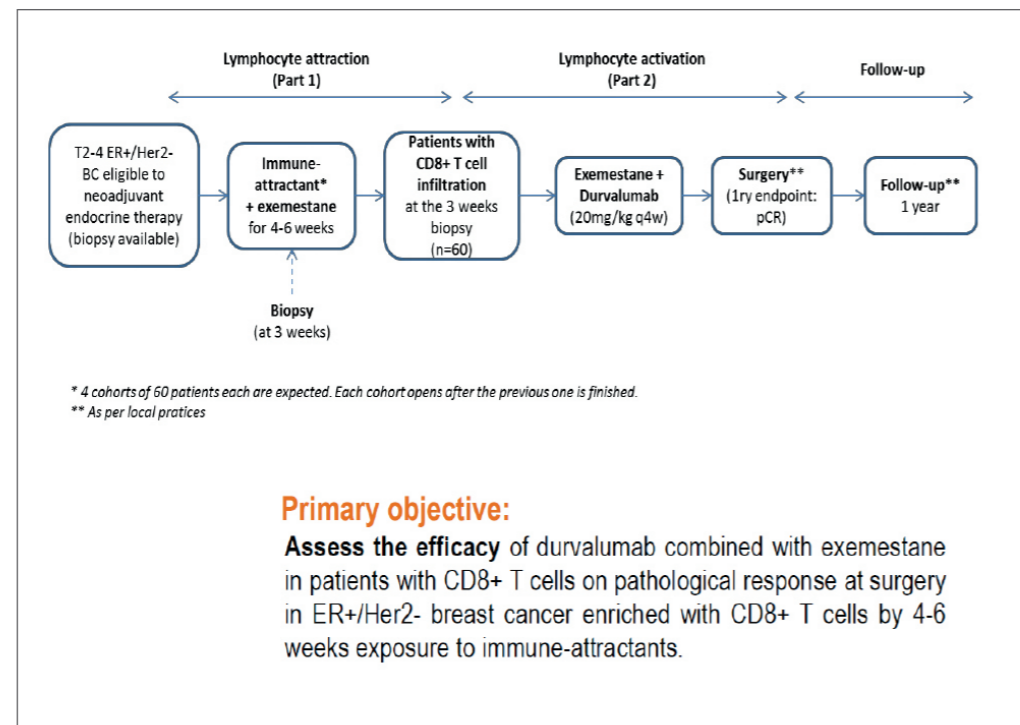


Figure 1: ULTIMATE study design

COLLABORATING STUDY GROUPS:



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INTERNATIONAL STUDY CHAIR:

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Villejuif, France

GBG 88: GeparX

Investigating denosumab as an add-on to neoadjuvant chemotherapy in RANK/L-positive or RANK/L-negative primary breast cancer and two different nab-paclitaxel schedules in a 2x2 factorial design

NCT02682693

GeparX is a multicenter, prospective, 2x2 randomized, open-label, phase IIb study that will recruit 778 patients from approximately 50 sites in Germany within 18 months.

Background

RANK ligand (RANKL), a key factor for bone remodeling and metastasis, is crucial for the development of mouse mammary glands during pregnancy. RANKL functions as a major paracrine effector of the mitogenic action of progesterone in mouse and human mammary epithelium via its receptor RANK and has a role in ovarian hormone-dependent expansion and regenerative potential of mammary stem cells. Pharmacologic inhibition of RANKL attenuates the development of mammary carcinoma and inhibits metastatic progression in multiple mouse models (Dougall WC et al. Clin Cancer Res 2012). In a retrospective analysis of 601 patients treated with anthracycline-taxane based chemotherapy from the GeparTrio study, we showed that an elevated immunohistochemical expression of RANK was present in 14.5 % of patients overall (Pfitzner BM et al. Breast Cancer Res Treat 2014). The ABCSG-18 study showed that adjuvant denosumab (a clinically available antibody against RANKL) reduces clinical fractures, improves bone health, and can be administered without added toxicity (Gnant M et al. Lancet 2015). Moreover, denosumab showed a trend in improvement of disease-free survival in postmenopausal woman with hormone receptor positive breast cancer (Gnant M et al. Cancer Res 2016). It appears therefore reasonable to test denosumab in patients with primary breast cancer as an adjunct to neoadjuvant chemotherapy for its ability to increase the pathological complete response (pCR) rate and improve outcome overall and in relation to the expression of RANK/L. Since in the GeparSepto study nab-paclitaxel led to an increased pCR rate compared to standard solvent-based paclitaxel, nab-paclitaxel has been chosen as backbone chemotherapy. Two different nab-Paclitaxel regimens will be compared.

Study design and objectives

GeparX co-primary aims are to compare the pCR (ypT0 ypN0) rates of neoadjuvant treatment with or without denosumab in addition to backbone treatment consisting of nab-paclitaxel (nP) 125 mg/m² weekly (+ carboplatin [Cb]) followed by epirubicin (E) and cyclophosphamide (C) or nP 125 mg/m² day 1,8 q22 (+ Cb) followed by EC plus anti-HER2 treatment (i.e. trastuzumab/pertuzumab in case of HER2-positive status) and to compare the pCR (ypT0, ypN0) rates of nP 125 mg/m² weekly (+ Cb) followed by EC or nP 125 mg/m² day 1,8 q22 (+ Cb) followed by EC plus anti-HER2 treatment. Cb will be administered concomitantly to nP for patients with triple-negative breast cancer (TNBC).

Secondary objectives are to test for interaction of denosumab treatment with RANK expression, to assess the pCR rates per arm in subgroups and according to RANK immunohistochemical expression (high/low), pCR rates according to other definitions, response rates of the breast tumor and axillary nodes, breast conservation rate, toxicity and compliance as well as to determine loco-regional invasive recurrence free survival, distant-disease-free survival, invasive disease-free survival, event-free survival and overall survival for all treatment arms and according to stratified subpopulations. Further secondary objectives are to compare RANK/L expression and Ki67 from baseline to surgery, to correlate response measured by best appropriate imaging method after the first two cycles of treatment with pCR, to assess mammographic density-changes induced by denosumab and to assess quality of life with a focus on persisting peripheral sensory neuropathy using the FACT-Taxane questionnaire.

In addition, GeparX offers an opportunity to address a range of translational research questions which are summarized below.

An amendment of the study protocol (approved on 27th of July 2017) included the following changes: a) implementation of a HER2-positive substudy in which patients with HER2-positive breast cancer receive trastuzumab biosimilar (ABP 980) in addition to pertuzumab throughout the main trial; after surgery the patients will change to standard Herceptin®; safety and compliance of the patients participating in the substudy will be reported descriptively in treatment arms and b) the GeparPET substudy (designed to investigate whether the presurgical staging with PET-CT in addition to conventional presurgical



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staging methods can decrease the rate of mastectomy in patients treated with neoadjuvant chemotherapy) has been stopped for futility as recommended by the IDMC based on the interim analysis performed.

Substudies

Pharmacogenetic substudy

The direct involvement of genetic markers in the metabolism and the pharmacokinetic of a drug as well as the influence of the inherited genetic trait on the molecular profile of the tumor could have an influence on an individual's prognosis. Aim of this substudy is therefore to analyze the potential association between the germline genotype of the patient and treatment response, toxicities, long term prognosis, molecular profile of the tumor and breast cancer risk.

DTC substudy

The persistence of disseminated tumor cells (DTC) in the bone marrow after adjuvant chemotherapy has been ascertained as an independent predictor for poor disease-free survival, cancer-specific and overall survival (Braun et al. N Engl J Med 2005; Janni et al. Clin Cancer Res 2011). Furthermore, denosumab inhibits osteoclastic differentiation by binding to RANK-ligand (RANKL), thereby preventing the

interaction between RANKL and its corresponding RANK-receptor (Casas et al. Breast 2013). Aim of this substudy is to investigate whether the application of denosumab in terms of an add-on neoadjuvant treatment eradicates DTCs in the bone marrow of breast cancer patients.

Substudy on urinary miRNA sampling (UMS)

Aberrant expression profiles of microRNAs (miRNAs) with subsequent functional consequences on target gene regulation in physiological and pathological pathways could already be set in clear association with breast cancer. Aim of the substudy is to evaluate a specific microRNA pattern in urine specimen as an innovative tool for subtype-specific diagnosis of breast cancer (HER2-positive vs. TNBC).

Study report

GeparX recruitment started in February 2017 [1,2]. As of 31st December 2018, there are 702 patients enrolled in the study. An interim safety analysis was performed after the first 200 patients had completed the nab-paclitaxel treatment and the results were presented at the ASCO meeting 2018 [3]. A total of 202 patients randomized to denosumab and nab-paclitaxel treatment (101 patients with weekly and 101 patients with

nab-paclitaxel d1,8 q22) were included in the interim analysis, of them 196 started treatment. Overall, median age was 50 (range 23-76) years; 38.1 % of patients were cN+ and 5.0 % had lymphocyte predominant breast cancer (LPBC). 102 patients (50.5 %) had HER2-/HR+, 82 (40.6 %) had triple-negative and 18 (8.9 %) had HER2+ breast cancer. Overall, 13.5 % of patients with and 13.1 % without denosumab discontinued nab-paclitaxel. 21.0 % of patients treated with nab-paclitaxel weekly vs 5.2 % with nab-paclitaxel d1,8 q22 discontinued nab-paclitaxel treatment mainly due to AEs. 17.0 % of patients treated with nab-paclitaxel weekly and 27.1 % with nab-paclitaxel d1,8 q22 reported high grade (grade 3-4) hematological AEs, while 22.0 % of patients treated with nab-paclitaxel weekly and 14.6 % with nab-paclitaxel d1,8 q22 had high grade non-hematological AEs. During nab-paclitaxel treatment overall, 37 SAEs (13 in the HER2-/HR+; 22 in the TNBC and 2 in the HER2+ cohorts) have occurred in a total of 28 patients. These findings demonstrated that the addition of denosumab to neoadjuvant chemotherapy did not increase toxicity. Moreover, weekly nab-paclitaxel resulted in a higher rate of treatment discontinuations mainly due to non-serious AEs, whereas the addition of carboplatin in TNBC resulted in a higher rate of SAEs. Last pa-

tient out is expected for QIII/2019 and results of the primary endpoint for QIV/2019.

Publications

1. Kümmel S, von Minckwitz G, Nekljudova V, Dan Costa S, Denkert C, Hanusch C, Huober J, Jackisch Ch, Paepke S, Blohmer J U, Untch M, Schneeweiss A, Loibl S. Investigating Denosumab as add-on neoadjuvant treatment for hormone receptor-negative, RANK-positive or RANK-negative primary breast cancer and two different nab-Paclitaxel schedules - 2x2 factorial design (GeparX). J Clin Oncol 2016; 34.15_suppl.TPS635.
2. Kümmel S, von Minckwitz G, Vladimirova V et al. Investigating Denosumab as an add-on neoadjuvant treatment for RANK/L-positive or RANK/L-negative primary breast cancer and two different nab-Paclitaxel schedules - 2x2 factorial design (GeparX). 38. Jahrestagung Deutsche Gesellschaft für Senologie 2018; poster.
3. Kümmel S, Wimberger P, von Minckwitz G et al. Investigating denosumab as an add-on neoadjuvant treatment for RANK/L-positive or RANK/L-negative primary breast cancer and two different nab-paclitaxel schedules - 2x2 factorial design (GeparX) – an interim safety analysis. J Clin Oncol 2018; 36.15_suppl.569.

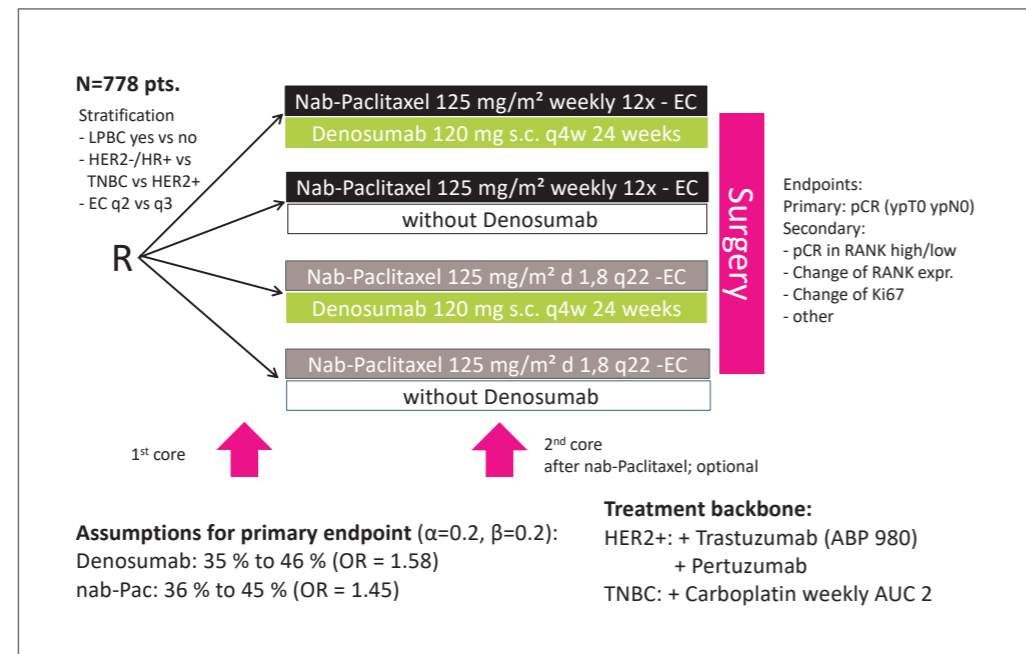


Figure 1: GeparX study design

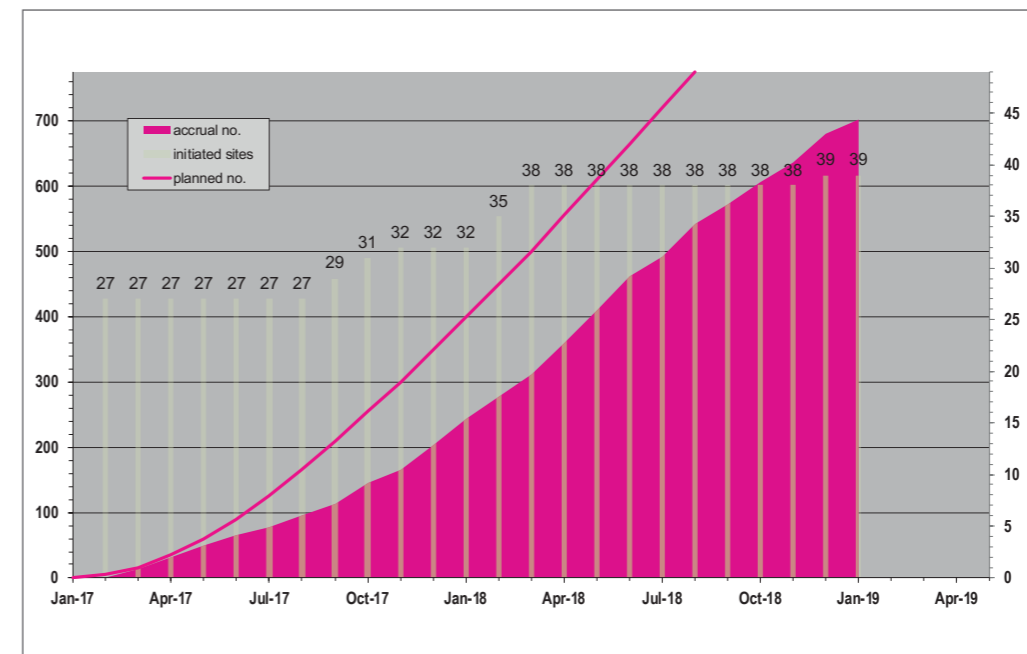


Figure 2: GeparX recruitment as of 31st December 2018

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the study by providing the remaining biomaterial in a timely manner and by entering participants in the General Follow-up.

COLLABORATING STUDY GROUPS:



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 GBG Forschungs GmbH

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GBG 87: PALLAS

PALLAS: PALbociclib CoLLaborative Adjuvant Study

A randomized phase III trial of palbociclib with standard adjuvant endocrine therapy versus standard adjuvant endocrine therapy alone for hormone receptor positive (HR+) / human epidermal growth factor receptor 2 (HER2)-negative early breast cancer

NCT02513394

PALLAS (ABCSG 42, AFT-05, BIG 14-3) is a multi-center, prospective, international, randomized, open-label, adjuvant phase III study that will recruit 4,600 patients worldwide.

Background

Although many patients with HR-positive (HR+)/HER2-negative (HER2-) breast cancer may be cured of their disease with optimal local and systemic therapy, a significant number of patients with stage II and III disease will experience disease recurrence. Adjuvant endocrine therapy for breast cancer can be extremely effective, particularly with extension beyond 5 years, however disease recurrence can occur, with risk distributed over the decades following initial diagnosis. Methods to improve the efficacy of endocrine therapy, and delay the onset of resistance, are needed.

HR+ breast cancer biologically may demonstrate features suggestive of sensitivity to CDK4/6 inhibition with agents such as palbociclib. Given the demonstrated activity and safety of palbociclib in the first-line treatment of metastatic HR+/HER2- breast cancer, supporting FDA approval, there is interest in whether the benefits of CDK4/6 inhibition may translate into the adjuvant setting. The purpose of the PALLAS study is to determine whether the addition of palbociclib to adjuvant endocrine therapy will improve outcomes over endocrine therapy alone for HR+/HER2- early breast cancer. Assessment of a variety of correlative analysis, including evaluation of the effect of palbociclib in genomically defined tumor subgroups, is planned.

Study design and objectives

PALLAS primarily aims to compare invasive disease-free survival (iDFS) for the combination of at least 5 years endocrine therapy and 2-year palbociclib treatment versus at least 5 years endocrine therapy alone in patients with histologically confirmed HR+/HER2- invasive early breast

cancer. Secondary objectives are to compare iDFS excluding second primary cancers of non-breast origin, distant recurrence-free survival (DRFS), locoregional recurrence-free survival (LRRFS), overall survival (OS) and safety between the two arms. The principal translational research objective is to compare baseline tumor tissue to determine whether there is prognostic or predictive utility for defined genomic subtypes (luminal A, luminal B and non-luminal) with respect to iDFS and OS.

Clinical science objectives are to evaluate adherence to oral therapy in patients receiving palbociclib and endocrine therapy, to determine the association of body mass index (BMI) and race with the efficacy of palbociclib and endocrine therapy. Patient reported outcomes objectives are to compare patient-reported quality of life, fatigue, arthralgia, and endocrine symptoms between the two arms overall and by subgroups defined by age at randomization (≤ 50 and > 50) and initial endocrine therapy (tamoxifen and AI) at multiple pre-specified time points. Multiple tissue- and blood-based correlative studies are scheduled throughout the course of the PALLAS trial to evaluate potential markers of response and/or resistance in patients receiving endocrine therapy with palbociclib versus endocrine therapy alone (TRANS-PALLAS). The German Breast Group acts as a local study group for Germany and is responsible for the biobanking organization of the PALLAS study.

Study report

PALLAS started recruitment worldwide in October 2015. In Germany, the study was approved by the Ethics Committee in May 2017 and the recruitment started in October 2017. Two interim efficacy analyses are planned for monitoring the futility and superiority, and are scheduled to occur when 33% and 67% of the total number of iDFS events are observed. The expected study duration is 4.8 years [1,2]. Further information about the trial (ABCSG 42/PALLAS) can be found on the ABCSG website:

(<https://www.abcsrg.org/tag/abcsrg-42/>)

Publications

1. Mayer E.L, Demichele A.M, Pfeiler G, Barry W, Metzger O, et al. PALLAS: PALbociclib CoLLaborative adjuvant study: A randomized phase 3 trial of palbociclib with standard adjuvant endocrine therapy versus standard adjuvant endocrine therapy alone for HR+/HER2- early breast cancer. *Ann Oncol* 2017;28: 215TiP.

2. Mayer E, DeMichele A, Gnant M, Barry W, Pfeiler G, et al. PALLAS: PALbociclib CoLLaborative Adjuvant Study: A randomized phase 3 trial of palbociclib with standard adjuvant endocrine therapy versus standard adjuvant endocrine therapy alone for HR+/HER2- early breast cancer. *Cancer Res* 2018; 78(4 Suppl): OT3-05-08.

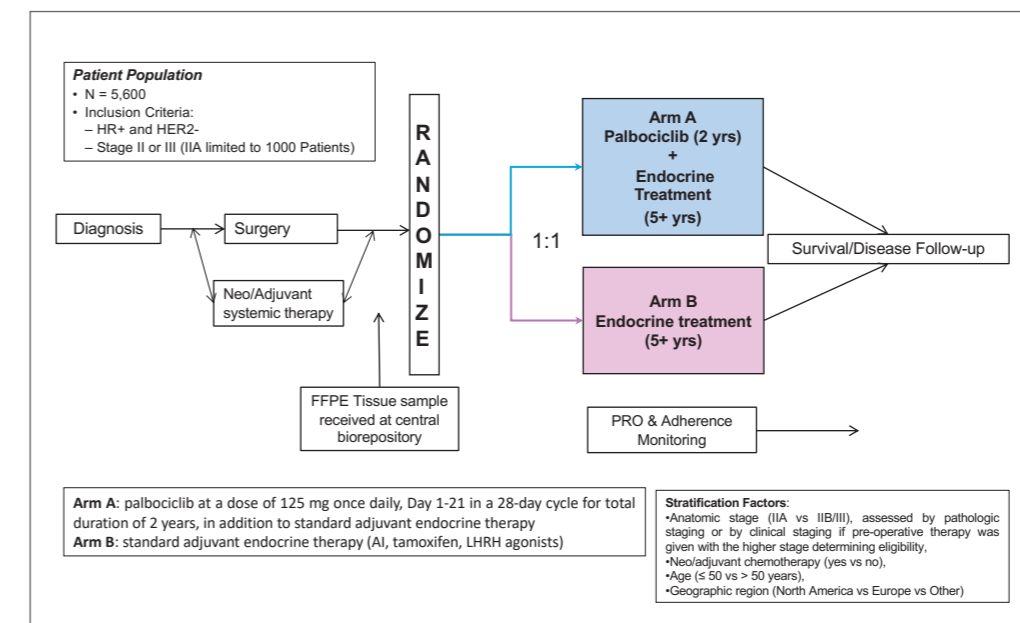


Figure 1: PALLAS study design

We are thanking all participating centers for their commitment and efforts so far. We would like to encourage all sites to continue to support the PALLAS study by recruitment of the patients and by timely provision of the biomaterial.

COLLABORATING STUDY GROUPS:



SPONSOR:

AFT (US), ABCSG (Non-US Sites)

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GBG 82: OLYMPIA

A randomized, double-blind, parallel group, placebo-controlled multi-center Phase III study to assess the efficacy and safety of olaparib versus placebo as adjuvant treatment in patients with germline *BRCA1/2* mutations and high-risk HER2-negative primary breast cancer who have completed definitive local treatment and neoadjuvant or adjuvant chemotherapy

NCT02032823

OLYMPIA (BIG 6-13, NSABP B-55, D081CC0006) is a multicenter, double-blind, parallel group, placebo-controlled, randomized phase III trial that will recruit approximately 1,800 patients from 340 sites in 24 countries within approximately 4 years.

Background

Approximately 5 % of breast cancers are associated with a *BRCA* mutation, with approximately 60 % of those being associated with the *BRCA1* gene (generally presenting with triple negative phenotype) and approximately 40 % being associated with the *BRCA2* gene (generally estrogen/progesterone positive phenotype). Mutations in either gene result in tumors that are deficient in homologous recombination. Currently, there are no approved treatments specific for germline *BRCA1/2* mutated breast cancer pa-

tients and these patients are treated according to their hormone receptor and HER2 status. Polyadenosine 5'diphosphoribase [poly (ADP ribose)] polymerisation (PARP) inhibition is a novel approach to targeting tumors with deficiencies in DNA repair mechanisms. PARP enzymes are essential for repairing DNA single strand breaks. Inhibiting PARP leads to the persistence of single strand breaks, which can then, during the process of DNA replication, be converted to the more serious DNA double strand breaks. Tumors with homologous recombination deficiencies, such as breast cancers in patients with germline *BRCA1/2* mutations, cannot accurately repair the DNA damage, leading to cancer cell death.

Olaparib is a potent PARP-1, -2 and -3 inhibitor, that is being developed as an oral therapy, for use as monotherapy (including maintenance) and for combination with chemotherapy and other anti-cancer agents. Olaparib has been shown to inhibit selected tumor cell lines in vitro and in xenograft and primary explant models as well as in genetic *BRCA* knockout models, either as a stand-alone treatment or in combination with established chemotherapies. Clinical studies have shown that olaparib monotherapy in patients with germline *BRCA* mutations offers potentially efficacious and less toxic cancer treatment compared with currently available

chemotherapy regimens (Fong et al. *N Engl J Med* 2009; Tutt et al. *Lancet* 2010; Gelmon et al. *Lancet Oncol* 2011; Kaufman et al. *J Clin Oncol* 2015). The OLYMPIA study investigates for the first time the efficacy of olaparib compared with placebo in an adjuvant/post-neoadjuvant approach in patients with germline *BRCA1/2* mutations and high-risk HER2-negative disease.

Study design and objectives

OLYMPIA primarily aims to assess the effect of adjuvant treatment with olaparib on invasive disease-free survival (IDFS). In addition, the safety and tolerability of adjuvant treatment with olaparib is a key objective. Secondary objectives are to assess the effect of adjuvant treatment with olaparib on overall survival (OS), distant disease-free survival (DDFS), the incidence of new primary cancers (contralateral invasive breast cancer, contralateral non-invasive breast cancer, ovarian cancer, fallopian tube cancer and peritoneal cancer), and patient reported outcomes (according to the FACIT- Fatigue and EORTC QLQ-C30 questionnaires). Moreover, the efficacy of olaparib will be assessed in patients identified as having a deleterious or suspected deleterious variant in either of the *BRCA* genes using variants identified with current and future germline *BRCA* mutation

assays (gene sequencing and large rearrangement analysis) and the exposure to olaparib (in plasma) will be determined.

Translational research components include the exploration of methods for estimating OS adjusting for the impact of confounding by subsequent therapies, the investigation whether resistance mechanisms to olaparib can be identified through analysis of tumor and blood sample derivatives, and the determination of the frequency and nature of *BRCA* mutation/s in tumor samples and comparison with germline *BRCA* mutation status. With an amendment of the study protocol, patients with HER2-negative/HR-positive disease are allowed to take part in the study.

Study report

OLYMPIA started recruitment in September 2014. As of 31st December 2018, a total of 1,679 patients worldwide (179 patients in Germany) have been enrolled in the study. An interim analysis for superiority is planned after 165 iDFS events have been observed from the first 660 patients recruited, which is estimated for approximately 4.5 years after randomization of the first patient. The end of the study (i.e. last visit of the last patient randomized) is estimated for 2028.

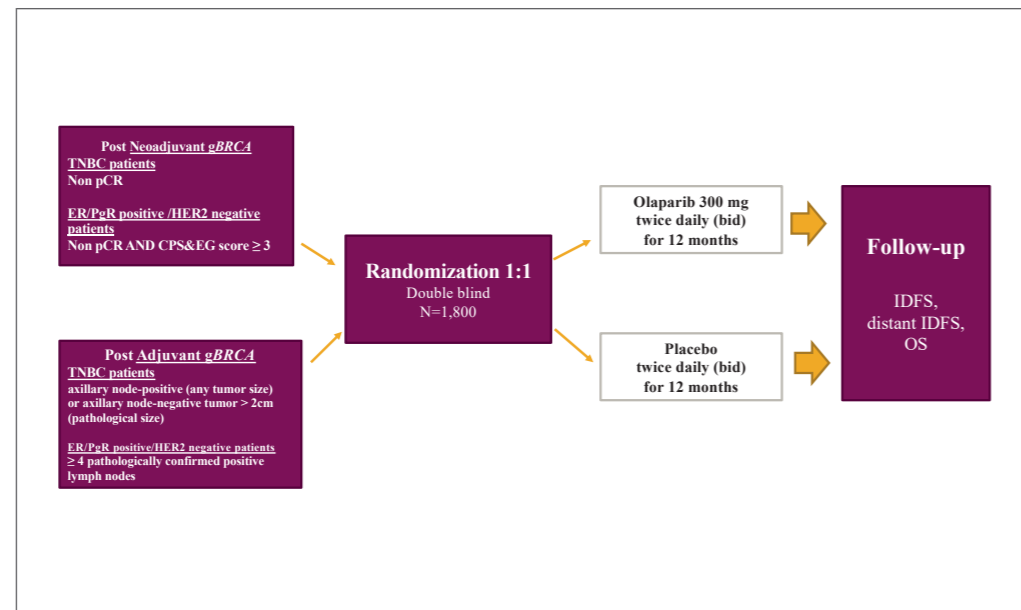


Figure 1: OLYMPIA study design

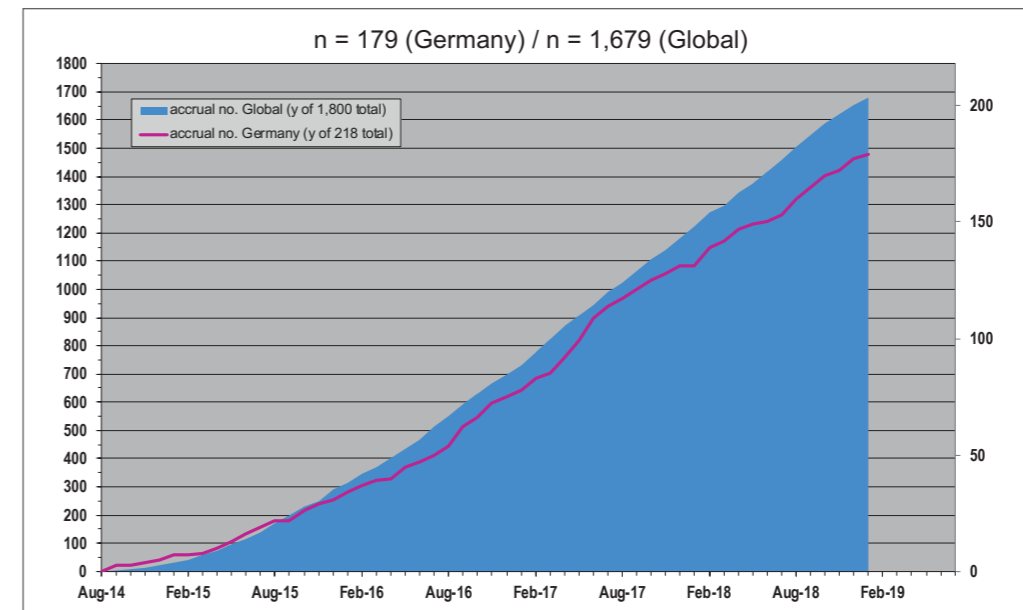


Figure 2: OLYMPIA recruitment as of 31st December 2018

We are thanking all participating centers for their commitment and efforts so far. We would like to encourage all sites to continue to support the OLYMPIA study by recruitment of patients and the timely provision of biomaterial.

COLLABORATING STUDY GROUPS:



SPONSOR:



INTERNATIONAL PRINCIPAL INVESTIGATOR:
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 King's College,
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STUDY CHAIR GERMANY:
 Prof. Dr. Elmar Stickeler
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GBG 29: Breast Cancer in Pregnancy (BCP)

Prospective and retrospective registry study of the German Breast Group (GBG) for diagnosis and treatment of breast cancer in pregnancy compared to young non-pregnant women

NCT00196833

BCP (BIG 03-02) is a long time retrospective/prospective multicenter, international registry that will recruit pregnant breast cancer patients and non-pregnant young women.

Background

Breast cancer in pregnancy is regarded as a rare coincidence. However, about 7 % of the women diagnosed with breast cancer are younger than 40 years with a small increase in the incidence in the last years (Eisemann et al. Geburtsh Frauenheilk 2013; De Santis et al. CA Cancer J Clin 2011). The median age of first pregnancy in Germany is 30 years (according to the federal statistical office). Since the incidence of breast cancer under the age of 40 is rising and women tend to delay pregnancy into later reproductive years the coincidence of pregnancy and breast cancer is increasing. Little is known about the incidence of breast cancer in pregnancy in Germany and Western Europe.

Therefore, in 2003 the German Breast Group launched a registry which was extended throughout Europe and worldwide (Breast International Group), to systematically investigate breast cancer during pregnancy and to increase the evidence for treatment options.

With an amendment of the original study protocol, it is now possible to also include a non-pregnant control cohort of women diagnosed with breast cancer at or below the age of 40 years. Those can be matched to the pregnant breast cancer patients as controls treated in everyday clinical practice.

All patients with histologically confirmed breast cancer who are pregnant, as well as patients of 40 years or younger with histologically confirmed breast cancer who are not pregnant and have given informed consent for data collection and biomaterial collection can be entered into the registry. Retrospective participants can be entered without an informed consent as long as the data are captured anonymously.

Study objectives

The BCP study primarily aims to assess the fetal outcome 4 weeks after delivery. Secondary endpoints will include maternal outcome of pregnancy, tumor stage at presentation and

biological characteristics, breast cancer therapy, type of surgery, mode of delivery (vaginal vs. caesarean), outcome of the new-born 5 years after diagnosis, and outcome of breast cancer 5 years after diagnosis.

In addition, the registry allows investigation of translational research questions, using tumor specimen as well as placenta tissue from patients with breast cancer during pregnancy.

Study report

As of 31st December 2018, a total of 1,914 patients have been registered, 1,554 in Germany (512 pregnant and 1042 non pregnant women). A first analysis of registry data looking at fetal health for up to 4 weeks after delivery included 447 patients and showed that although infants exposed to chemotherapy in utero had a lower birth weight and reported more complications compared to their unexposed counterparts, these differences were not clinically significant. Since none of the infants was exposed to chemotherapy in the first trimester, the differences were most likely related to premature delivery. This led to the conclusion that preterm birth was strongly associated with adverse events and a full-term delivery seems to be of paramount importance. Moreover, a delay of cancer treatment did not significantly affect disease-free survival for mothers with early breast cancer [1].

In a combined analysis of our data with data from the Cancer in Pregnancy registry, Leuven, Belgium, we were able to demonstrate that overall survival was similar for patients diagnosed with breast cancer during pregnancy compared with non-pregnant patients. This information is important for counseling patients and supports the option to start treatment with continuation of pregnancy [2].

We were also able to demonstrate that neoadjuvant chemotherapy for patients with breast cancer during pregnancy results in the same pCR rate if given during pregnancy or after delivery and as in non-pregnant controls. Disease free and overall survival was not different between the three cohorts [3].

The general recommendation derived from the registry data so far is that patients with breast cancer during pregnancy can and should be treated as closely as possible according to patients diagnosed outside pregnancy. Up-to-date guidelines on breast cancer during pregnancy, which also incorporated outcomes from

the BCP registry, have been published in 2015 [4]. Little is known about the impact of pregnancy on breast cancer biology at the genomic level. It is believed that breast cancer during pregnancy is biologically not different from breast cancer diagnosed outside pregnancy [5]. Current translational research project aims to compare the mutational pattern as well as the gene expression profile between pregnant and non-pregnant patients with breast cancer. In our preliminary results we showed that overall the mutational landscape does not seem to be different between pregnant patients enrolled in BCP study and no-pregnant controls obtained from The Cancer Genome Atlas (TCGA) database [6,7]. Further analyses using other datasets are currently conducted.

Data from the BCP registry including oncological management, toxicity and survival of young non-pregnant patients with breast cancer diagnosed at the age of 40 years or younger has recently been presented. From February 2014 until June 2018, 969 non-pregnant patients \leq 40 years have been registered. The median age at diagnosis was 35 years (range 19-40). Overall, 90.1 % of patients had a stage T1-2 at diagnosis and 67.1 % of patients had negative lymph nodes; 86.7 % of tumors were invasive ductal carcinomas and 4.1 % lobular carcinomas. Grade (G) 3 was reported in 55.5 %; 26.6 % of tumors were luminal A-like (ER- and/or PgR-positive, HER2-negative, G1-2), 40.0 % luminal B-like (ER- and/or PgR-positive, HER2-negative, G3 or ER- and/or PgR-positive, HER2-positive, any G), 7.7 % HER2 positive non-luminal-like, and 25.7 % triple negative breast cancers. 3.8 % of young non-pregnant patients had metastatic disease at primary diagnosis [8].

Publications

- Loibl S, Han SN, von Minckwitz G, et al. Treatment of breast cancer during pregnancy: an observational study. *Lancet Oncol* 2012; 13(9):887-96.
- Amant F, von Minckwitz G, Han SN, et al. Prognosis of women with primary breast cancer diagnosed during pregnancy: results from an international collaborative study. *J Clin Oncol* 2013; 31(20):2532-9.
- Loibl S, Han S, Mayer K, et al. Neoadjuvant chemotherapy for patients with breast cancer during pregnancy (BCP). *J Clin Oncol* 2014; 32:5s (suppl; abstr 1071).

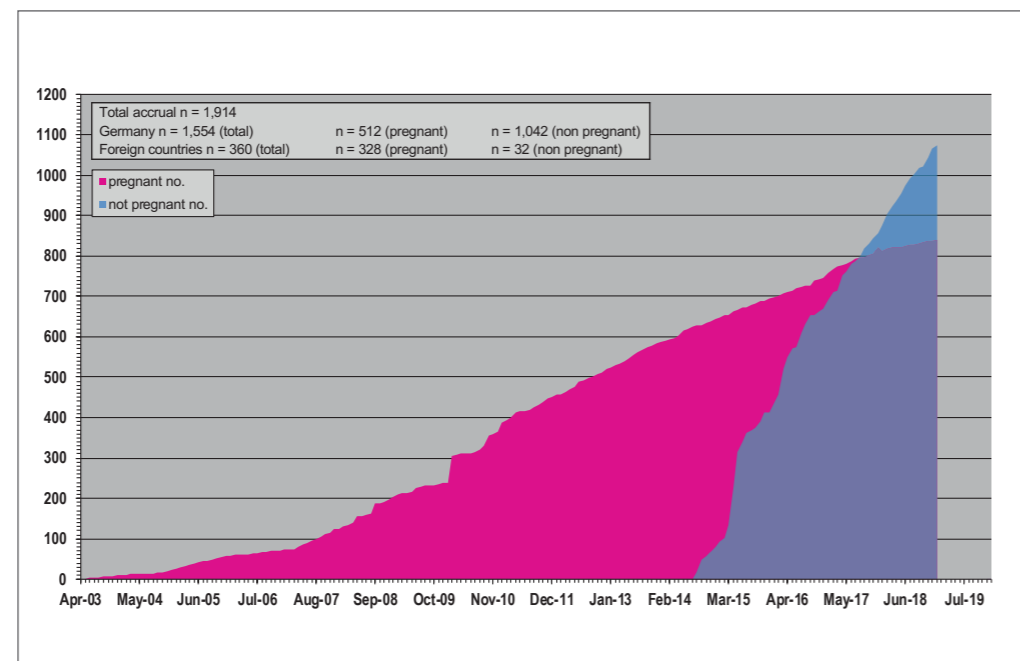


Figure 1: BCP recruitment as of 31st December 2018

COLLABORATING
STUDY GROUPS:

SPONSOR:

The project was initially supported by the BANSS-Foundation and German Cancer Consortium (DKTK)

STUDY CHAIR:

Prof. Dr. Sibylle Loibl
German Breast Group,
Neu-Isenburg

4. Loibl S, Schmidt A, Gentilini O, et al. Breast Cancer Diagnosed During Pregnancy: Adapting Recent Advances in Breast Cancer Care for Pregnant Patients. *JAMA Oncol* 2015; 1(8):1145-53.
5. Loibl S, Han SN, Amant F. Being Pregnant and Diagnosed with Breast Cancer. *Breast Care (Basel)*. 2012; 7(3):204-209.
6. Loibl S, Pfarr N, Weber K, et al. Comparison of the mutational landscape of breast cancer during pregnancy and non-pregnant controls. *Ann Oncol* 2017; 28(suppl_1):Abstract nr 10P.
7. Loibl S, Pfarr N, Weber K, et al. Comparison of the mutational landscape of breast cancer during pregnancy and non-pregnant controls. *Cancer Res* 2017;77(4 Suppl):Abstract nr P2-03-09.
8. Seiler S, Schmatloch S, Reinisch M et al. Cancer Management and Outcome of very young non-pregnant patients with breast cancer diagnosed at 40 years or younger – GBG 29. *SABCS 2018*; Abstract nr P1-17-07.

Thanks to all participating sites and practices that have entered their patients into the registry and have contributed to this important research so far. We would kindly like to remind all study centers to provide biomaterial which is urgently needed to answer translational research questions. More information and CRF forms are available on the GBG website: <http://www.germanbreastgroup.de/de/studien/bcp.php>

GBG 79: Brain Metastases in Breast Cancer (BMBC)

BMBC (Brain Metastases in Breast Cancer) is a long time retrospective and prospective multicenter registry designed to collect tumor characteristics of the primary and metastatic tumor as well as treatment data from patients diagnosed with brain metastases of breast cancer treated in German hospitals.

Background

Brain metastases of breast cancer reduce quality of life and prognosis in breast cancer patients. Their incidence has increased during the last years (Frisk et al. *Br J Cancer* 2012). 10-40% of patients with metastatic breast cancer will develop brain metastases during the course of disease depending on the biological subtype of the primary tumor. The prognosis for patients with brain metastases is generally poor. Good performance status and a limited number of brain metastases are factors that can prolong survival (Ogawa et al. *J Neurooncol* 2008). Therapeutic approaches in treating metastases of the central nervous system include surgery, radiotherapy, and systemic chemotherapy and the combination of these options.

Due to the analysis of small and heterogeneous patient cohorts, risk factors for the development of brain metastases and the impact of early detection of brain metastases have been analyzed insufficiently. Improvement of treatment strategies are required as the number of brain metastases will increase over the next years due to the better control of visceral disease. A multidisciplinary approach with rapid integration of new treatment strategies is required for the treatment of patients developing brain metastases, aiming to prolong survival, preserve neurologic function and improve quality of life. The BMBC registry was initiated to include patients with brain metastases and a history of breast cancer that were diagnosed for brain metastases since the year 2000. Registration of patient data is allowed prospectively after obtaining an informed consent. Retrospective participants can be entered without an informed consent if the patient is not able to sign the informed consent and as long as the data are anonymously captured.

The registry study is performed in collaboration with Prof. Dr. Volkmar Müller, Priv. Doz. Dr. Isabell Witzel, and Dr. Elena Laakmann from the Universitätsklinikum Hamburg-Eppendorf.

Study objectives

The BMBC registry aims to collect data to deter-

mine the incidence of brain metastases, the number and size of brain metastases, location, histopathological characteristics of the primary tumor and brain metastases, sensitivity of diagnostic tools (cranial computed tomography (CT) and magnetic resonance imaging (MRI)), performance status, prognosis, quality of life, and the influence of treatment strategies on prognosis and neurological function. In addition, the registry allows investigation of translational research questions, using tumor specimen of the primary and metastatic tumor.

Planned analyses include treatment patterns in Germany, patient outcome, as well as validation of prognostic scoring systems in a multicenter setting and in the context of new targeted therapies. Planned translational research projects include the impact of glycosylation, resistance mechanisms against HER2-targeted therapies, the role of the blood brain barrier, evaluation of markers of radioresistance and specific genomic alterations associated with brain tropism of breast cancer cells.

Study report

The study was opened for documentation in April 2014 with more than 50 participating centers. As of 31st of December 2018, 2,501 patients have been registered and 355 tissue samples have been received. Registration of patients is ongoing.

First analysis of treatment patterns and clinical outcome in 1,105 breast cancer patients with brain metastases (BM) from the BMBC registry has confirmed our previous findings [1,2] and has shown that HER2-positive patients had the longest median survival with 12.1 months (95% CI, 10.2–13.7) compared to 5.5 months (95% CI, 4.1–7.1) for luminal primary tumors and 4.1 months (95% CI, 3.1–4.8) for triple-negative patients ($p < 0.001$) [3]. Median overall survival (OS) of patients with BM diagnosed between 2000 and 2006 was 7.2 months (95% CI, 5.3–9.6) compared to 6.7 months (95% CI, 5.5–8.1) of patients diagnosed between 2007 and 2012 ($p=0.848$). However, we could not observe improvement of survival over the study period and the time intervals in any tumor subtype. Hence, larger patient cohorts are needed to detect the survival difference.

A subproject has retrospectively analyzed clinical data, CT and MRI scans obtained from 300 breast cancer patients with BM in 4 centers participating in the BMBC registry in Germany [4]. Patients with hormone receptor (HR)-positive or HER2-positive status had a significantly lower number

BMBC

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of BM at diagnosis. HER2-positive patients treated with trastuzumab before the diagnosis of BM demonstrated a lower incidence of intracranial metastases. Patients with HER2-positive breast cancer had a higher number of cerebellar metastases compared to HER2-negative patients, whereas patients with triple-negative breast cancer developed more often leptomeningeal disease. These findings suggest a different tumor cell homing to different brain regions depending on molecular subtype and treatment.

An update of the first clinical data of patients participating in the BMBC registry has recently been published [5]. A total of 1,712 breast cancer patients who developed BMs between January 2000 and December 2016 at 80 institutions in Germany were retrospectively analyzed. Median age at diagnosis of BMs was 56 years (22-90 years). About 47.8 % (n=732) of patients had HER2-positive, 21.4 % (n=328) had triple-negative and 30.8 % (n=471) had HR-positive, HER2-negative (luminal-like) primary tumors. The proportion of patients with HER2-positive BMs decreased when the years 2000-2009 are compared with 2010-2015 (51 % vs 44 %), whereas the percentage of patients with luminal-like tumors increased (28 % vs 34 %), (p=0.033). Patients with BMs in the posterior fossa were more often HER2-positive (53.8 %) compared to those with triple-negative (20.7 %) or luminal-like primary breast cancer (25.5 %), (p<0.0001). Median overall survival (OS) after development of BMs for the entire cohort was 7.4 months (95 % CI 6.7-8.0 months). One-year survival rate was 37.7 % (95 % CI, 35.2-40.1). Patients with HER2-positive tumors had the longest median OS of 11.6 months (95 % CI, 10.0-13.4) compared with 5.9 months (95 % CI, 5.0-7.2) for patients with luminal-like and 4.6 months (95 % CI 3.9-5.4) for patients with triple-negative tumors. Patients with HER2-positive tumors who received anti-HER2 treatment had longer median OS than those without (17.1 vs 7.2 months, p<0.0001). Thus, the analysis of this large cohort of breast cancer patients with BMs demonstrated that the subtype of the primary tumor can influence the location of BMs and has a high impact on prognosis. In addition, a validation of the prognostic value of the disease-specific breast-graded prognostic assessment (breast-GPA) score in breast cancer patients with BM from the BMBC registry has been presented at the SABCS 2018. The breast-GPA score included age, Karnofsky performance score and tumor subtype of breast cancer patients (Sperduto et al. Int J Radiation Oncology Biol

Phys 2012). The breast-GPA score has been further demonstrated to better identify patients with a bad prognosis (Laakmann E, et al. J Cancer Res Clin Oncol 2016) as compared with other prognostic indices which were developed to stratify patients with BM in groups according to their outcome. Therefore, this analysis aimed to validate the breast-GPA in breast cancer patients with BMs from the BMBC registry. A total of 613 patients were categorized into 4 groups according to the breast-GPA scores: 0-1: 11.9 % (n=73), 1.5-2: 22.3 % (n=137), 2.5-3: 47.8 % (n=293), and 3.5-4: 18 % (n=110). Median overall survival (OS) within the breast-GPA subgroups varied between 2.4 (95 % CI 2.0-3.4); 4.8 (95 % CI 3.6-6.9); 9.2 (95 % CI 7.2-11.3) and 12.3 (95 % CI 8.9-18.0) months, respectively and was significantly shorter compared to the OS published by Sperduto et al. [6].

Publications

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4. Laakmann E, Witzel I, Scriba V et al. Radiological Patterns of Brain Metastases in Breast Cancer Patients: A Subproject of the German Brain Metastases in Breast Cancer (BMBC) Registry. Int J Mol Sci. 2016 23;17(10).
5. Witzel I, Laakmann E, Weide R, et al. Treatment and outcomes of patients in the Brain Metastases in Breast Cancer Network Registry. Eur J Cancer. 2018; 102:1-9.
6. Witzel I, Riecke K, Laakmann E et al. Validation of different prognostic scores in breast cancer patients with brain metastases of the BMBC registry (GBG-79). SABCS 2018; Abstract P4-08-26.

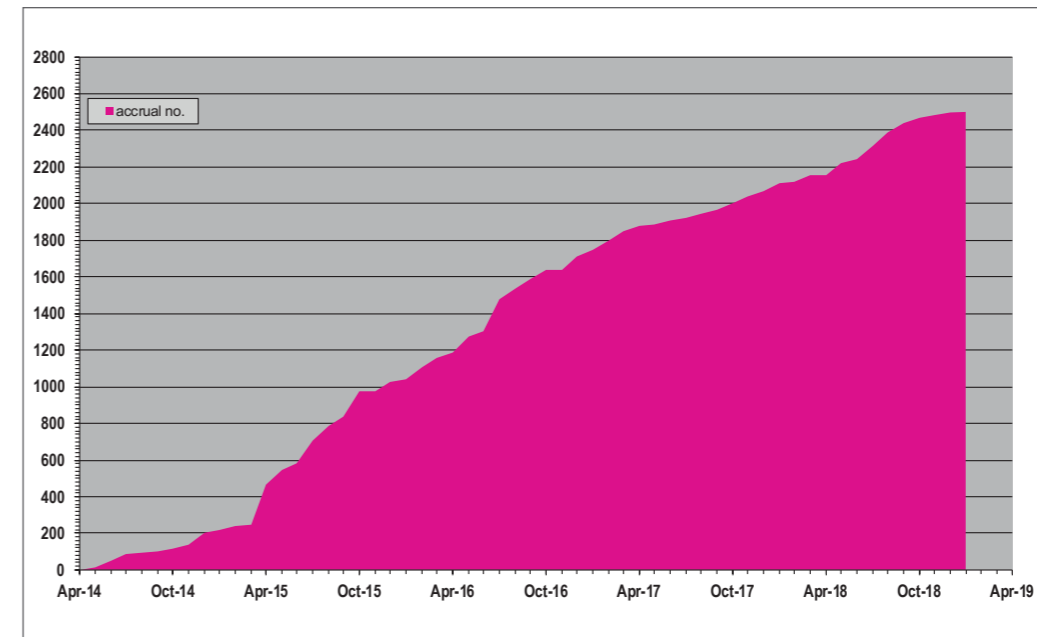
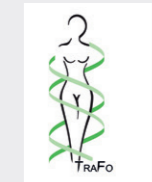


Figure 1: BMBC recruitment as of 31st December 2018

We encourage all study centers and practices to enter eligible patients into the registry. We thank all participating sites that have entered their patients into the registry and have contributed to this important research so far. We would like to kindly remind all sites to provide biomaterial which is urgently needed to answer translational research questions.

COLLABORATING STUDY GROUPS:



SPONSOR: GBG Forschungs GmbH

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GBG 86: DESIREE

A multicenter, randomized, double-blind, phase II study to evaluate the tolerability of an induction dose escalation of everolimus in patients with metastatic breast cancer

NCT02387099

DESIREE is a multicenter, double-blind, randomized phase II trial that will recruit 156 patients from 60 sites in Germany within approximately 24 months.

Background

The BOLERO-2 study demonstrated an enormous benefit for patients who received everolimus in addition to exemestane and who progressed during/after a non steroidal aromatase inhibitor (NSAI) (Baselga N Engl J Med 2012), which led to approval of everolimus in this indication. However, experience from routine

use has shown a high rate of intolerability of this innovative treatment approach especially during the first 12 weeks of treatment. Most common side effect is mucositis/stomatitis which is considered the leading cause for treatment discontinuation not related to tumor progression. This outside clinical trial experience is contrary to findings from BOLERO-2, where the number of patients still taking full-dose (10 mg) of everolimus at 4, 8, and 12 weeks is 77.8 %, 75.6 %, and 75.6 %, respectively. These findings are in concordance with non-interventional studies.

In the non-responder part (setting III) of the neoadjuvant GeparQuinto study, everolimus was given as salvage treatment in combination with paclitaxel for patients without response to 4 cycles epirubicin/cyclophosphamide +/- bevacizumab. A dose-escalation schema was successfully used to improve tolerability of everolimus together with the cytotoxic agents

(von Minckwitz Ann Oncol 2011; von Minckwitz Ann Oncol 2014).

The palliative DESIREE study compares the cumulative rate of mucositis/stomatitis grade 2-4 (WHO's oral toxicity scale (OTS) at 12 weeks after start of treatment using a conventional and a dose-escalating schema of everolimus in combination with exemestane in patients with metastatic breast cancer and progression or relapse after non-steroidal aromatase-inhibitor treatment.

Study design and objectives

DESIREE primarily aims to assess the cumulative rate of mucositis/stomatitis grade 2-4 (OTS) at 12 weeks after start of treatment using a conventional and a dose-escalating schema of everolimus in combination with exemestane. Secondary objectives are: the cumulative rate of mucositis/stomatitis grade 2-4 (OTS), cumulative

rate of mucositis/stomatitis grade 1 and any grade (OTS) at 12 and 24 weeks after start of treatment, rate of patients on 10 mg daily at 12 weeks and 24 weeks, clinical benefit rate at 24, safety with regard to other organ signs and symptoms, time to grade ≥ 2 mucositis/stomatitis, cumulative dose at 4 weeks, relative dose intensity for everolimus and quality of life using the FACT-B questionnaire and the QSDQ. Potential biomarkers predicting safety and compliance will be determined after completion of study treatment.

Study report

DESIREE started recruitment in June 2015. As of 31st December 2018, a total of 105 patients have been included. The end of the study (i.e. last visit of the last patient randomized) was initially estimated for October 2017, but due to the very slow accrual it was recently extended to QIV/2019.

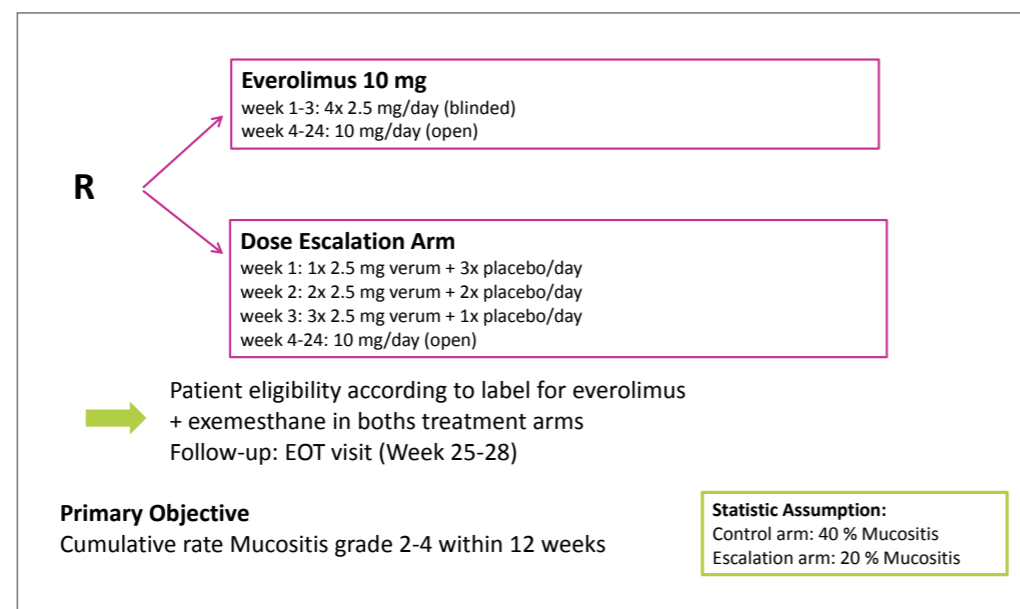


Figure 1: DESIREE study design

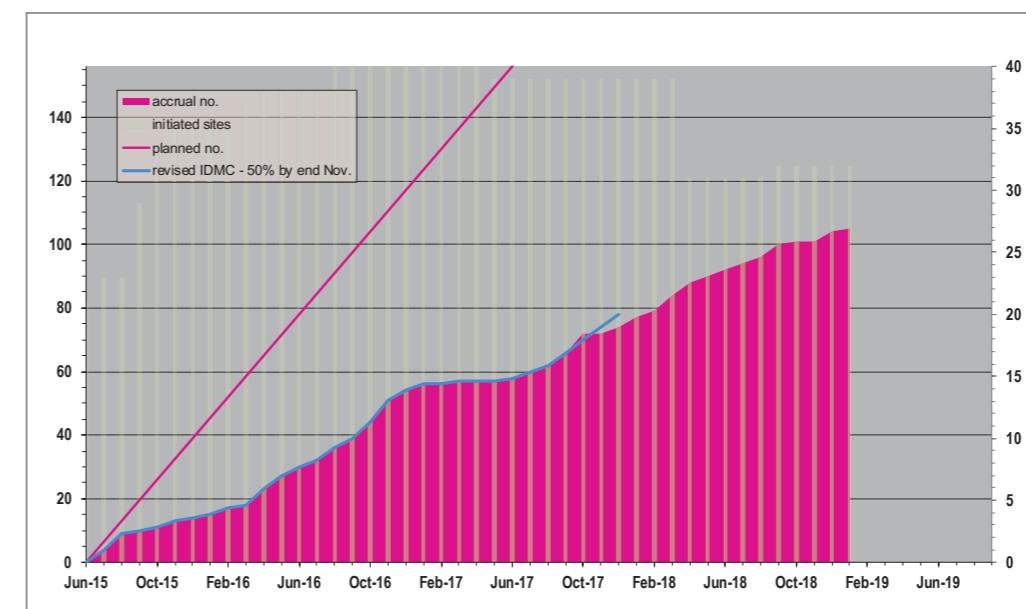


Figure 2: DESIREE recruitment as of 31st December 2018

We are thanking all participating centers for their commitment and efforts so far. We would like to encourage all sites to continue to support the DESIREE study by recruitment of patients and provision of biomaterial in a timely manner.

**COLLABORATING
STUDY GROUPS:**



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GBG 75: INSEMA

Comparison of axillary sentinel lymph node biopsy versus no axillary surgery in patients with early-stage invasive breast cancer and breast-conserving surgery: a randomized prospective surgical trial

NCT02466737

INSEMA is a prospective, multicenter, randomized trial that will recruit 5,940 patients from approximately 150 sites in Germany and approximately 20 sites in Austria.

Background

Although there is no doubt that the presence of lymph node metastases worsens prognosis of a patient, there is a lack of unambiguous evidence to support lymph node dissection. Axillary surgery for breast cancer is now considered as a staging procedure that does not seem to influence breast cancer mortality, since the risk of developing metastases depends mainly on the biological behavior of the primary tumor (seed-and-soil model).

Women with breast cancer have benefitted greatly from a series of carefully conducted randomized controlled trials focusing on axillary surgery. Each successive trial showed that less surgery was better, as outcomes were the same and less surgical intervention resulted in fewer surgical complications (Fisher et al. N Engl J Med 2002; Rudenstam et al. J Clin Oncol 2006; Martelli et al. Ann Surg 2005; Veronesi et al. Ann Oncol 2005; Giuliano et al. Ann Surg 2010; Giuliano et al. JAMA 2011).

A high rate of loco-regional control could be achieved with multimodality therapy, even without axillary lymph node dissection (ALND). Despite increasing evidence disfavoring ALND, it remains part of widely recognized guidelines for breast cancer treatment. The modern approach in breast cancer care, which includes improved imaging, more detailed pathological evaluation, improved planning of surgical and radiation therapy, and more effective systemic treatment, emphasizes the need for ongoing re-evaluation of "standard" local therapy. The postsurgical therapy should be considered on the basis of

biologic tumor characteristics rather than nodal involvement.

Study design and objectives

INSEMA is a prospective randomized surgical trial. Patients are randomized into two treatment arms in an 1:4 allocation for the first randomization and in an 1:1 allocation for the second randomization. Duration of recruitment is 4 years. The aim of the trial is to compare the invasive disease-free survival after breast-conserving surgery between patients who received no axillary surgery vs. patients who received sentinel lymph node biopsy (SLNB) and between node positive patients who received SLNB alone vs. patients with completion of ALND. Secondary objectives of the study are to compare the invasive disease-free survival after breast-conserving surgery between patients with no axillary surgery vs. node negative patients, between patients with no axillary surgery vs. node positive patients who received SLNB alone, and between patients with no axillary surgery vs. node positive patients with completion of ALND.

Furthermore, the study allows comparison of overall survival, locoregional disease-free survival (no tumor in the ipsilateral breast or ipsilateral supraclavicular, subclavicular, internal mammary or axillary nodes), ipsilateral axillary recurrence rate, distant disease-free survival, and quality-of-life between arms as well as the event-free survival in subgroups according to age (< 65 vs. ≥ 65 years), grading G1/2 vs. G3), tumor size (≤ 2 vs. > 2 cm), and study site (German vs. Austrian sites in randomization 2). INSEMA also has an attached translational program including biobanking of tumor tissue and serum samples. One translational objective is to determine the value of Memorial Sloan-Kettering Cancer Center nomograms in predicting involved sentinel nodes and positive non-sentinel nodes after positive SLNB.

An amendment of the study protocol (version 15.09.2016) included the following changes: a) within the inclusion criteria: patients with age at diagnosis ≥ 18 years can be enrolled in the study; histological confirmation of the unilateral primary invasive carcinoma of the breast can be

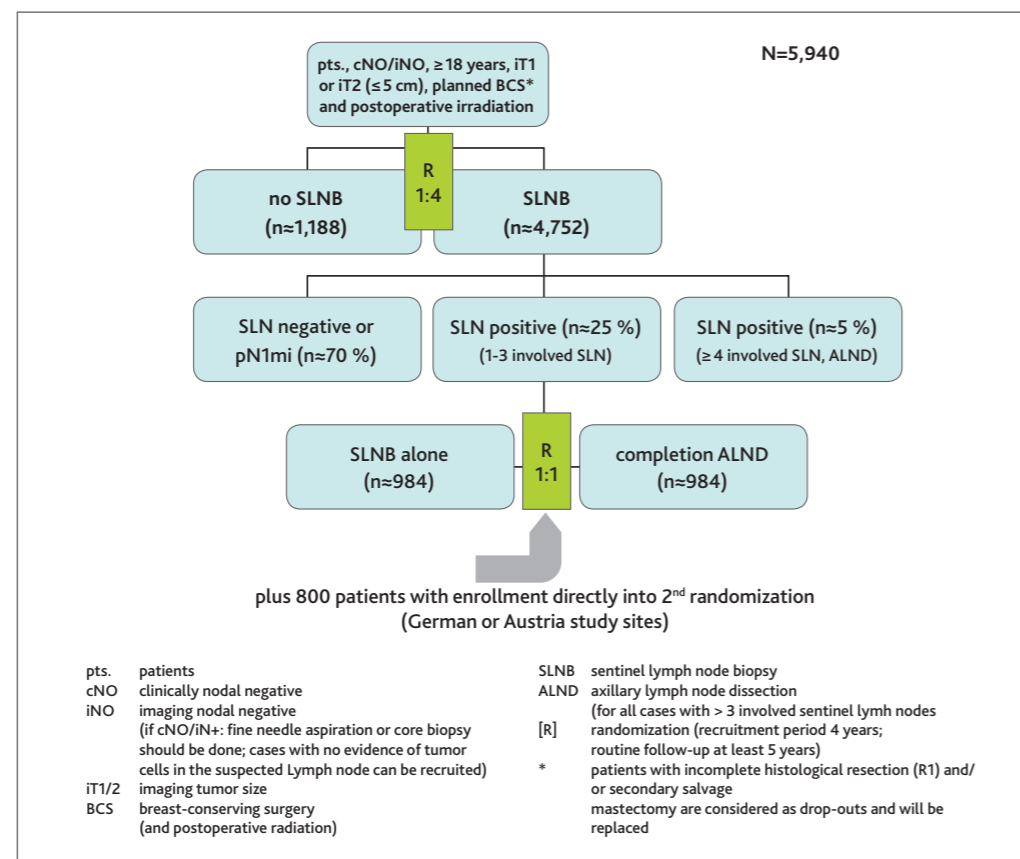


Figure 1: INSEMA study design after amendment 4

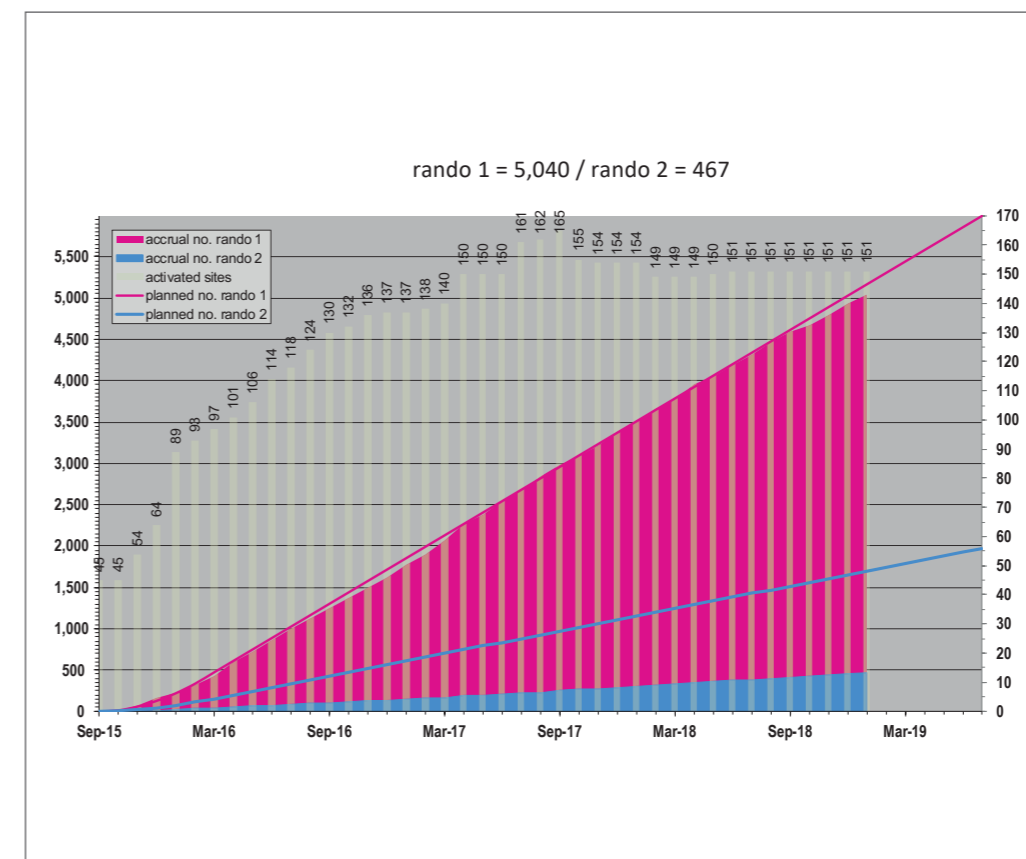


Figure 2: INSEMA recruitment as of 31st December 2018

COLLABORATING STUDY GROUPS:



SPONSOR:

University of Rostock

STUDY CHAIR:

Prof. Dr. Toralf Reimer
Universitätsfrauenklinik
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Südstadt Rostock

also done by open biopsy; multifocal or multicentric tumors are allowed if breast-conserving surgery is planned; patients with SLNB and pN+ (sn) (1-3 macrometastases, stage pN1a) will undergo a second randomization to either SNLB alone or completion ALND; patients with ≥ 4 metastatic SLN should undergo completion ALND; b) within the exclusion criteria: patients with history of malignancy within the last 5 years as well as pregnant or lactating patients are excluded from the study; c) adaptation of the postoperative radiotherapy: patients with \geq pN2a (≥ 4 involved axillary lymph node metastases) should receive regional nodal irradiation and d) changes in the randomization 2: patients from the German study sites can be also enrolled directly in the randomization 2.

Study report

INSEMA recruitment started in September 2015 and is planned for 48 months at 120-150 German and 15-20 Austrian sites. As of 31st December 2018, a total of 5,040 patients from 151 recruiting centres have been enrolled in the first randomization and 467 patients in the second randomization (Figure 2). After a 5 year follow-up, final analysis is planned for 2024.

The first analysis of patient's characteristics was recently published [2]. Of the 1,001 breast carcinomas analyzed, 96.9 % were hormone receptor positive, 8.5 % were HER2-positive and only 5.4 % of all cases were tumor grade 3 (G3). Pathological analysis of 751 SLNBs showed that 83.0 % (n=623) of patients had negative nodal status (pN0), 2.8 % (n=21) micrometastasis (pN1mi), 12.9 % (n=97) 1-2 macrometastases and 1.3 % (n=10) ≥ 3 macrometastases. The case

rate of 85.8 % without demonstrable axillary lymph node macrometastasis was significantly above the 70 % predicted at protocolling.

The second randomization recruited slower than expected due to the following main reasons: 1) as outlined above, the 12.9 % rate of one or two macrometastases after SLNB in the INSEMA study population was lower than expected; 2) around 20 % of patients refused the second randomization; 3) there was a slower than expected accrual at the Austrian centers, which only recruited for the second randomization.

Lack of knowledge of nodal status when SLNB is avoided represents a new challenge for the postoperative tumor board. In particular decisions on chemotherapy for luminal-like tumors and irradiation of the lymphatics (excluding axilla) must be guided by tumor biological parameters [2,3].

Publications:

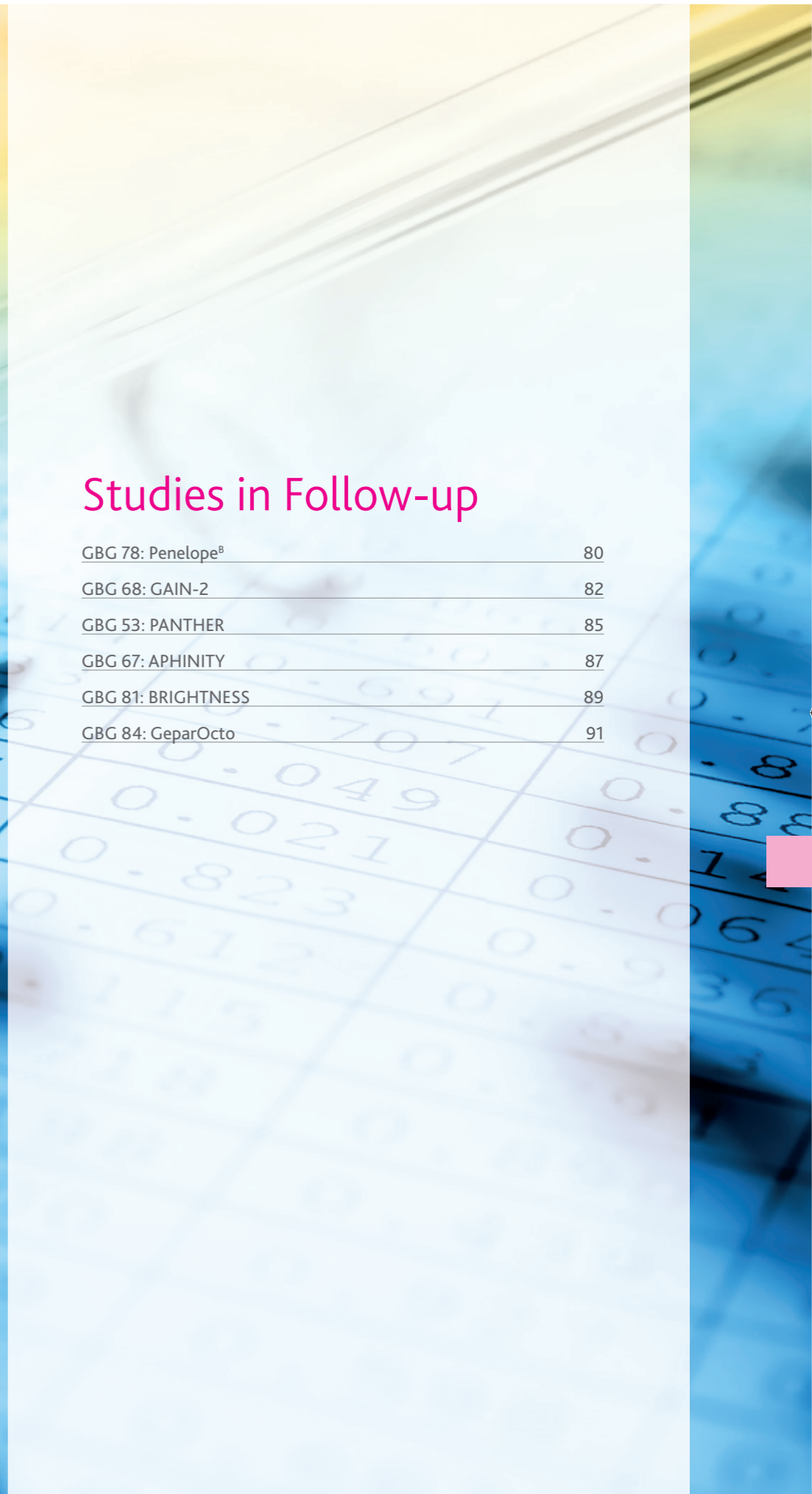
1. Reimer T, von Minckwitz G, Loibl S et al. Comparison of axillary sentinel lymph node biopsy versus no axillary surgery in patients with early-stage invasive breast cancer and breast-conserving surgery: a randomized prospective surgical trial. The Intergroup-Sentinel-Mamma (INSEMA)-Trial. *Cancer Res* 2017;77(4 Suppl): OT2-04-02.
2. Reimer T, Stachs A, Nekljudova V, et al. First Results Following Commencement of the Intergroup-Sentinel-Mamma (INSEMA) Trial. *Geburtshilfe Frauenheilkd.* 2017;77(2):149-157.
3. Reimer T. Update INSEMA-Studie. 38. Jahrestagung Deutsche Gesellschaft für Senologie 2018; oral presentation.

We are thanking all participating centers for their commitment and efforts so far. We would kindly like to encourage all sites to continue to support the INSEMA study by recruitment of patients and the timely provision of biomaterial.



Studies in Follow-up

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GBG 78: Penelope^B

Phase III study evaluating palbociclib (PD-0332991), a Cyclin-Dependent Kinase (CDK) 4/6 Inhibitor in patients with hormone-receptor-positive, HER2-normal primary breast cancer with high relapse risk after neoadjuvant chemotherapy

NCT01864746

PENELOPE^B is a prospective, international, multicenter, randomized, double-blind, placebo-controlled, post-neoadjuvant phase III study that has recruited 1,250 patients from approximately 300 sites in 11 countries.

Background

About one third of hormone receptor (HR)-positive, HER2-normal breast cancer patients with residual disease after neoadjuvant chemotherapy have a substantial risk of relapse (von Minckwitz et al. J Clin Oncol 2012). Those patients can be identified using the validated clinical-pathologic stage-estrogen/grade (CPS-EG) scoring system (Figure 1A), ranging from 0-6 (Jeruss et al. J Clin Oncol 2008; Mittendorf et al. J Clin Oncol 2011).

Palbociclib is an oral, highly selective inhibitor of CDK4/6 kinase activity that prevents cellular DNA synthesis by inhibiting cell cycle progression (Finn et al. Breast Cancer Res 2009). Luminal tumors have shown sensitivity to palbociclib. In a phase II study, palbociclib extended progression free survival in combination with letrozole as first-line hormonal treatment for advanced breast cancer (Finn et al. Cancer Res

2012). Palbociclib has also shown single agent activity in patients with relapsed HR-positive advanced breast cancer (DeMichele et al. Cancer Res 2011). Based on the recent results from the PALOMA-2 (Finn et al. N Engl J Med 2016) and PALOMA-3 trials (Turner et al. N Engl J Med 2015), palbociclib was approved by European Medicines Agency (EMA) for the treatment of HR-positive, HER2-negative advanced or metastatic breast cancer in combination with an aromatase inhibitor as initial endocrine-based therapy in postmenopausal women or in combination with fulvestrant in pretreated patients.

In the Penelope^B study we aim to demonstrate that one year of post-neoadjuvant treatment with palbociclib, in addition to standard anti-hormonal therapy, provides a superior invasive disease free survival and an acceptable safety profile compared to placebo in women with HR-positive, HER2-normal early breast cancer who did not obtain a pathological complete response after taxane-containing neoadjuvant chemotherapy and are at high risk of relapse (CPS-EG score ≥ 3 or 2 if ypN+) (Marmé et al. Eur J Cancer 2015).

Study design and objectives

Penelope^B primarily aims to compare invasive disease-free survival (iDFS) between the two treatment arms.

In addition, iDFS excluding second non-breast cancers, overall, distant disease-free and local recurrence-free survival, iDFS per treatment

group in patients with luminal-B tumors, compliance and safety, patient reported outcomes (quality of life), health economics, drug-drug interaction-potential for the palbociclib – endocrine combination therapy (in a subset of this patient population) as well as correlations between drug exposure and efficacy and safety findings will be analyzed. The study includes post- as well as premenopausal women and allows the use of different endocrine therapies. Furthermore, the Penelope^B study will also address translational research questions, such as the role of biomarkers involved in the CDK4/6 pathway.

Based on the outcome of the first efficacy interim analysis (April 2017), the Independent

Data Monitoring Committee (IDMC) has recommended to adapt the patient's number of the trial to a total of 1,250 patients.

Study report

Penelope^B started recruitment with the first randomized patient in Germany in February 2014. The first international patient was randomized in Spain in October 2014. The recruitment was closed in December 2017. An efficacy interim analysis will be performed in QII/ 2019. Final analysis on the primary endpoint and secondary efficacy endpoints (except for OS) will be conducted when 290 iDFS events have been observed, which is estimated to occur about 6.5 years after first patient in.

We are thanking all participating centers for their commitment and efforts so far. We would like to encourage all sites to continue to support the PenelopeB study by timely provision of the biomaterial and the documentation of the patients.

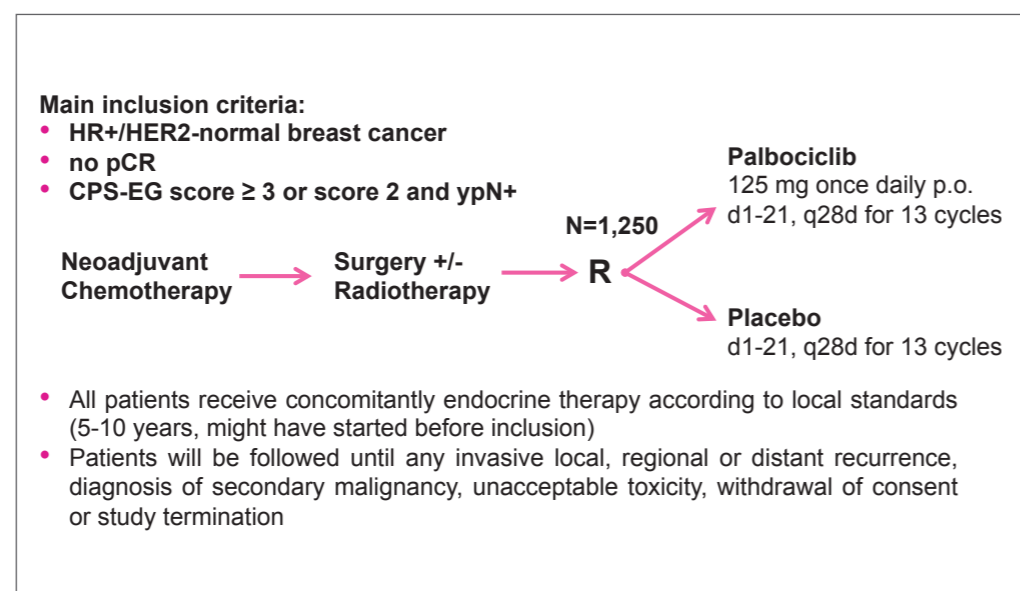


Figure 1: Penelope^B study design

COLLABORATING STUDY GROUPS:



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GBG 68: GAIN-2

Neo-/adjuvant phase III trial to compare intense dose-dense chemotherapy to tailored dose dense chemotherapy in patients with high-risk early breast cancer

NCT01690702

GAIN-2 is a neo-/adjuvant, prospective, multi-center, randomized, open-label phase III trial that will recruit 2,887 patients from 136 sites in Germany.

Background

Combined chemotherapy regimens always require compromises regarding the doses of each drug and the treatment intervals due to acute and cumulative toxicities. The sequential administration of monotherapies, however, allows the administration of high doses of single substances and dose-dense intervals. Such intense, dose-dense chemotherapy regimens have shown to improve the survival in early breast cancer patients with high risk of recurrence when compared to conventional dosed chemotherapy (Möbus et al. J Clin Oncol 2010; Citron et al. J Clin Oncol 2003). However, both of these dose-dense regimens tested so far used solvent-based taxanes (paclitaxel and docetaxel) and nowadays outdated comparators.

Nab-paclitaxel, the nanoparticle albumin-bound form of paclitaxel, has shown a better toxicity profile and higher efficacy compared to solvent-based taxanes and might thus be preferred in an intense dose-dense regimen.

It is long known from the NSABP-B18 trial and others that neoadjuvant chemotherapy is as effective as adjuvant chemotherapy in preventing recurrences (Wolmark et al. J Natl Cancer Inst Monogr 2001).

The hypothesis studied by GAIN-2 is that in patients with early node-positive or high-risk node-negative breast cancer, a pre-defined, intense, dose-dense, regimen (EnPC – epirubicin followed by nab-paclitaxel followed by cyclophosphamide) is more effective compared with a dose-dense regimen, where single doses are adjusted depending on individual hematological and non-hematological toxicities (dtEC-dtD - dose-dense, dose-tailored epirubicin and cyclophosphamide followed by dose-dense, dose-tailored docetaxel).

The maximum dose of nab-paclitaxel in this setting has been explored in a run-in phase included in the study design. It has been shown that patients can safely be treated with a biweekly dos-

age of 330 mg/m² nab-paclitaxel (Möbus et al. J Clin Oncol 2013) which is now used for the main phase of the study.

Study design and objectives

GAIN-2 primarily aimed to compare invasive disease-free survival after neo-/adjuvant chemotherapy with EnPC or dtEC-dtD in patients with primary node-positive or high risk node negative breast cancer. In addition, overall, distant disease-free, locoregional relapse-free, local relapse-free, regional relapse-free and brain metastasis-free survival, compliance and safety, side-effects of taxanes, pCR rate in patients treated with neoadjuvant therapy and treatment effects by intrinsic subtypes, number of involved nodes and Ki-67 are compared between the two treatment arms. Breast conservation rate between adjuvant and neoadjuvant patients as well as the survival endpoints by pCR will be also assessed.

In addition, GAIN-2 offers the opportunity to address a range of translational research questions, which are summarized below.

An amendment of the study protocol (effective as of 1st August 2016) allowed treatment of patients with the same regimens in the neoadjuvant setting. All neoadjuvant patients with HER2-positive disease received trastuzumab and optional pertuzumab at doses and duration in concordance with current treatment guidelines.

Substudies

Substudy subcutaneous trastuzumab

In addition to the main protocol, 226 HER2-positive patients of the GAIN-2 trial were randomized to receive further trastuzumab subcutaneously (s.c.) instead of intravenously (i.v.) after completion of the chemotherapy according to current guidelines. The patients were randomized between trastuzumab application into thigh or abdominal wall and the preference of the patients is determined. In addition, pharmacokinetic measurements were performed in 36 patients (18 per group).

Substudy biology of lymph node metastases

The substudy on biology of lymph node metastases examines primary tumors and corresponding axillary lymph nodes for biologically relevant factors involved in lymphogenic and distant tumor cell spread. Written informed consent and the availability of primary tumor and axillary lymph node tissue are crucial for this translational substudy.

Substudy on SNP (Single Nucleotide Polymorphisms)

This observational substudy aims to associate the germline genotype of the patient with the treatment response, long term prognosis and the molecular profile of the tumors in both randomization arms.

Substudy on ovarian function

To define the risk of premature ovarian failure and loss of fertility with modern regimens, the hormone levels of estradiol, Follicle-Stimulating Hormone (FSH) and Anti-Müllerian Hormone (AMH) in addition to antral follicle counts measured by ultrasound are assessed.

Study report

Between October 2012 and July 2017, a total of 2,887 patients have been enrolled in the main study (2,289 in the adjuvant setting and 598 in the neoadjuvant setting from 136 recruiting sites in Germany) [1,2]. The trastuzumab substudy has enrolled 226 patients between November 2013 and August 2017. Pharmacokinetic analysis of a s.c. injection of trastuzumab into the thigh or into the abdominal wall in patients with HER2-positive primary breast cancer (BC) treated within the neo-/adjuvant GAIN-2 study showed that bio-availability of s.c. trastuzumab as reflected by peak and total exposure measured in cycle 7 was

approximately 30 % higher if the antibody was administered into the thigh; no increased toxicity was observed. Study limitations were that no cross-over design was used and the number of patients who satisfied criteria for per-protocol-set was different in the arms [3]. The first results of the ovarian substudy were presented at the SABCS 2017 [4]. The pooled analysis of 740 breast cancer patients aged ≤45 years treated with anthracycline or taxane-based chemotherapy (CT) within the GeparSixto, GeparSepto, GENEVIEVE and Gain-2 trials showed that nearly 70 % of women regained premenopausal hormone levels of FSH and E2 within 2 years after the end of CT. Despite that, less than one third of the women maintain their fertility potential as predicted by AMH, indicating that AMH is a very sensitive marker for the prediction of fertility function after CT for early breast cancer. Preliminary results of the pCR rates within the breast (ypT0/is ypN0+) for the neoadjuvant setting have been presented at the ASCO 2018. The pCR rate for patients treated with iddEnPC were statistically significantly higher compared to those receiving dtEC-dtD as neoadjuvant chemotherapy (53.3 % [95 %CI 52.4 %-64.0 %] vs 49.7 % [95 %CI 43.8 %-55.5 %]; p=0.043). No significant difference for pCR was found within the breast cancer subtypes [5].

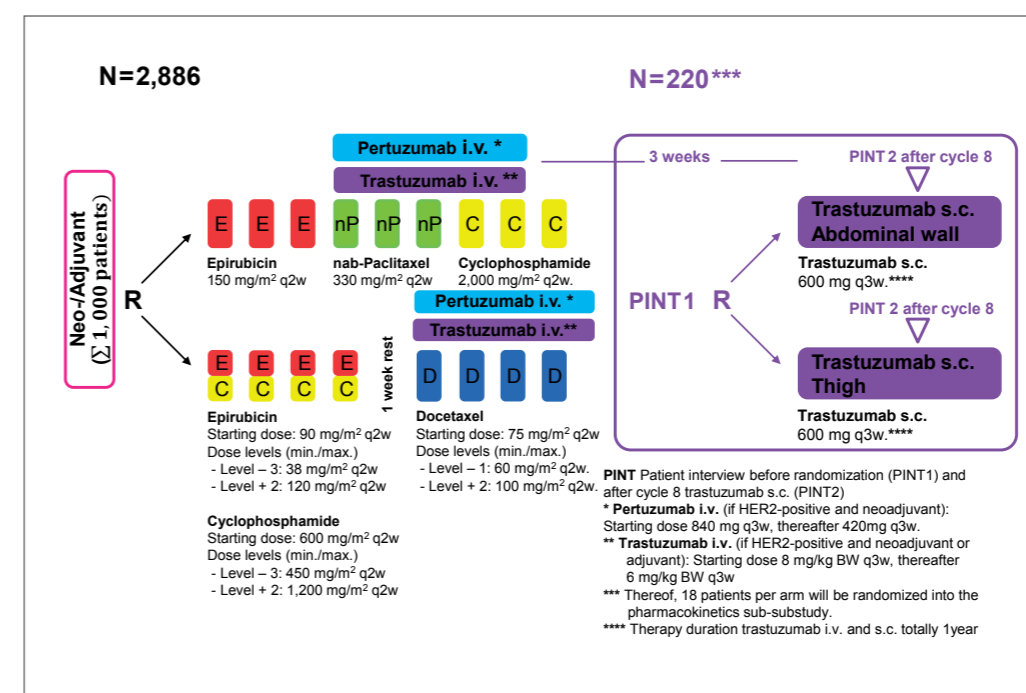


Figure 1: Study design of the GAIN-2 main study and the subcutaneous trastuzumab substudy

COLLABORATING STUDY GROUPS:



SPONSOR:
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STUDY CHAIR:
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Frankfurt am Main

Publications

1. Noeding S, Forstbauer H, Wachsmann G, et al. GAIN2: Adjuvant phase III trial comparing an intensified dose-dense adjuvant therapy with EnPC compared to a dose-dense, dose-adapted therapy with dtEC dtDocetaxel in patients with primary breast cancer and a high risk of recurrence. *Ann Oncol* 2014, 25 (suppl_4): iv90.
2. Möbus V, Lück H-J, Forstbauer H, et al. GAIN-2: Adjuvant Phase III Trial to Compare Intense dose-dense (idd) Treatment with EnPC to Tailored dose-dense (dt) Therapy with dtEC-dtD for Patients with high-risk Early Breast Cancer: Results of the Second Safety Interim Analyses. *Cancer Res* 2016;76(4 Suppl): Abstract nr P1-13-05.
3. Möbus V, Mahlberg R, Janni W, et al. Pharmacokinetic results of a subcutaneous injection of trastuzumab into the thigh versus into the abdominal wall in patients with HER2 positive primary breast cancer (BC) treated within the neo-/adjuvant GAIN-2 study. *Cancer Res* 2018;78(4 Suppl):Abstract nr P5-20-09.
4. Furlanetto J, Thode C, Huober J, et al. Changes in hormone levels (E2, FSH, AMH) and fertility of young women treated with neoadjuvant chemotherapy (CT) for early breast cancer (EBC) [abstract]. *Cancer Res* 2018;78(4 Suppl):Abstract nr PD7-09.
5. Moebus V, Noeding S, Ladda E, et al. Neo-/adjuvant phase III trial to compare intense dose-dense (idd) treatment with EnPC to tailored dose-dense (dt) therapy with dtEC-dtD for patients with high-risk early breast cancer: results on pathological complete response (pCR) for patients treated within the neoadjuvant setting. *J Clin Oncol* 2018; 36.15_suppl.568.

We are thanking all participating centers for their commitment and efforts so far. We would like to encourage all sites to continue to support the GAIN-2 study by transferring participants to the General Follow-up and to the self-reported outcome registry. We also encourage all participating sites to support the various substudies by providing biomaterials in a timely manner.

GBG 53: PANTHER

A randomized phase III study comparing biweekly and tailored epirubicin plus cyclophosphamide followed by biweekly tailored docetaxel versus three weekly epirubicin plus cyclophosphamide, 5-fluorouracil followed by docetaxel in lymph node positive or high risk lymph node negative breast cancer patients

NCT00798070

PANTHER is an adjuvant, open-label, prospective, randomized phase III trial that has recruited 2,017 patients, including 772 from Germany.

Background

In the adjuvant setting, a number of trials have demonstrated that the addition of a taxane to chemotherapy regimen leads to a survival gain (Henderson et al. *J Clin Oncol* 2003; Martin et al. *N Engl J Med* 2005; Roché et al. *J Clin Oncol* 2006), however, relapse risk remains significant especially in lymph-node positive disease. Therefore, various dose and schedule strategies have been investigated. Dose-dense and sequential designs have been shown to improve clinical outcomes (Citron *J Clin Oncol* 2003; Möbus et al. *J Clin Oncol* 2010). Long term results confirmed that the sequential use of do-

cetaxel compared with concurrent doxorubicin-docetaxel resulted in a better disease free survival and significantly better overall survival (Oakman et al. *Ann Oncol* 2013). The dose-dense and tailored epirubicin/cyclophosphamide followed by docetaxel regimen was found to produce manageable feasibility, with an acceptable incidence of grade 4 infection/febrile neutropenia (Margolin et al. *Acta Oncol* 2011). All patients received granulocyte colony stimulating factor (G-CSF) support and prophylactic ciprofloxacin. G-CSF has the capacity to reduce the depth and duration of granulocytopenia and to reduce the risk of granulocytopenic fever and infection and its use is mandatory in dose-dense regimen. PANTHER aimed to investigate efficacy of a dose-dense and dose-adapted sequence of epirubicin/cyclophosphamide and docetaxel in the adjuvant setting in a cohort of lymph node positive or high-risk lymph node negative patients compared to a standard anthracycline/taxane containing regimen.

Study design and objectives

After surgery, patients were randomized to receive either dose-dense, dose-adapted epirubicin/cyclophosphamide for 14 days followed by 14 days of dose-dense, dose-adapted doce-



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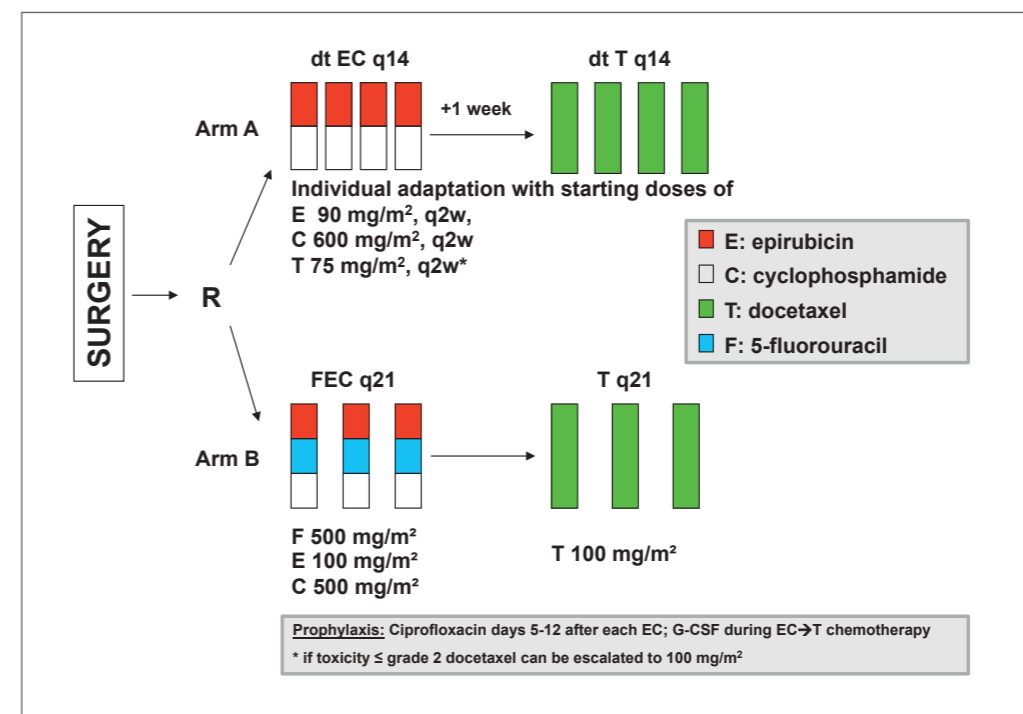


Figure 1: PANTHER study design

COLLABORATING STUDY GROUPS:



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STUDY CHAIR GERMANY:

Prof. Dr. Gunter von Minckwitz
German Breast Group,
Neu-Isenburg

taxel or 5-fluorouracil, epirubicin and cyclophosphamide for 21 days followed by 21 days of docetaxel (Figure 1).

PANTHER primarily aimed to compare breast cancer relapse-free survival (BCRFS) between treatment arms. A total of 225 events (overall in the two arms) were therefore needed to detect a five-year breast cancer relapse-free survival difference of 0.710 to 0.790. Secondary objectives of the study are to compare distant disease free survival, event-free survival overall survival, health related quality of life and toxicity and outcome in relation to tumor biological factors and polymorphism patterns between the two treatment arms. Moreover, dose intensity and side effects were analyzed.

Study report

PANTHER trial recruited a total of 2017 patients between February 2007 and September 2011 from 86 sites in Sweden, Germany and Austria. Estimated study completion date is January 2022. The final analysis of the primary endpoint included 2000 patients (1001 in the tailored dose-dense group and 999 in the control group). After a median follow-up time of 5.3 years (interquartile range (IQR), 4.5-6.1 years), 269 BCRFS events were reported, 118 in the tailored dose-dense group and 151 in the control group (HR 0.79 [95 % CI, 0.61-1.01]; log-rank $p=0.06$; 5-year BCRFS, 88.7 % vs 85.0 %). Thus, the use of tailored dose-dense chemotherapy compared with standard adjuvant chemotherapy did not result in a statistically significant improvement in BCRFS. The EFS was significantly better in tailored dose-dense group than in the control group (HR 0.79 [95 % CI, 0.63-0.99]; $p=0.04$; 5-year EFS, 86.7 % vs 82.1 %). The groups did not differ in OS (HR 0.77 [95 % CI, 0.57-1.05]; $p=0.09$; 5-year OS, 92.1 % vs 90.2 %) or DDFS (HR, 0.83 [95 % CI, 0.64-1.08]; $p=0.17$; 5-year DDFS, 89.4 % vs 86.7 %). High-

grade non-hematological toxicities were more frequent in the tailored dose-dense group (52.6 %) as compared to control group (36.6 %) [1].

An exploratory analysis of tailored dosing in the PANTHER trial demonstrated that obese patients (BMI ≥ 30) treated with tailored dose-dense chemotherapy had improved BCRFS compared to non-obese ones (BMI < 30) (HR=0.51 [95 % CI 0.30-0.89]; $p=0.02$). Furthermore, the tailored dose-dense chemotherapy was associated with improved BCRFS compared to standard anthracycline/taxane containing treatment only in obese patients (HR=0.49 [95 %CI 0.26-0.90]; $p=0.022$, test for interaction $p=0.175$). There were no differences in terms of toxicity between the BMI groups. Thus, the use of tailored dose-dense regimen as adjuvant chemotherapy is a feasible strategy that can potentially improve outcomes in obese patients without increasing toxicity and should be pursued in further clinical studies [2,3].

Publications

1. Foukakis T, von Minckwitz G, Bengtsson NO, et al. Effect of Tailored Dose-Dense Chemotherapy vs Standard 3-Weekly Adjuvant Chemotherapy on Recurrence-Free Survival Among Women With High-Risk Early Breast Cancer: A Randomized Clinical Trial. JAMA 2016; 316(18):1888-1896.
2. Matikas A, Foukakis T, Moebus V et al. Dose tailoring of breast cancer adjuvant chemotherapy aiming at avoiding both over and undertreatment: Results from the prospective PANTHER study. J Clin Oncol 2018; 36:15_suppl.538.
3. Matikas A, Foukakis T, Moebus V, et al. Dose tailoring of adjuvant chemotherapy for breast cancer based on hematologic toxicities: Further results from the prospective PANTHER study with focus on obese patients. Ann Oncol. 2018 Oct 24. [Epub ahead of print].

We would like to thank all participating sites for their efforts so far. Sites are highly encouraged to transfer their patients to the self-reported outcome registry so that long term relapse and survival data can be obtained in a timely manner.

GBG 67: APHINITY

A randomized multicenter, double-blind, placebo-controlled comparison of chemotherapy plus trastuzumab plus placebo versus chemotherapy plus trastuzumab plus pertuzumab as adjuvant therapy in patients with operable HER2-positive primary breast cancer

NCT01358877

APHINITY is an adjuvant, prospective, two-arm, randomized, multicenter, international, double-blind, placebo-controlled phase III trial that has recruited 4810 patients, including 459 from Germany.

Background

Approximately 20 % of breast cancer patients have HER2-positive tumors which are associated with a poorer prognosis if untreated (Slamon et al. Science 1987). Numerous studies have shown that adjuvant use of the anti-HER2 humanized monoclonal antibody trastuzumab improves disease-free and overall survival (Joensuu et al. J Clin Oncol 2009; Slamon et al. N Engl J Med 2011; Gianni et al. Lancet Oncol 2011; Perez et al. J Clin Oncol 2011). However, not all patients treated with this agent benefit from this therapy and resistance is a challenge in the treatment of

HER2-positive breast cancer (Wong et al. Int J Breast Cancer 2012). Pertuzumab is a humanized monoclonal antibody that is designed to inhibit HER2-dimerization and induce antibody-dependent cell-mediated cytotoxicity with a complementary mechanism of action to trastuzumab. In HER2-positive advanced breast cancer the combination trastuzumab and pertuzumab was shown to be active in patients who previously progressed on trastuzumab (Baselga et al. J Clin Oncol 2010; Portera et al. Clin Cancer Res 2008). In the neoadjuvant setting, trastuzumab and pertuzumab in combination with chemotherapy nearly doubled the pathological complete response rate compared to either trastuzumab or pertuzumab administered in combination with chemotherapy (45.8 % vs 29 % vs 24 %, respectively) (Gianni et al. Lancet Oncol 2012). Therefore, the potential for a more comprehensive HER2 blockade with two anti-HER2 monoclonal antibodies warrants further investigation in the adjuvant setting.

APHINITY aims to investigate safety and efficacy of a combination therapy with two anti-HER2 agents (trastuzumab and pertuzumab) in addition to chemotherapy in the adjuvant setting, compared to chemotherapy and trastuzumab alone.

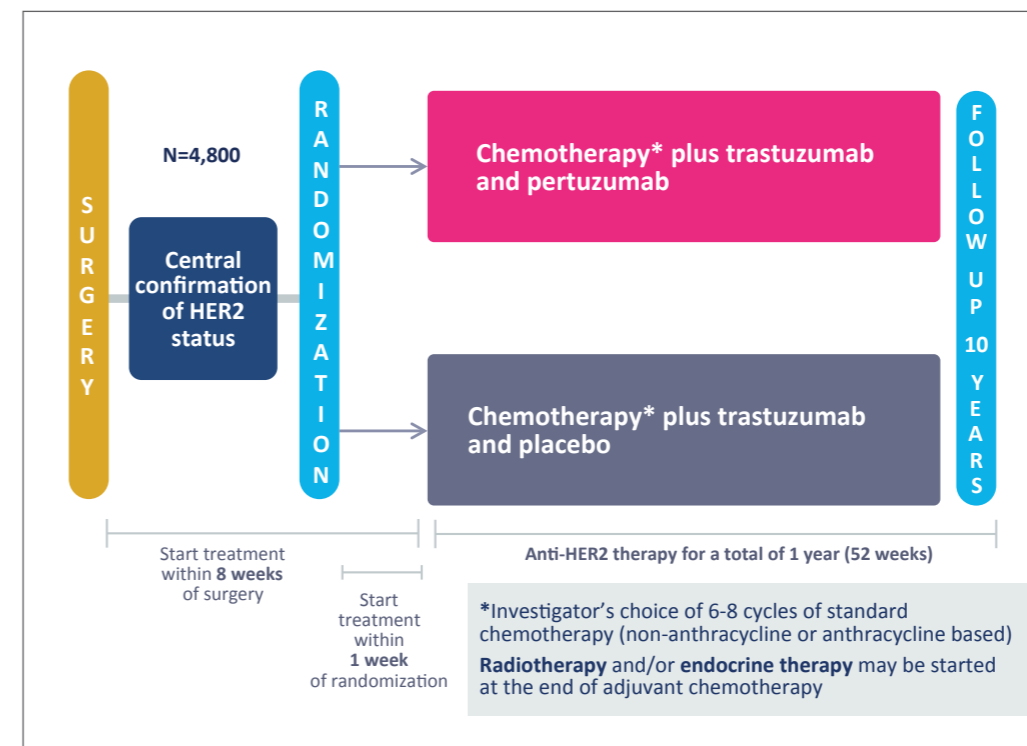


Figure 1: APHINITY study design



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Study design and objectives

After surgery, patients were randomized to receive either pertuzumab (840 mg loading dose in cycle 1, followed by 420 mg) or placebo intravenously every 3 weeks for one year, in addition to 6-8 cycles of chemotherapy and 1 year of trastuzumab (8 mg/kg loading dose in cycle 1, followed by 6 mg/kg) intravenously every 3 weeks. Total duration of anti-HER2 treatment was 52 weeks. Patients will be followed up for 10 years. APHINITY primarily aimed to compare invasive disease-free survival, excluding second primary non-breast cancers between treatment arms. Secondary objectives of the study were invasive disease-free survival including second primary non-breast cancers, disease-free survival, overall survival, recurrence-free interval, distant recurrence-free interval, cardiac and overall safety as well as health related quality of life in the two treatment arms.

Study report

APHINITY randomized a total of 4,805 patients between November 2011 and August 2013 and the last patient completed treatment in August 2014. The study is now in follow-up with patients being followed at approximately 3-monthly intervals for 2 years, then every 6 months during years 3 to 5 and annually thereafter. The study will continue until 10 years after the randomization of the last patient (around September 2023). The first analysis of the primary endpoint has included all 4,805 patients (2,400 in the pertuzumab and 2,405 in the placebo arms, respectively) [1, 2]. The median follow-up period in the intention-to-treat population was 45.5 months (48.3 months for patients with node-negative and 44.5 months for patients with node-positive breast cancer). In total, invasive-disease-events occurred in 171 patients (7.1%) in the pertuzumab arm and 210 patients (8.7%) in the placebo arm. The 3-year rates of invasive

disease-free survival (iDFS) were 94.1% with pertuzumab compared to 93.2% with placebo (HR 0.81 [95% CI 0.66-1.00]; p=0.045). Thus, the addition of pertuzumab to trastuzumab plus chemotherapy as adjuvant treatment for patients with HER2-positive, operable breast cancer (BC) significantly improved the rates of iDFS compared to placebo. The effect of pertuzumab on iDFS was homogeneous among the different patient subgroups. However, the improvement in 3-year rates of iDFS in favor of the pertuzumab arm was more pronounced in the cohort of patients with node-positive BC (HR 0.77 [95% CI 0.62-0.96]; p=0.02). In contrast, no treatment effect was detected in the cohort of patients with node-negative BC (HR 1.13 [95% CI 0.68-1.86]; p=0.64). Similarly, the 3-year iDFS rates did not significantly differ in the cohorts of patients with hormone receptor-positive or with hormone-receptor-negative BC subtypes (HR, 0.86 [95% CI 0.66-1.13]; p=0.28 and HR, 0.76 [95% CI 0.56-1.04]; p=0.08, respectively). High grade diarrhea (grade 3-4) was more frequent with pertuzumab than with placebo (9.8% vs. 3.7%). Due to the long follow-up period, results will be a long time coming.

Publications

1. von Minckwitz G, Procter M, de Azambuja E, et al. APHINITY trial (BIG 4-11): A randomized comparison of chemotherapy (C) plus trastuzumab (T) plus placebo (Pla) versus chemotherapy plus trastuzumab (T) plus pertuzumab (P) as adjuvant therapy in patients (pts) with HER2-positive early breast cancer (EBC). J Clin Oncol 2017;35 (suppl; LBA500).
2. von Minckwitz G, Procter M, de Azambuja E, et al. Adjuvant Pertuzumab and Trastuzumab in Early HER2-Positive Breast Cancer. N Engl J Med. 2017;377:122-131.

We would like to thank all participating sites for their efforts so far. Sites are highly encouraged to provide follow-up documentation of their patients in order to avoid delays in the study analysis. We would like to remind all participating sites to provide a tumor sample at disease recurrence in order to increase the robustness of data.

GBG 81: BRIGHTNESS

A randomized, placebo-controlled, double-blind, phase III study evaluating safety and efficacy of the addition of veliparib plus carboplatin versus the addition of carboplatin to standard neoadjuvant chemotherapy versus standard neoadjuvant chemotherapy in subjects with early stage triple negative breast cancer (TNBC)

NCT02032277

BRIGHTNESS is a multicenter, double-blind, placebo-controlled, randomized phase III trial that has globally recruited 624 patients (55 patients in Germany) from 250 sites (34 in Germany) in 18 countries within approximately 22 months.

Background

Patients with triple-negative breast cancer (TNBC) represent about 15% of all breast cancer cases. In patients with a positive family history, up to 20% harbor a germline mutation of the *BRCA1/2* gene. Chemotherapy is still the most important treatment for TNBC irrespective of a germline *BRCA* mutation. Recently, it could be shown in the metastatic setting that carboplatin

monotherapy is as effective as docetaxel in patients with TNBC, but it seems that in particular women harboring a germline *BRCA* mutation benefit from the platinum agent as first-line therapy (Tutt et al Cancer Res 2015). Platinum-containing chemotherapy combinations in patients with TNBC and germline *BRCA* mutation have been shown to be very effective in achieving high pathological complete response (pCR) rates (von Minckwitz et al. Lancet Oncol 2014; Sikov et al J Clin Oncol 2015). The success of the PARP inhibitors in platinum sensitive ovarian cancer (Liu et al. Lancet Oncol. 2014; Oza et al. Lancet Oncol. 2015) and the data in metastatic breast cancer using a PARP inhibitor (Kaufman et al. J Clin Oncol 2015) support the idea to test these agents in primary breast cancer.

The neoadjuvant BRIGHTNESS study compares paclitaxel plus carboplatin plus the PARP inhibitor veliparib with paclitaxel plus carboplatin and with paclitaxel alone, each followed by standard neoadjuvant chemotherapy with doxorubicin/cyclophosphamide. TNBC patients are included irrespective of their germline *BRCA* status, but this information is used to stratify patients prior to randomization.

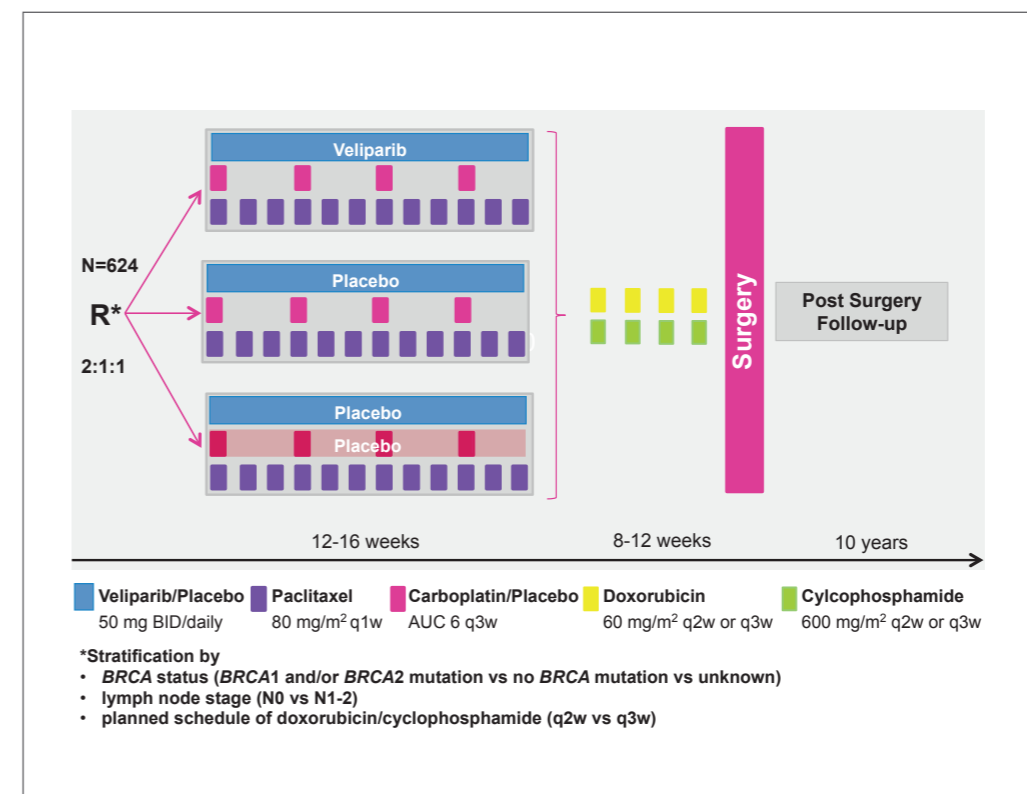


Figure 1: BRIGHTNESS study design



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Study design and objectives

BRIGHTNESS primarily aimed to assess the incidence of pCR in breast and ipsilateral axillary tissue of veliparib + carboplatin + paclitaxel compared with the two placebo-containing arms. The secondary objective of the study was to assess the rate of eligibility for breast conservation after therapy. Further objectives were to assess event free survival (EFS), overall survival (OS), clinical response rate (CRR) at 12 weeks, the incidence of pCR plus minimal residual disease (defined as residual cancer burden [RCB] class I), ECOG performance status, and breast cancer related quality of life.

Study report

BRIGHTNESS has randomized globally a total number of 624 patients (with 55 patients from German centers) between February 2015 and March 2016. To ensure patient safety, an Independent Data Monitoring Committee (IDMC) has reviewed unblinded safety data. The final interim analysis was finalized in QIV/2016. The first results included 634 patients (median age 50 years; range 22-79) and showed that overall, addition of veliparib to neoadjuvant chemotherapy consisting of carboplatin + paclitaxel followed by doxorubicin + cyclophosphamide (AC) did not increase the pCR rate in the breast and lymph nodes in an unselected population of stage II-III TNBC patients. In contrast, the addition of veliparib + carboplatin or carboplatin alone to paclitaxel followed by AC resulted in a significant improvement in pCR rates compared to paclitaxel alone. The increased toxicity of carboplatin with or without veliparib did not impact the delivery of neoadjuvant chemotherapy [1,2]. The post-surgery follow-up period of 10 years is ongoing.

An analysis evaluating the prognostic and predictive role of the homologous recombination deficiency (HRD) assay for carboplatin and PARP inhibitor response has been presented at ASCO

2018. Of the 634 patients included in the BRIGHTNESS study, 438 had available HRD status. HRD status was defined as HRD+ (HRD score ≥ 42 or a tumor *BRCA1/2* mutation) or HRD- (HRD score < 42 and no tumor *BRCA1/2* mutation). An exploratory HRD threshold of ≥ 33 vs < 33 was also assessed. Overall, HRD+ patients had a higher pCR rates across all treatment arms. Comparing between arms using the 42 threshold demonstrated that patients treated with carboplatin showed higher pCR rates in both HRD+ (61.7 % for patients treated with veliparib + carboplatin + paclitaxel [arm A] vs 60.7 % with carboplatin + paclitaxel [arm B] vs. 34.8 % with paclitaxel + double placebo [arm C]) and HRD- (36.1 % in arm A vs 50.0 % in arm B vs 20 % in arm C) subsets. Similar results were observed with the 33 cut-off. However, the exploratory HRD threshold of 33 appeared to provide greater sensitivity to identify responders with the addition of carboplatin + veliparib [3].

Publications

1. Geyer CE, O'Shaughnessy J, Untch M et al. Phase 3 study evaluating efficacy and safety of veliparib (V) plus carboplatin (Cb) or Cb in combination with standard neoadjuvant chemotherapy (NAC) in patients (pts) with early stage triple-negative breast cancer (TNBC). J Clin Oncol 2017; 35 (suppl.520).
2. Loibl S, O'Shaughnessy J, Untch M, et al. Addition of the PARP inhibitor veliparib plus carboplatin or carboplatin alone to standard neoadjuvant chemotherapy in triple-negative breast cancer (BrightNess): a randomized, phase 3 trial. Lancet Oncol. 2018;19:497-509.
3. Telli ML, Metzger O, Timms K et al. Evaluation of homologous recombination deficiency (HRD) status with pathological response to carboplatin +/- veliparib in BrightNess, a randomized phase 3 study in early stage TNBC. J Clin Oncol 2018; 36:15_suppl.519.

We are thanking all participating centers for their commitment and efforts so far. We would like to encourage all sites to continue to support the BRIGHTNESS study by entering study participants in the General Follow-up or invite them to join the patient self-reported registry.

GBG 84: GeparOcto

A randomized phase III trial comparing two dose-dense, dose-intensified approaches (ETC and PM(Cb)) for neoadjuvant treatment of patients with high-risk early breast cancer (GeparOcto)

NCT02125344

GeparOcto is a multicenter, prospective, randomized open-label phase III study that has recruited 961 patients from 57 sites in Germany within 18 months. Moreover, a total of 123 patients were randomized for a substudy on supportive anemia treatment.

Background

Two regimen are currently considered to be among the treatments with the highest efficacy in patients with high-risk early stage breast cancer: sequential treatment of high dose epirubicin, taxane, and cyclophosphamide (ETC) concomitantly with or without a dual HER2-blockade mainly based on the AGO ETC adjuvant study (Moebus et al. J Clin Oncol 2010), and weekly treatment with paclitaxel/non-pegylated liposomal doxorubicin with dual HER2-blockade or carboplatin (PM(Cb)) based on the GeparSixto study (von Minckwitz et al. Lancet Oncol 2014). The aim of the GeparOcto study was to compare those two regimens. Moreover, patients with HER2-positive breast cancer have received anti-HER2 treatment with trastuzumab and pertuzumab. In clinical trials, preoperative trastuzumab leads to increased

pathological complete response (pCR) rates in the range of 39-62 % (Untch et al. J Clin Oncol 2011; Untch et al. J Clin Oncol 2010; Untch et al. Lancet Oncol 2012; Gianni et al. Lancet 2010). Pertuzumab in combination with trastuzumab has shown impressive activity in combination with docetaxel and/or carboplatin as neoadjuvant treatment in the NeoSphere study (Gianni et al. Lancet Oncol 2012) and in the Tryphaena study (Schneeweiss et al. Ann Oncol 2013).

In addition, the supportive treatment of chemotherapy-induced iron deficiency anemia was investigated. Iron substitution is currently mostly given as an oral supplement in the daily clinical practice. However, parenteral iron substitution is assumed to be more efficient in adjusting iron homeostasis and hemoglobin, as oral preparations are less efficiently absorbed and more frequently cause gastro-intestinal adverse events, leading to non-compliance. The diagnosis and treatment of iron deficiency is, at present, not integrated in the routine medical care of chronic disease, although iron deficiency is a frequent comorbidity in cancer patients and the understanding of iron physiology and pathology has recently gained major insights. The neoadjuvant GeparOcto study compared a sequential, dose-dense, dose-intensified (idd) ETC (epirubicin, paclitaxel, cyclophosphamide) treatment vs. weekly PM (Cb) (paclitaxel, liposomal doxorubicin, carboplatin) treatment in patients with high-risk operable or locally advanced breast cancer with the addition of



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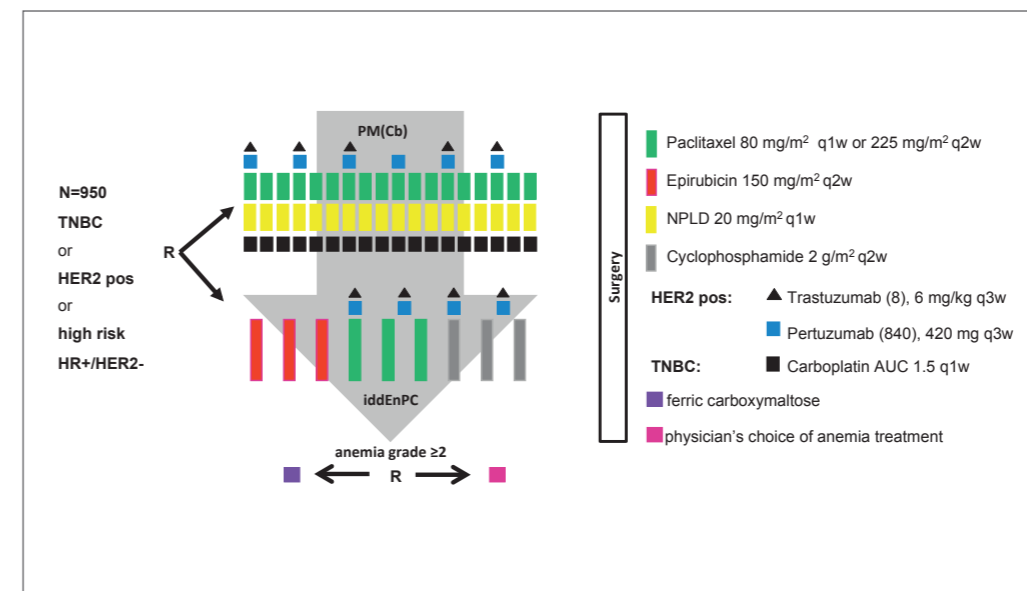


Figure 1: GeparOcto study design

trastuzumab and pertuzumab in HER2-positive patients. Moreover, the use of parenteral ferric carboxymaltose versus physician's choice for the treatment of chemotherapy-induced anemia in patients with iron deficiency will be compared.

Study design and objectives

GeparOcto primarily aimed to compare the pCR (ypT0/is ypN0) rates between the two treatment arms. The secondary objective of the study was to assess the pCR rates per arm separately for the stratified subpopulations. Further objectives were to determine pCR according to other definitions, the response rates of the breast tumor and axillary nodes based on physical examination and imaging tests, the breast conservation rate, toxicity and compliance, loco-regional invasive recurrence free survival (LRRFS), distant-disease-free survival (DDFS), invasive disease-free survival (IDFS), and overall survival (OS) in both arms and according to stratified subpopulations, regional recurrence free survival (RRFS) in patients with initial node-positive axilla converted to negative at surgery (ypN0) and treated with sentinel node biopsy alone, pCR rate and local recurrence free survival (LRFS) in patients with a clinical complete response and a negative core biopsy before surgery and to correlate response (complete vs. partial vs. no change) measured by the best appropriate imaging method after 6 weeks of treatment with pCR.

For those patients randomized for the supportive anemia treatment the primary objective was to compare the frequency of patients reaching hemoglobin (Hb) levels $\geq 11\text{g/dl}$ 6 weeks after treatment start of a first episode of anemia grade ≥ 2 (Hb $< 10\text{g/dl}$) between patients receiving supportive treatment for iron deficiency with parenteral ferric carboxymaltose versus physician's choice (no supportive treatment, oral iron substitution, erythropoiesis-stimulating agent, or both).

Substudies

Pharmacogenetic Substudy:

Aim of this study is to analyze potential associ-

ations between the germline genotype of the patient, treatment response, toxicities, long term prognosis and molecular profile of the tumors.

Ovarian function substudy:

To define the risk of premature ovarian failure and loss of fertility with modern regimens, the hormone levels of estradiol, Follicle-Stimulating Hormone (FSH) and Anti-Müllerian Hormone (AMH) in addition to antral follicle counts measured by ultrasound are assessed.

Study report

GeparOcto randomized a total of 961 patients between December 2014 and May 2016 and of those, 123 patients were enrolled in the anemia treatment substudy. A total of 945 patients started treatment (470 in the idd ETC group and 475 in the PM (Cb) group). The median age was 48 years; 7.6 % had cT3-4, 46 % cN+, 66 % G3, 40 % HER2-positive, 43 % TNBC. The pCR (ypT0/is ypN0) rate with iddEPC was 48.3 %, with PM(Cb) 48.0 %, respectively (PM(Cb) versus iddEPC odds ratio 0.99; 95 % confidence interval 0.77-1.28, $P = 0.979$). Non-inferiority of the PM (Cb) treatment could not be shown. The pCR rates between the biological subtypes did not significantly differ. The safety analysis showed that 16.4 % with iddEPC and 34.1 % with PM(Cb) discontinued treatment ($P < 0.001$), mainly due to adverse events. Two patients on PM(Cb) died. Hence, the use of PM (Cb) regimen appeared to be less feasible for treatment of patients with high-risk early stage breast cancer. iddEPC is one of the effective dose-dense regimens feasible in daily practice [1].

Publication

1. Schneeweiss A, Möbus V, Tesch H, et al. Intense dose-dense epirubicin, paclitaxel, cyclophosphamide versus weekly paclitaxel, liposomal doxorubicin (plus carboplatin in triple-negative breast cancer) for neoadjuvant treatment of high-risk early breast cancer (GeparOcto-GBG 84): A randomized phase III trial. *Eur J Cancer*. 2019;106:181-192.

We are thanking all participating centers for their commitment and efforts so far. We would like to encourage all sites to continue to support the GeparOcto study by transferring participants to the General Follow-up and to the self-reported outcome registry. We also encourage all participating sites to support the various substudies by providing biomaterials in a timely manner.

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Completed Studies

GBG 77: Katherine	96
GBG 89: GeparNuevo	98
GBG 90: GeparOla	100



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GBG 77: Katherine

A study of trastuzumab emtansine versus trastuzumab as adjuvant therapy in patients with HER2-positive breast cancer who have residual tumor in the breast or axillary lymph nodes following preoperative therapy

NCT01772472

Katherine is a randomized, multicenter, open-label phase III study that has recruited 1487 patients from 328 international sites (57 in Germany) within 3 years.

Background

HER2-positive patients who do not achieve pathologic complete response (pCR) following neoadjuvant therapy have a worse prognosis compared to patients who achieve a pCR (Untch et al. J Clin Oncol. 2011; von Minckwitz et al. J Clin Oncol. 2012). HER2-targeted neoadjuvant therapy has shown to improve pCR rates in patients with HER2-positive (Gianni et al. Lancet 2010; von Minckwitz et al. Breast Cancer Res Treat 2011) and a double HER2 blockade can lead to an even further increase (Gianni et al. Lancet Oncol. 2012).

Patients with residual disease after neoadjuvant chemotherapy and anti-HER2 treatment are at an increased risk of recurrence and mortality and it is unknown whether the application of additional agents following surgery may provide further benefit.

The antibody-drug conjugate trastuzumab emtansine (T-DM1) combines the antitumor activities of trastuzumab with intracellular delivery of the cytotoxic agent DM1 via a stable linker (Lewis Phillips et al. Cancer Res 2008). T-DM1 prolonged progression free survival with a more favorable toxicity profile compared with trastuzumab and docetaxel in a phase II study in patients with previously untreated HER2-positive metastatic breast cancer (Hurvitz et al. J Clin Oncol 2013). Moreover, the phase III EMILIA trial has shown that T-DM1 prolonged progression-free and overall survival with less toxicity in patients with previously treated HER2-positive metastatic breast cancer compared with capecitabine and lapatinib (Verma et al. N Engl J Med 2012, Diéras et al. Lancet Oncol 2017).

Given the results with T-DM1 in the metastatic setting, the Katherine trial aimed to explore the efficacy and safety of single-agent T-DM1 compared with trastuzumab in patients with HER2-positive primary breast cancer.

Study design and objectives

Katherine aimed to investigate whether adjuvant T-DM1 was more effective than trastuzumab in patients with HER2-positive primary breast cancer who have received neoadjuvant chemotherapy including trastuzumab and have residual invasive disease after surgery.

The study primarily aimed to compare invasive disease-free survival between the two treatment arms. Secondary endpoints included disease-free survival, overall survival, distant recurrence-free interval, quality of life, and pharmacokinetics. Safety objectives included all adverse events, abnormal laboratory values, cardiac events, and LVEF (left ventricular ejection fraction). Patient reported outcomes using different quality of life questionnaires were also assessed.

Moreover, Katherine assessed the pharmacokinetics of T-DM1 in T-DM1-treated patients and trastuzumab in trastuzumab-treated patients, which permits an intra-study comparison of trastuzumab exposure in the 2 treatment arms. Exposure-effect (efficacy and safety) relationships can also be explored in this patient population.

A range of translational research questions were also addressed within the Katherine study, such as correlations between biomarker status and efficacy and/or safety or the incidence of anti-therapeutic antibodies and their effect on pharmacokinetics, safety, and efficacy.

Study report

Katherine randomized a total of 1,486 patients (292 patients in Germany) between April 2013 and December 2015. The interim analysis showed that among all randomly assigned patients (743 in the T-DM1 group and 743 in the trastuzumab group), invasive disease or death had occurred in 91 (12.2 %) patients in the T-DM1 and 165 (22.2 %) patients in the trastuzumab group. The estimated percentage of patients who were free of invasive disease at 3 years was 88.3 % in the T-DM1 group and 77.0 % in the trastuzumab group. Invasive disease-free survival was significantly higher in the T-DM1 group than in the trastuzumab group (hazard ratio for invasive disease or death, 0.50; 95 % confidence interval, 0.39 to 0.64; $P < 0.001$). Distant recurrence as the first invasive-disease event occurred in 10.5 % of patients in the T-DM1 group and 15.9 % of those in the trastuzumab group. The safety data were consistent with the known safety profile of T-DM1, with more adverse events associated with T-DM1 than with

trastuzumab alone. In conclusion, T-DM1 was found to significantly reduce the risk of invasive disease recurrence or death compared to trastuzumab as adjuvant treatment in patients with HER2-positive early breast cancer and residual disease after neoadjuvant therapy [1].

Publication

1. von Minckwitz G, Huang CS, Mano MS, et al. Trastuzumab Emtansine for Residual Invasive HER2-Positive Breast Cancer. N Engl J Med. 2019; 380:617-628.

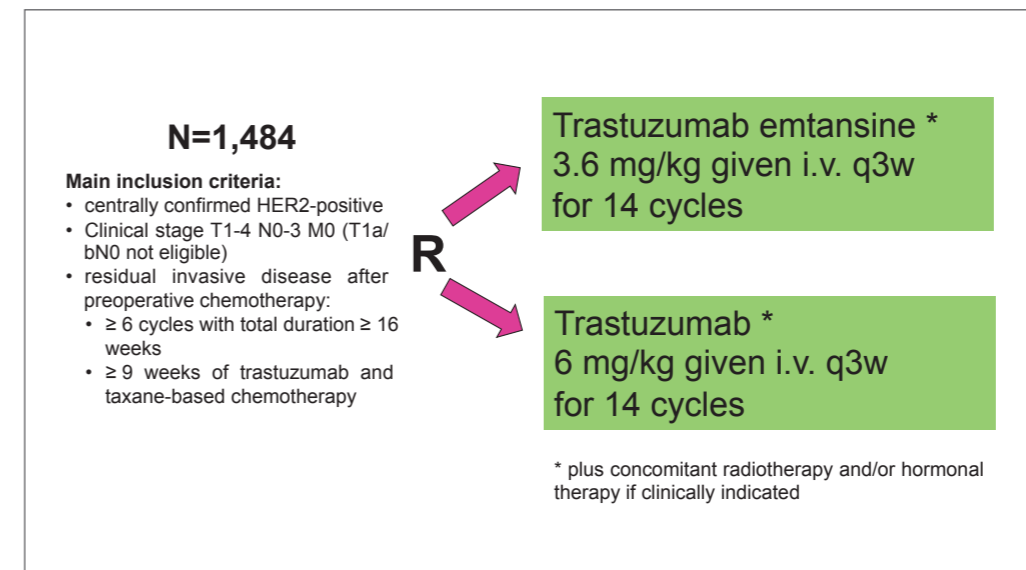


Figure 1: Katherine study design

We are thanking all participating centers for their commitment and efforts.

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GBG 89: GeparNuevo

A randomized phase II study to investigate the addition of PD-L1 antibody MEDI4736 to a taxane-anthracycline containing chemotherapy in triple negative breast cancer

NCT02685059

GeparNuevo is a multicenter, prospective, randomized, double-blinded, placebo controlled phase II study that has recruited 174 patients from 28 sites in Germany.

Background

Triple negative breast cancer (TNBC) is a highly aggressive disease. Patients who have received neoadjuvant therapy with chemotherapy alone and achieved a pathological complete response (pCR), have an excellent survival, however, women who did not achieve a pCR did significantly worse. TNBC and especially the basal like subtype can have a high mutational load with up to 200 mutations per cancer (Shah S et al. Nature. 2012). Moreover, patients with TNBC and a higher score of stromal tumor-infiltrating lymphocytes (sTILs) have a significantly better disease-free survival (DFS) than patients whose TNBC tumor lack sTILs (Adams S et al. J Clin Oncol. 2014). Therefore, immunotherapy might be an option to increase the pCR rate in patients with TNBC. So far, two studies have shown activity of checkpoint inhibitors in breast cancer. The KEYNOTE-012 study investigated the PD-1 inhibitor pembrolizumab in metastatic TNBC, demonstrating a response rate of 20 % and about 50 % having stable disease or a response (Nanda R et al. J Clin Oncol. 2016). In another phase I study

of 12 patients with TNBC treated with the PD-L1 inhibitor MPDL3280A, 33 % had a response. The tolerability was good.

Durvalumab (MEDI4736) is a human monoclonal antibody of the immunoglobulin G1 kappa (IgG1κ) that blocks PD-L1 binding to PD-1 and CD80, preventing PD-L1-mediated inhibition of T-cell activation.

These data supported an early investigation of these drugs for treatment of TNBC, when otherwise only chemotherapy is indicated.

Study design and objectives:

GeparNuevo primarily aimed to compare the pCR (ypT0 ypN0) rates of neoadjuvant treatment of sequential, nab-Paclitaxel followed by Epirubicin and Cyclophosphamide (EC) +/- the PD-L1 antibody durvalumab in patients with early TNBC. Secondary objectives were to assess the pCR rates per arm separately for the stratified subpopulations, rates of ypT0/is ypN0; ypT0 ypN0/+; ypT0/is ypN0/+; ypT(any) ypN0, response rates of the breast tumor and axillary nodes based on physical examination and imaging tests after treatment in both arms, clinical response rate after taxane in both groups, breast conservation, toxicity and compliance, loco-regional invasive recurrence free survival (LRRFS), distant-disease-free survival (DDFS), invasive disease-free survival (IDFS), event free survival (EFS) and overall survival (OS) in both arms and according to stratified subpopulations, to examine quality of life using FACT-Taxane and to examine and compare pre-specified molecular markers as well as gene expression signatures such as tumor infiltrating lymphocytes, PD-1, PD-L1, Ki-67, etc. on core biopsies before

chemotherapy, after the window phase and on surgical tissue after end of chemotherapy.

Furthermore, the GeparNuevo study also incorporated translational research questions such as an investigation of the relationship between PD-L1, TILs, immunopredict and other immune markers with response.

Study report:

GeparNuevo randomized a total of 174 patients between June 2016 and September 2017 from 28 sites in Germany. A total of 117 patients participated in the monotherapeutic window phase which was terminated based on the IDMC (Independent Data Monitoring Committee) consideration indicating an average of 49 days from diagnosis to the start of the 1st chemotherapy as a delay to start of definitive treatment. All randomized patients completed treatment. Median age at study entry was 49.5 years (range 23-76); 47 patients (27 %) were younger than 40 years. 113 patients (65 %) had stage IIa disease and higher, 25 (14 %) had high sTILs, and 144 of 158 (91 %) were PD-L1 positive. Overall, 85 patients (48.9 %) had a pCR, 47 with durvalumab (53.4 %, 95 % CI 42.5-61.4 %) and 38 with placebo (44.2 %, 95 % CI 33.5-55.3 %; unadjusted continuity corrected χ^2 p=0.287), corresponding to an OR of 1.45 (95 % CI 0.80-2.63, unadjusted Wald p=0.224). The durvalumab effect on pCR was seen only in the window cohort (61.0 % vs 41.4 %, OR 2.22, 95 % CI 1.06-4.64) p=0.035; interaction p=0.048). Adverse events were not more frequently reported in the durvalumab arm than in the placebo arm, with the exception of thyroid dysfunction. Overall, 59 patients had at least

one SAE, 30 in the durvalumab arm and 29 in the placebo arm. No patient died during treatment. In conclusion, the addition of durvalumab to anthracycline/taxane based chemotherapy increased the pCR rate especially when patients were treated with durvalumab alone prior to the start of chemotherapy [1-4].

Publications:

- Loibl S, Untch M, Burchardi N et al. Randomized phase II neoadjuvant study (GeparNuevo) to investigate the addition of durvalumab to a taxane-anthracycline containing chemotherapy in triple negative breast cancer (TNBC). J Clin Oncol 36, 2018 (suppl; abstr 104).
- Loibl S, Sinn BV, Karn T et al. mRNA signatures predict response to durvalumab therapy in triple negative breast cancer (TNBC)- Results of the translational biomarker programme of the neoadjuvant double-blind placebo controlled GeparNuevo trial. Poster discussion #PD2-07, SABCS 2018 (4-8 Dec 2018).
- Sinn BV, Loibl S, Karn T et al. Pre-therapeutic PD-L1 expression and dynamics of Ki-67 and gene expression during neoadjuvant immune-checkpoint blockade and chemotherapy to predict response within the GeparNuevo trial. Poster discussion #PD5-05, SABCS 2018 (4-8 Dec 2018).
- Massa C, Schneeweiss A, Karn T et al. Immunomonitoring of triple negative breast cancer patients undergoing neoadjuvant therapy with durvalumab - Results from the prospectively randomized GeparNuevo trial. Poster presentation #P4-06-01, SABCS 2018 (4-8 Dec 2018).

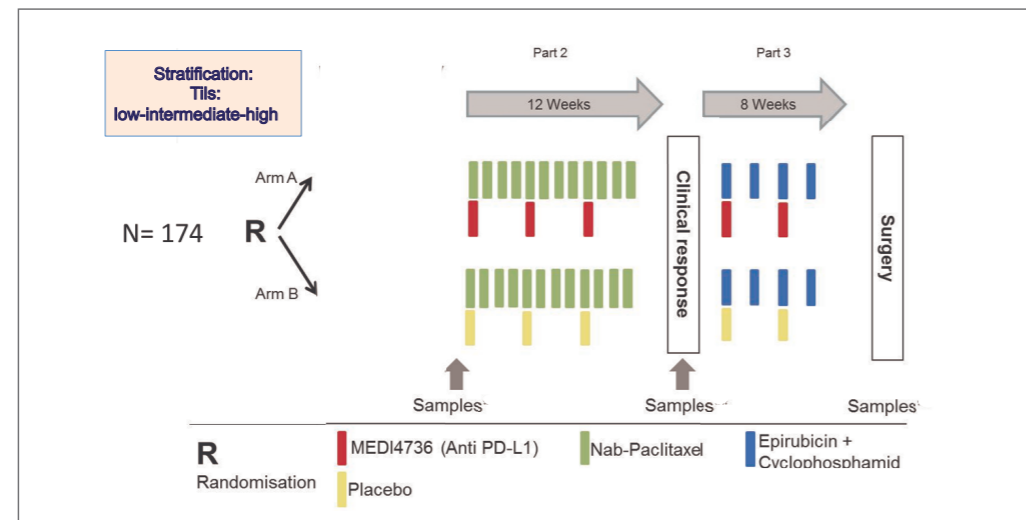


Figure 1: GeparNuevo study design (after approval of amendment 2)

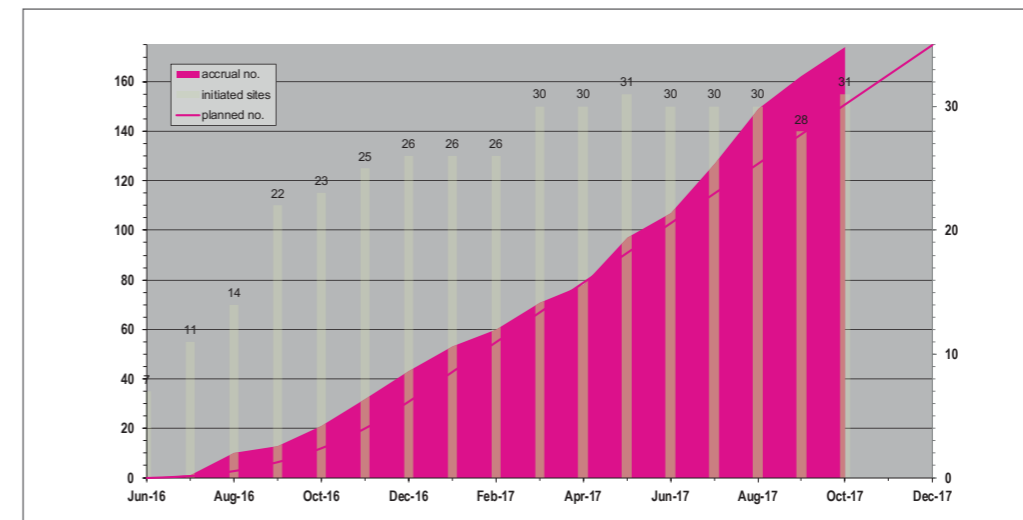


Figure 2: GeparNuevo final recruitment

We are thanking all participating centers for their commitment and efforts.

COLLABORATING STUDY GROUPS:



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GBG Forschungs GmbH

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GBG 90: GeparOla

A randomized phase II trial to assess the efficacy of paclitaxel and olaparib in comparison to paclitaxel/carboplatin followed by epirubicin/cyclophosphamide as neoadjuvant chemotherapy in patients with HER2-negative early breast cancer and Homologous Recombination Deficiency (HRD patients with deleterious *BRCA1/2* tumor or germline mutation and/or HRD score high)

NCT02789332

GeparOla is a multicenter, prospective, randomized open-label phase II study that has recruited 107 patients from 28 sites in Germany within 23 months.

Background

Molecular characterizations have demonstrated a strong association between triple-negative breast cancer (TNBC) and *BRCA1* and to a lesser degree with *BRCA2* mutations. Tumor cells with a defect in *BRCA* genes have impaired homologous recombination (HR), the only pathway responsible for the reparation of interstrand crosslinks. Tumors with decreased DNA repair capacity are expected to show high sensitivity to DNA damaging agents (Ciccia A et al. Mol Cell. 2010; Deans AJ et al. Nat Rev Cancer. 2011). The addition of carboplatin to a neoadjuvant chemotherapy regime of anthracycline, cyclophosphamide and paclitaxel significantly increased the pathological complete response (pCR) rate in patients with TNBC in two randomized phase II neoadjuvant studies, the GeparSixto (von Minckwitz et al. Lancet Oncol 2014) and the CALBG 40603 (Silkov et al. J Clin Oncol. 2015) trials.

Olaparib is a potent polyadenosine 5'diphosphoribose [poly (ADP ribose)] polymerisation (PARP) inhibitor that is being developed as an oral therapy, both as a monotherapy (including maintenance) and for combination with anti-cancer agents. The efficacy and safety of olaparib included in a standard of care regimen like paclitaxel weekly followed by epirubicin and cyclophosphamide is unknown.

In the GeparSixto trial it has been demonstrated that among patients with measured homologous recombination deficiency (HRD), 67 % had an HRD score high whereas 28 % had *BRCA* mutant tumor (*tBRCA*). Moreover, among *tBRCA* mutated/HRD score high patients, 20 % harbored also a germline *BRCA* mutation (*gBRCA*). When using a strict pCR definition (ypT0 ypN0), patients with HRD score high/*tBRCA* intact or *tBRCA* mutant experienced the greatest benefit with the addition of carboplatin. When allowing the presence of DCIS in the pCR definition (ypT0/is ypN0), only patients with HRD score high/*tBRCA* intact derived benefit by the addition of carboplatin (Loibl et al. Ann Oncol 2018).

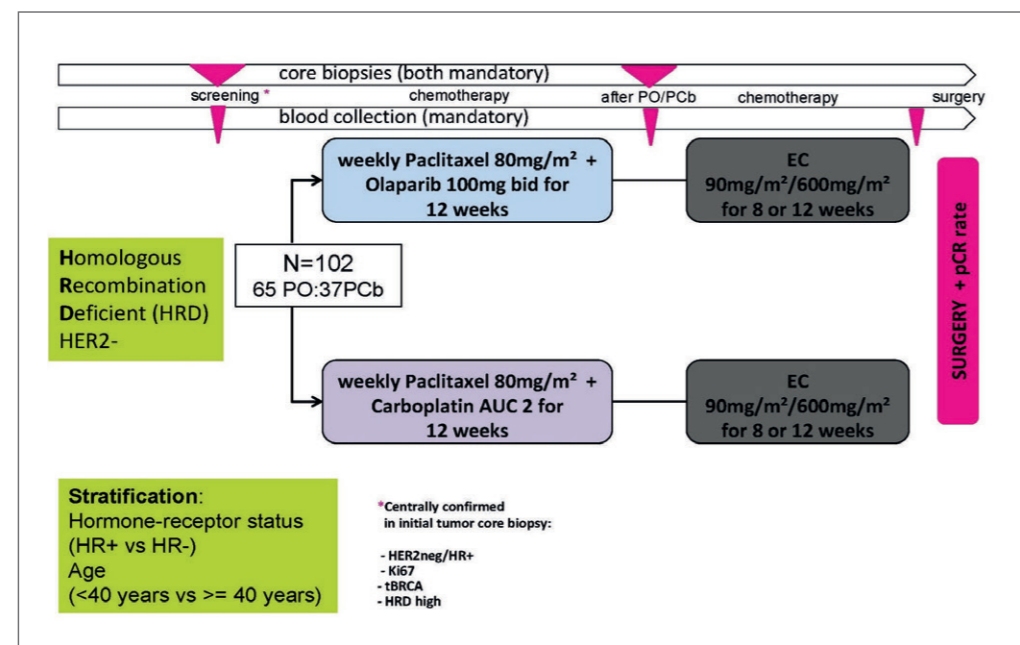


Figure 1: GeparOLA study design

Considering the inconsistency of these results and the absence of a formal interaction between HRD and carboplatin further studies are needed. The GeparOLA study aimed to support the decision for a phase III study exploring the addition of olaparib (O) to a paclitaxel (P) followed by epirubicin (E) and cyclophosphamide (C) schedule (PO→EC) by providing an estimate on the pCR rates in the targeted population, but also by providing estimate comparison to paclitaxel (P) and carboplatin (Cb) followed by EC (PCb→EC).

Study design and objectives

GeparOla primary aimed to assess the pCR (ypT0/is ypN0) rate of neoadjuvant treatment of olaparib and paclitaxel followed by epirubicin and cyclophosphamide (PO→EC) in neoadjuvant patients with early breast cancer and HRD tumors defined as either *tBRCA1/2* mutation or HRD score high or known *gBRCA* mutation. Secondary objectives were to assess the pCR rates of patients in both arms, overall and in stratified subgroups, to investigate the pCR rate according to other pCR definitions and to compare them between the two arms, to assess the pCR rate in HRD high with or without *tBRCA* mutation, to determine the response rates of the breast tumor and axillary nodes based on physical examination and imaging tests and to compare them between the two treatment

arms, to determine the breast conservation rate, toxicity and compliance with PO→EC and to compare it with PCb→EC. Further objectives were to correlate co-occurring mutations detected by next generation sequencing in lymphocytes or in tumors cells with pCR (exploratory) and potential biomarkers predicting safety and compliance, like SNPs, TILs, PARP, 53BP1, REV7 and other biomarkers considered for breast cancer.

Pharmacogenetic substudy

A pharmacogenetic substudy on genetic markers from peripheral blood to predict tumor biology, treatment response and prognosis will be performed. All patients eligible for the GeparOla study having collected a whole blood sample will be included. The primary objective is to associate the germline genotype of the patient with the treatment response in both randomization arms. Secondary objectives are to associate the germline genotype of the patient with the long term prognosis in both randomization arms and with the molecular profile of the tumors.

Study report

GeparOla randomized a total of 107 patients between September 2016 and August 2018 from 28 sites in Germany. The analysis of the primary endpoint is planned for Q1/2019.

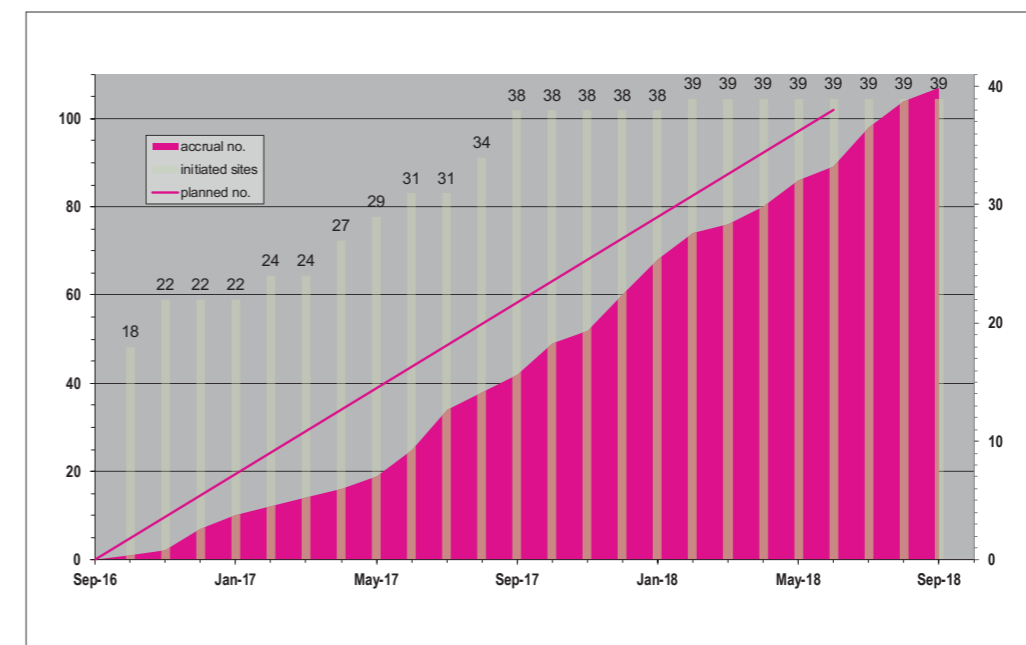


Figure 2: GeparOLA final recruitment

We are thanking all participating centers for their commitment and efforts.

COLLABORATING STUDY GROUPS:



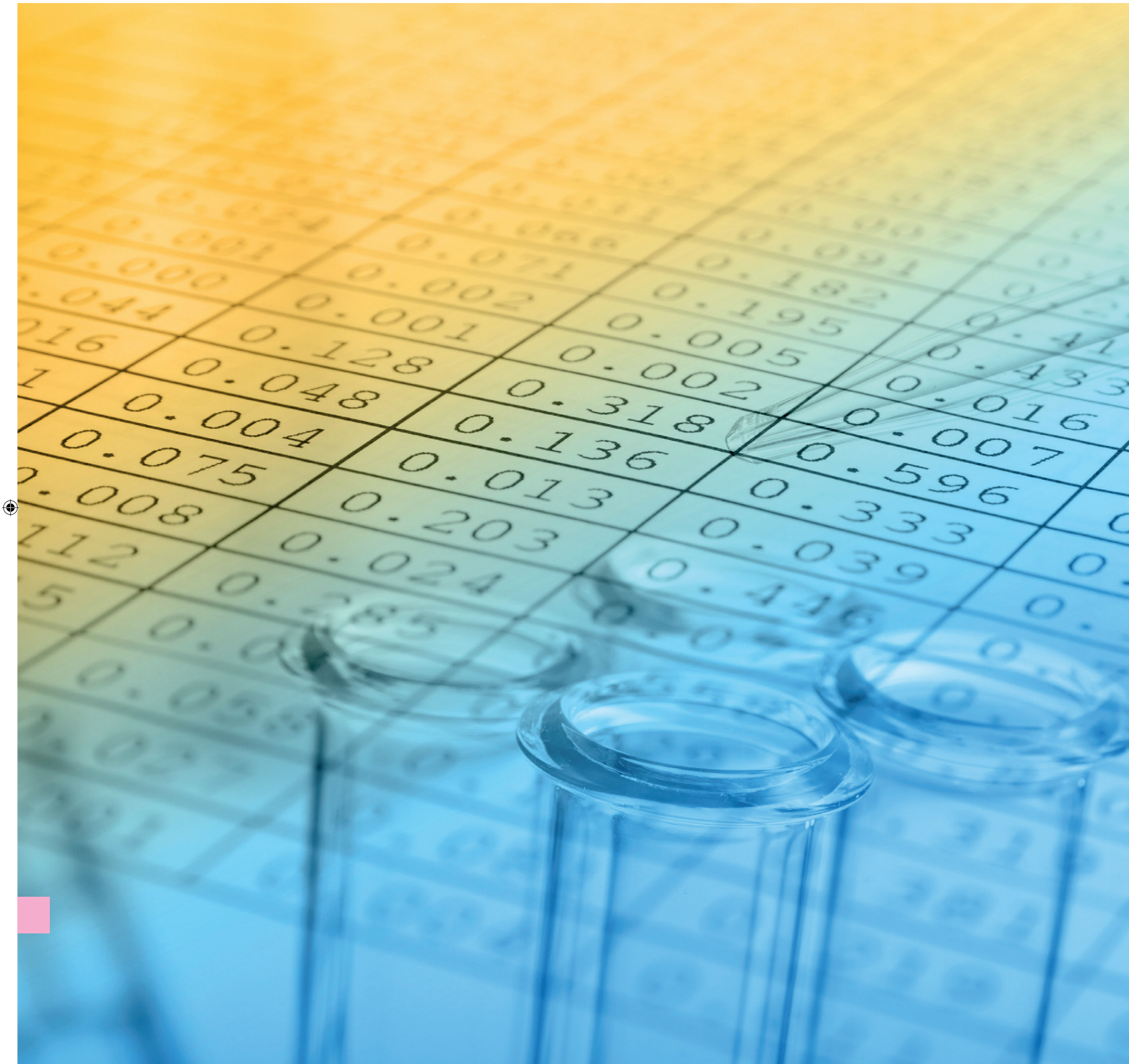
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Follow-up Activities

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Follow-up Activities

Long-term follow-up of early breast cancer trials is considered highly important as treatment effects might increase, maintain or decrease over time and have to be put into relation with late or chronic toxicities. However, collection of long-term follow-up is very often an unaccomplishable task due to the logistic and financial burden for study sites and sponsors.

Patient Self-Reported Outcome (PSRO)

To improve follow-up and reduce the workload for the trial sites, we developed a concept to use patient self-reported outcome (PSRO) registry for long term follow-up in the GBG early breast cancer trials.

Study participants are invited by the site investigator to join the PSRO registry. They consent that their name, address, and the unique study identifier are being collected and to regularly receive health status questionnaires.

German privacy laws and good clinical practice (GCP) regulations forbid the storage of patient-identifying data by the sponsor. Therefore, we developed a registry to collect PSRO with a strict separation of patient-identifying data and pseudonymised medical data. The data trustee is financially and organizationally independent from the GBG. The data trustee is handling names and addresses of the patients

with a database strictly not accessible by GBG. Triggered by GBG, the trustee sends a questionnaire asking for current health status, including date and site of relapse, secondary malignancies, and date of death. The questionnaires may also be filled in by a third person in case of death. Forms are to be sent to GBG using only the unique study identifier as pseudonym. For address changes or withdrawal of consent, another form can be returned to the trustee. Thus, GBG links updated data with the original study database and informs the site about their patients.

Currently over 10,000 participants from 24 trials and 263 sites are included in this registry.

General Follow-Up Database and eCRF

Follow-up documentation over different studies and long timespans is a burden for the sites due to different systems, Case Report Forms (CRFs), schedules and procedures. To mitigate this we developed a unique general follow-up database to document follow-up for all trials with the same electronic Case Report Form (eCRF). This eCRF is simplified as much as possible to collect only the basic information necessary for analysis of the long-term endpoints of our neoadjuvant and adjuvant trials. All these items can be collected during routine aftercare without trial specific examinations.

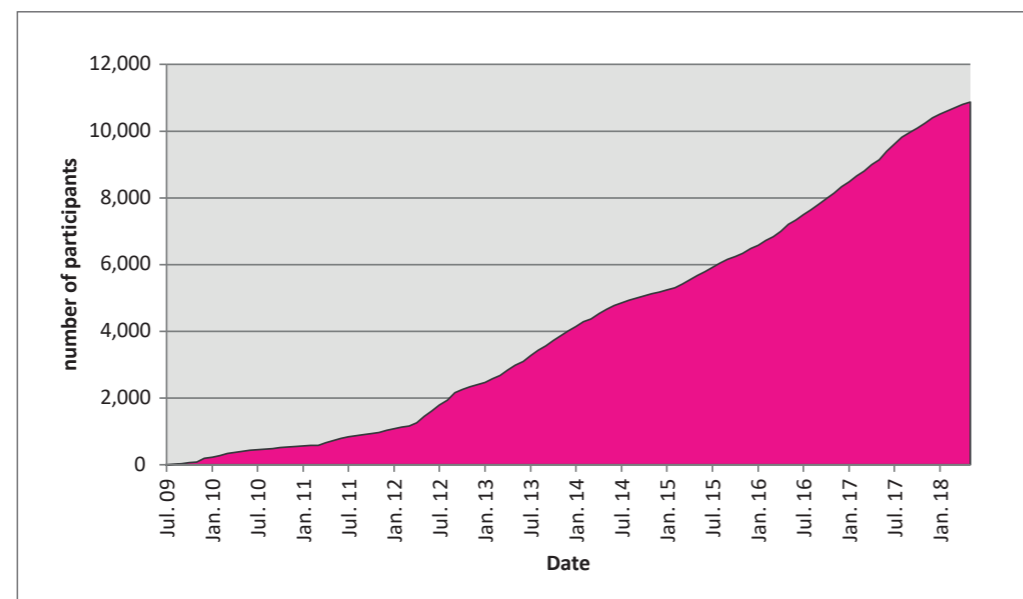


Figure 1: PSRO participants

We are thanking all participating centers for their commitment and efforts.

Current Trials in Follow-up

The follow-up status of the GBG trials is presented in Table 1

Trial		N (patients)	PSRO patients	FU Completeness
GBG-018	GeparDuo	907	16	41,4 %
GBG-024	GeparTrio	2,357	230	46,3 %
GBG-027	REACT	814	515	62,8 %
GBG-028	IBIS-2-Prev	142	32	15,5 %
GBG-032	ICE	1,358	190	50,1 %
GBG-033	GAIN	2,995	986	68,4 %
GBG-034	IBIS-2-DCIS	780	258	20,2 %
GBG-036	NATAN	693	63	54,0 %
GBG-040	GeparQuattro	1,507	272	53,7 %
GBG-044	GeparQuinto	2,572	647	60,5 %
GBG-049	PREPARE	733	0	56,5 %
GBG-050	TECHNO	217	0	56,2 %
GBG-052	ICE-2	391	144	63,1 %
GBG-053	PANTHER	772	176	21,9 %
GBG-054	MALE	56	19	11,1 %
GBG-066	GeparSixto	588	335	68,3 %
GBG-068	GAIN-2	2,864	2,204	55,0 %
GBG-069	GeparSepto	1,203	784	76,5 %
GBG-070	DAFNE	65	51	59,1 %
GBG-074	GENEVIEVE	333	204	53,0 %
GBG-075	INSEMA	4,672	1,941	58,8 %
GBG-084	GeparOcto	945	710	47,8 %
GBG-088	GeparX	595	155	2,7 %
GBG-089	GeparNuevo	174	117	8,5 %
GBG-090	GeparOla	107	41	15,0 %

Table 1: Status of the GBG trials in follow-up (completeness according to Clark, Lancet 2002;359:1309)



Translational Research

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Translational Research

Translational Research Activities

Translational research is the link between clinical and experimental science. It implements scientific knowledge from basic research into clinical practice but also transfers clinical issues back to the laboratory. Translational research projects are aimed at increasing scientific knowledge for the understanding of the origin and development of a disease. The identification and validation of biological markers is accomplished to improve the treatment decision for our breast cancer patients. We therefore contribute to the development of innovative diagnostics and new, purposive cancer therapies for a more personalized medicine.

GBG Biobanking

An important pre-requisite for performing translational research projects is the availability of high-quality biomaterial collection (Figure 1). Together with the clinical data, the tissue and blood-derived samples are the key aspects for

testing scientific hypotheses in a well-defined patient cohort. The wide area of biospecimens including blood, plasma and purified DNA maintained in biobanks can be described as libraries of the human organism. The Declaration of Helsinki states that all biobanks must take donated materials via a process of informed consent.

The collected biomaterials include:

- FFPE (formalin-fixed paraffin-embedded) tissue
- Fresh frozen tissue
- RNAlater® conserved tissue
- Whole blood (DNA extraction, Single Nucleotide Polymorphism (SNP) analyses, RNA analysis)
- Serum
- Plasma (also for ctDNA preparation)
- Urine

During a trial, collection of certain biomaterials is mandatory and defined in the individual study protocols. Even if the respective clinical trials are already closed for recruitment, the coordinating centers can still collect biomaterials, mostly

FFPE tissues. In order to obtain meaningful results from statistical analyses, the investigated samples should represent the majority of patients included in the trial. Therefore, the sample collection rate should be at least 60 %. This goal is usually reached and also exceeded for most samples collected in GBG trials (e. g. FFPE tissue, SNP, serum samples).

Central Pathology and Biomarker Analysis

An independent central pathological assessment of standard breast cancer biomarkers such as estrogen receptor (ER), progesterone receptor (PR), HER2, Ki-67 as well as tumor infiltrating lymphocytes (TILs) is implemented in the GBG clinical trials. This allows a standardized definition of the respective patient cohorts as well as comparison of treatment outcome within and across GBG clinical trials. All these central testing methodologies are performed according to the latest standards and guidelines.

Collaborative Translational Research Projects and Substudies

Based on our standardized pathological evaluation of tumor specimens, the biomaterials combined with the clinical data from our studies become more and more interesting for further translational research approaches. The investigation of the biomaterials within the framework of our scientific projects is carried out in cooperation with our national and international partners.

BRCA in GeparOcto

Germline mutations in *BRCA1/2* induce a predisposition for breast cancer by impairing homologous recombination (HR) and causing genomic instability. HR is also the mechanism responsible for repair of DNA lesions caused by platinum agents and PARP inhibitors. Therefore, patients with triple-negative breast cancer (TNBC) who are also carriers of *BRCA1/2* mutations are hypothesized to respond better to platinum therapy. In the GeparOcto trial, patients with high-risk early stage breast cancer were randomized to receive treatment with intensified dose-dense epirubicin (E), paclitaxel (P), and cyclophosphamide (C; iddEPC) or weekly paclitaxel/liposomal doxorubicin (PM), plus carboplatin (Cb).

Patients with TNBC participating in the GeparOcto study were analyzed for *BRCA1/2* mutations. The analysis was performed on

germline DNA using next generation sequencing (NGS). Although the results revealed a higher pCR rate in TNBC patients with *BRCA1/2* mutations compared with non-carriers, there was no evidence for a benefit of carboplatin treatment in this setting [1].

G9 Biomarker Substudies

The GeparNuevo trial included a broad biomaterial collection to address translational research questions such as an investigation of the association between PD-L1, tumor-infiltrating lymphocytes (TILs) and other immune markers with response.

Targeted RNA sequencing was performed on 162 pre-therapeutic FFPE core biopsies using the HTG EdgeSeg® system (HTG oncology biomarker panel). Predefined gene signatures for 1) TILs (CXCL9, CCL5, ICO1, CXCL13); 2) response to immune-checkpoint inhibition (IFNG, CD274, LAG3, CXCL9) and 3) a metagene for cytotoxic response (PRF1, GZMA) were evaluated for their predictive values to treatment response.

The results revealed that the predefined signatures reflecting TILs and response to immune-checkpoint inhibition predict response to neoadjuvant chemotherapy, but not to durvalumab. However, a set of differentially expressed genes might be predictive for durvalumab effect [2]. In addition, protein expression levels of Ki-67 and PD-L1 were measured by immunohistochemical staining in tumor biopsies from pre-treatment, window and after nab-paclitaxel treatment. PD-L1 expression defined as $\geq 1\%$ on tumor cells was predictive for response to durvalumab. Furthermore, an increased percentage of intratumoral lymphocytes (iTILs) was observed in patients treated with a single dose of durvalumab compared to placebo (window cohort). This effect was associated with higher pCR, which might reflect an activated immune response and serve as a surrogate marker for pCR achievement [3]. To determine possible predictive and/or prognostic biomarkers of immune reaction before and during therapy, a monitoring of immune cells isolated from sequentially collected blood samples were evaluated using flow cytometry. The analysis revealed substantial differences in immune cell counts among the two treatment arms (durvalumab vs placebo). Patients treatment with durvalumab in the window phase have significant better pCR among the entire cohort, but not among the immunomonitoring patients [4].

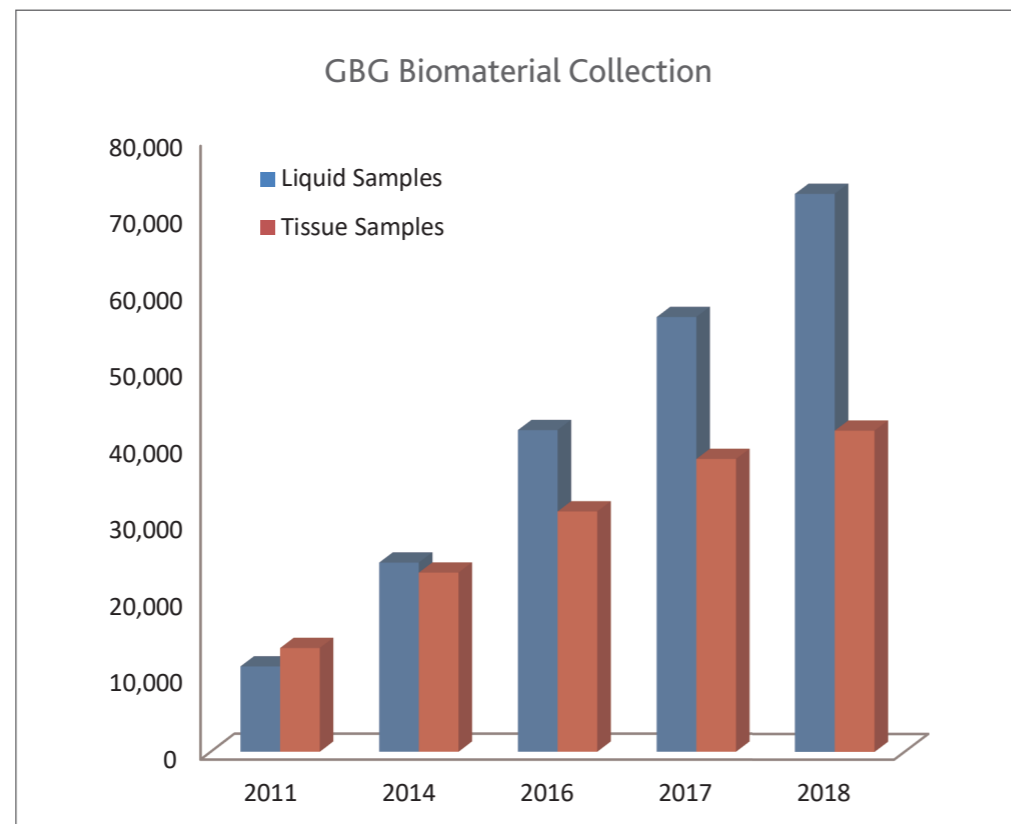


Figure: 1 Samples at GBG Biobank

Cooperation Project with Cepheid®

Fluorescence in situ hybridization (FISH) and immunohistochemistry (IHC) are the most commonly used methods for ER, PgR, HER2 and Ki-67 assessment in breast tumor samples and are currently considered as gold standard. However, the IHC and FISH methods require well-appointed facilities with extensive lab equipment as well as expert knowledge to interpret results. Even small deviations in processing conditions may lead to variation of results within one lab and also between different labs. To overcome these limitations, Cepheid® has developed a cartridge-based assay using mRNA expression to assess ER, PgR, HER2 and Ki-67 in breast cancer tissue (Xpert® Breast Cancer STRAT4). Only one 5 µm slice of FFPE tumor tissue is required for running the real-time RT-PCR analysis with the GeneXpert® IV system. Therefore, the comparability of results generated with IHC/FISH or Xpert® Breast Cancer STRAT4 cartridge and central pathology assessment will be evaluated on tumor samples from the GeparX trial.

New 3rd party projects

- Oncobiome project, Prof. Laurence Zitvogel (Gustave Roussy Cancer Center, Villejuif)
- DDR Targeting, Prof. Andrew Tutt (Institute of Cancer Research, London)
- Role of CDH1 mutations in breast cancer, Prof. Per Eystein Lønning (University of Bergen)

Publications

1. Pohl E, Schneeweiss A, Hauke J et al. Germline mutation status and therapy response in patients with triple-negative breast cancer (TNBC): Results of the GeparOcto study. Ann Oncol 2018; Volume 29, Issue suppl_8, mdy270.238.
2. Loibl S, Sinn BV, Karn T et al. mRNA signatures predict response to durvalumab therapy in triple negative breast cancer (TNBC)- Results of the translational biomarker programme of the neoadjuvant double-blind placebo controlled GeparNuevo trial. SABCS 2018, PD5-13 Poster discussion.
3. Sinn BV, Loibl S, Karn T et al. Pre-therapeutic PD-L1 expression and dynamics of Ki-67 and gene expression during neoadjuvant immune-checkpoint blockade and chemotherapy to predict response within the GeparNuevo trial. SABCS 2018, PD5-05, Poster discussion.
4. Massa C, Schneeweiss A, Karn T et al. Immunomonitoring of triple negative breast cancer patients undergoing neoadjuvant therapy with durvalumab - Results from the prospectively randomized GeparNuevo trial. SABCS 2018, P4-06-01, Poster.

Proposals may also be submitted by groups that are currently not represented in any board.
<http://www.gbg.de/de/forschung/translazionale-forschung.php>

FURTHER INFORMATION:

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GBG Study Finder 2019*

Early Breast Cancer

Operative Studies (M0)	
Untreated breast cancer: <ul style="list-style-type: none"> Planned breast-conserving surgery ≥ 18 years T1 or T2 (imaging), N0 before surgery 	INSEMA Axillary sentinel lymph node biopsy (SLNB) versus no axillary surgery followed by a 2 nd randomisation in case of SLNB positive: SLNB alone versus axillary dissection of the lymph nodes
(Neo)adjuvant Studies (M0)	
Untreated breast cancer: <ul style="list-style-type: none"> cT2-cT4a-d cT1c if TNBC, HER2-positive and/or N+ and/or Ki67 > 20 % 	GeparX Neoadjuvant chemotherapy with nab-paclitaxel (weekly or 1,8 q22) followed by EC q2/q3 +/- denosumab (TNBC: carboplatin; HER2-positiv: ABP980+pertuzumab)
Untreated triple-negative breast cancer: <ul style="list-style-type: none"> cT2-cT3 cT1c only if N+ 	GeparDouze Neoadjuvant chemotherapy with 12x paclitaxel weekly + carboplatin q3 followed by EC/AC q2 or q3 + atezolizumab or placebo q3 followed by adjuvant therapy with atezolizumab or placebo q3 (total duration of atezolizumab/placebo will be one year)
Untreated triple-negative breast cancer: <ul style="list-style-type: none"> Stage II-III 	GeparTreize Arm A: 1x durvalumab monotherapy q2 followed by neoadjuvant chemotherapy with 12x paclitaxel weekly +/- carboplatin q1 or q3 followed by EC/AC q2 or q3 + durvalumab q4 followed by 12 months adjuvant therapy with durvalumab q4 Arm B: Chemotherapy alone
Untreated triple-negative breast cancer: <ul style="list-style-type: none"> Stage II-III pathological tumor size > 2 cm if pN0 	ALEXANDRA Arm A: Adjuvant chemotherapy with 12x paclitaxel weekly followed by EC/AC q2 + atezolizumab q2 followed by atezolizumab monotherapy q2 (total duration of atezolizumab will be one year) Arm B: Chemotherapy alone
Operable HR-positive / HER2-negative breast cancer: <ul style="list-style-type: none"> Age ≥ 70 years Stage II-III Adjuvant chemotherapy required and feasible 	APPALACHES Arm A: Palbociclib 13 cycles + standard adjuvant endocrine treatment ≥ 5 years Arm B: Adjuvant chemotherapy followed by standard adjuvant endocrine treatment ≥ 5 years
Untreated HR-positive/HER2-negative breast cancer: <ul style="list-style-type: none"> cT2-cT4, N0-3 postmenopausal women 	ULTIMATE Neoadjuvant therapy with exemestane and durvalumab in patients with CD8+ T-cell-infiltration after stimulation of the immune system
HR positive breast cancer: <ul style="list-style-type: none"> Ongoing hormone therapy with tamoxifen (20 mg) 	TAMENDOX Genotype and phenotype guided supplementation of a standard therapy with tamoxifen with the active metabolite endoxifen
gBRCA1/2 positive and HER2-negative breast cancer: <ul style="list-style-type: none"> High risk after (neo)adjuvant chemotherapy 	OLYMPIA Olaparib versus placebo as adjuvant treatment

Breast Cancer in Special Situations

<ul style="list-style-type: none"> Patients with breast cancer in pregnancy non-pregnant women with breast cancer < 40 years M1 possible 	BCP Prospective and retrospective registry study for the diagnosis and treatment of breast cancer in pregnancy compared to young non-pregnant women
--	---

Metastatic Breast Cancer

Metastatic Breast Cancer ER-positive or -negative, HER2-positive or -negative	
<ul style="list-style-type: none"> 1st and 2nd line therapy in metastatic setting Biopsy of a metastatic lesion is feasible, provision of FFPE & Fresh Frozen samples 	AURORA Tissue collection of the primary tumor and a metastasis and blood collection
Brain metastases of breast cancer	Brain Metastases in Breast Cancer (BMBC) Retrospective and prospective registry designed to collect tumor characteristics of the primary and metastatic tumor as well as treatment data and biomaterial from patients diagnosed with brain metastases of breast cancer
HER2-positive Breast Cancer	
HER2-positive and HR-positive metastatic breast cancer: <ul style="list-style-type: none"> 1st line chemotherapy (for metastatic breast cancer) with a taxane or vinorelbine in combination with trastuzumab +/- pertuzumab 	PATINA Maintenance therapy with anti-HER2 and endocrine therapy +/- palbociclib
HER2-negative Breast Cancer	
HER2-negative und HR-positive metastatic breast cancer: <ul style="list-style-type: none"> at least 4 cycles of a 1st line mono- or poly-chemotherapy Previous therapy with maximum one line of anti-hormonal treatment is allowed 	AMICA Endocrine maintenance therapy after chemotherapy +/- ribociclib
HER2-negative and HR-positive metastatic breast cancer: <ul style="list-style-type: none"> 1st systemic therapy for the treatment of metastatic breast cancer No asymptomatic oligometastases of the bone as the only site of metastatic disease 	PADMA Endocrine therapy + palbociclib versus mono-chemotherapy +/- endocrine maintenance therapy Possible mono-chemotherapies (Physician's choice): <ul style="list-style-type: none"> Capecitabine p.o. Epirubicine i.v. Paclitaxel i.v. Vinorelbine i.v.
HER2-negative and HR-positive metastatic breast cancer: <ul style="list-style-type: none"> Postmenopausal women Recurrence or progression after therapy with a non steroidale aromatase inhibitor 	DESIREE Exemestane in combination with induction dose escalation of everolimus versus exemestane in combination with standard therapy with everolimus

*Further studies are currently in planning. Please refer to www.gbg.de



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